

# Understanding and predicting the Brewer-Dobson Circulation

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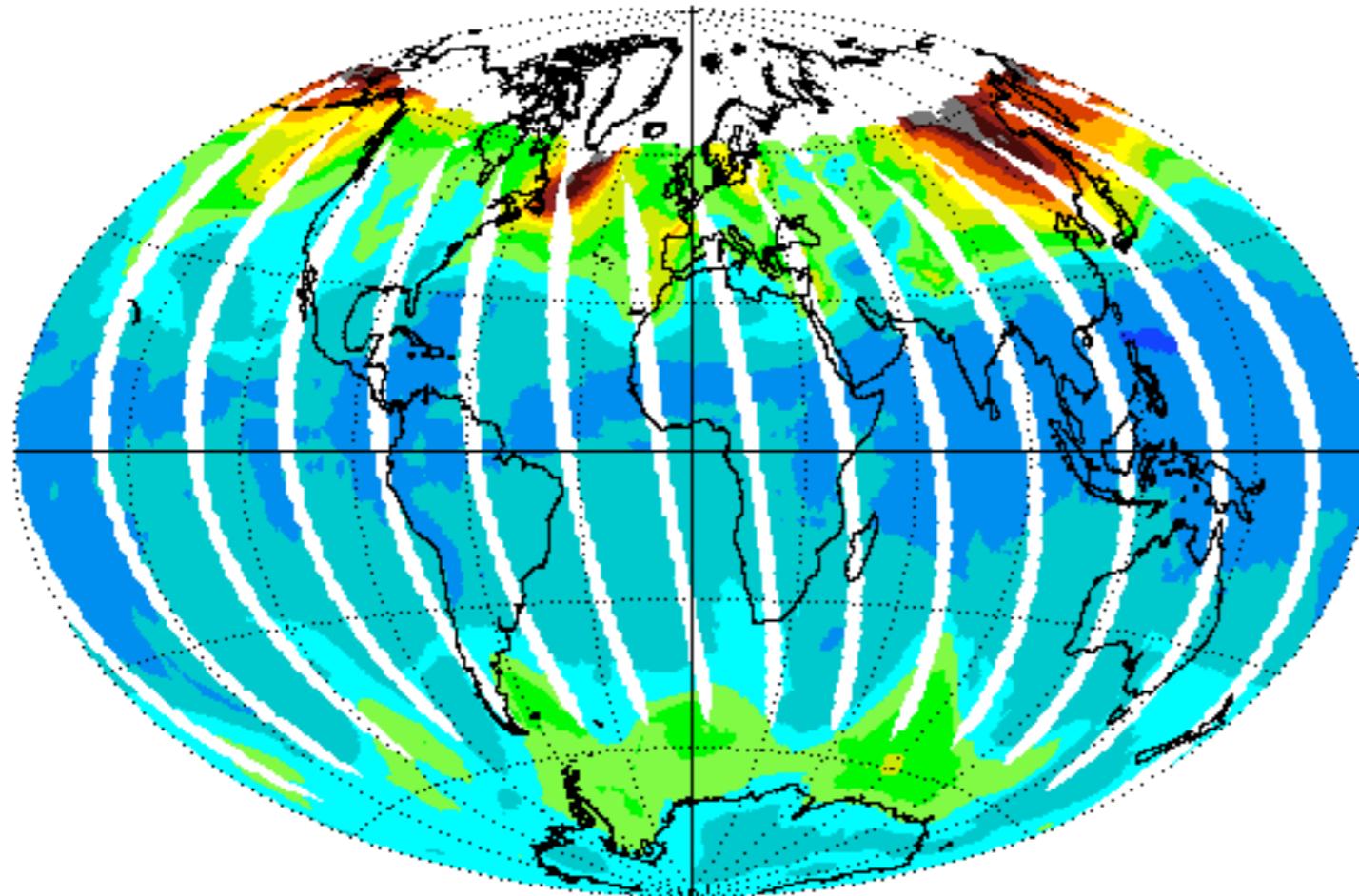
Edwin Gerber and Naftali Cohen\*  
Center for Atmosphere Ocean Science  
Courant Institute of Mathematical Sciences, New York University

\*Soon to be at Yale University

Special thanks to the U.S. National Science Foundation

# Recent Ozone

OMI Total Ozone Jan 10, 2014



NIVR-FMI-NASA-KNMI



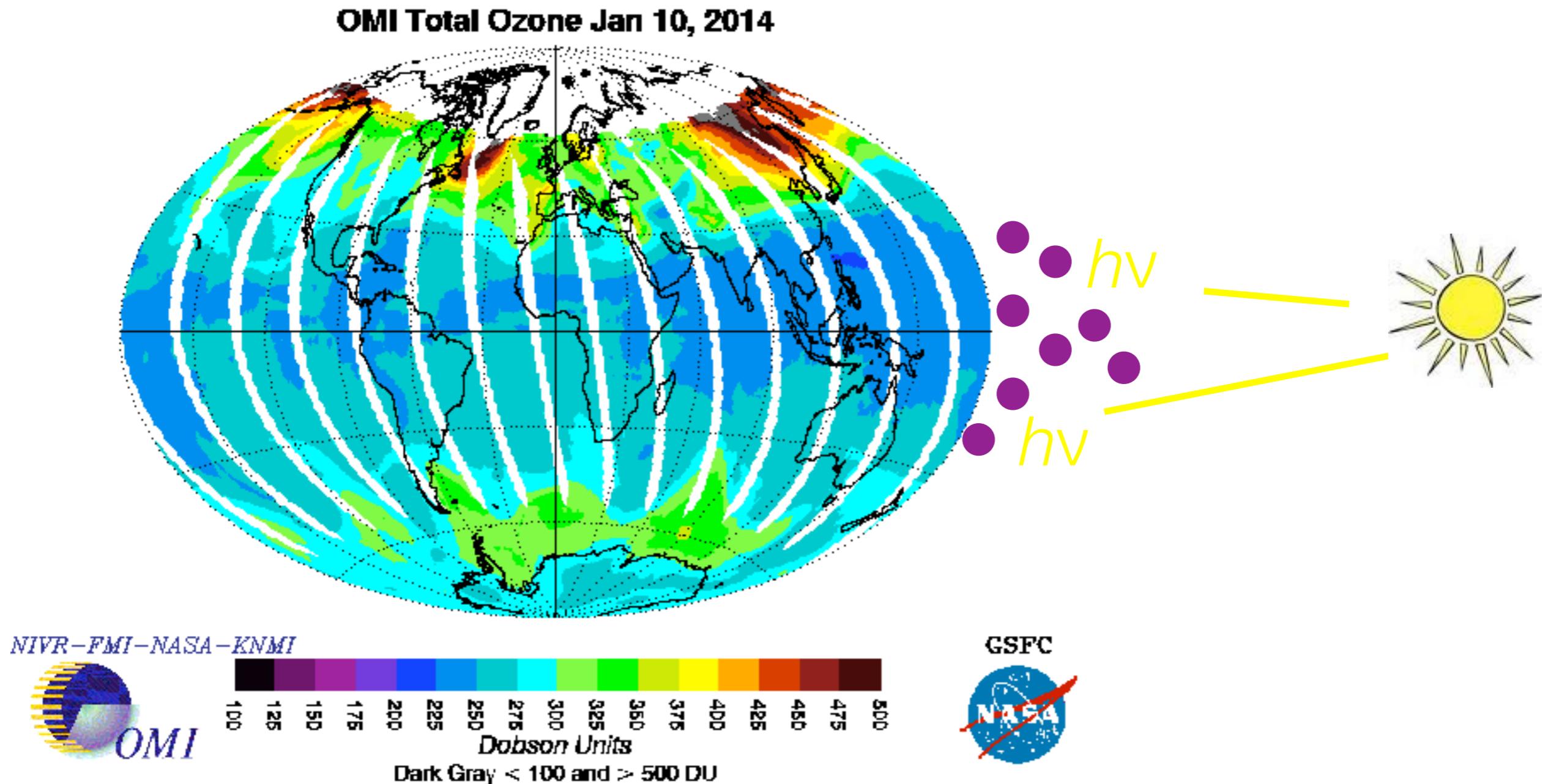
Dobson Units

Dark Gray < 100 and > 500 DU

GSFC

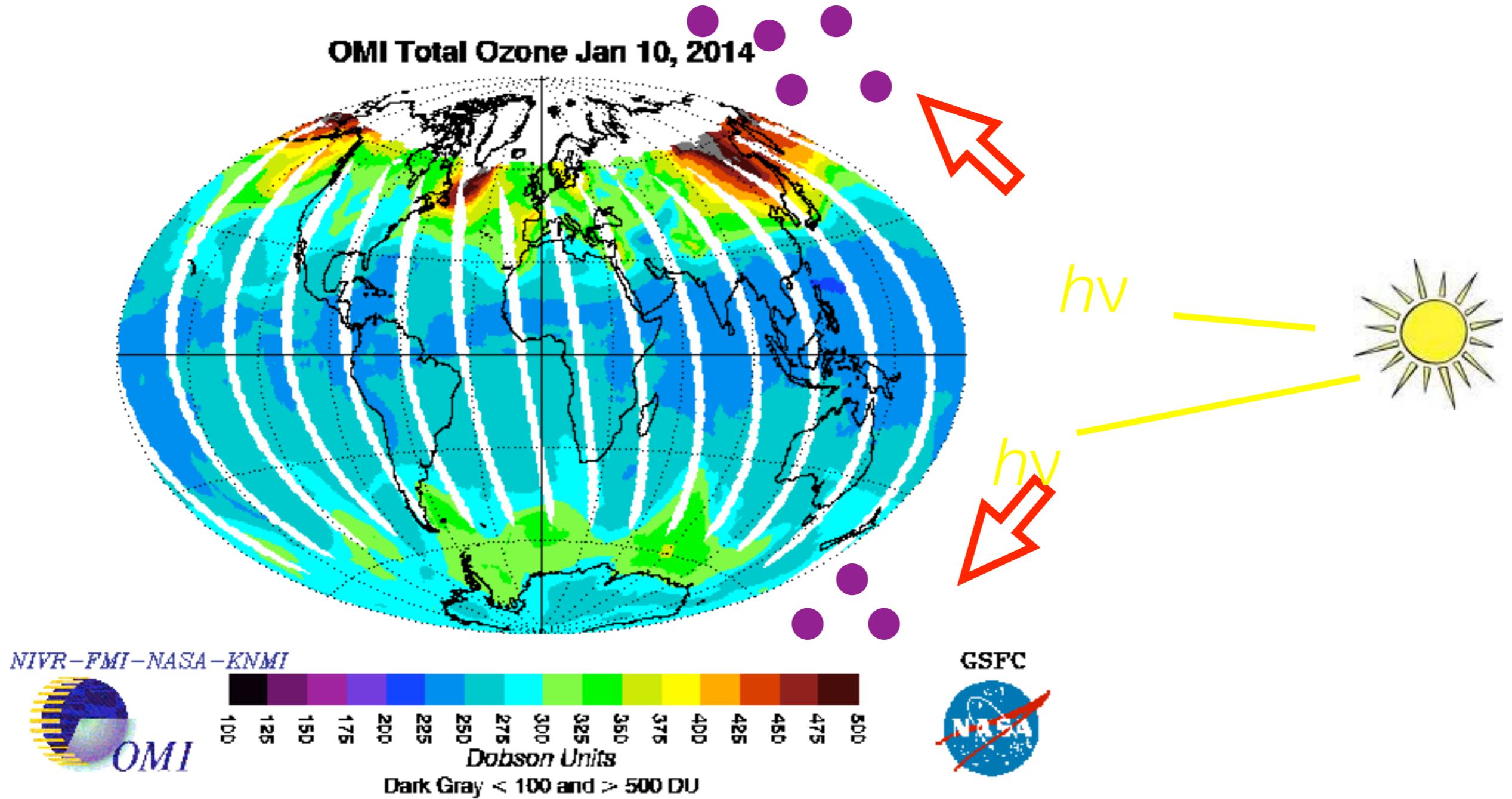


# Recent Ozone: the Brewer-Dobson Circulation



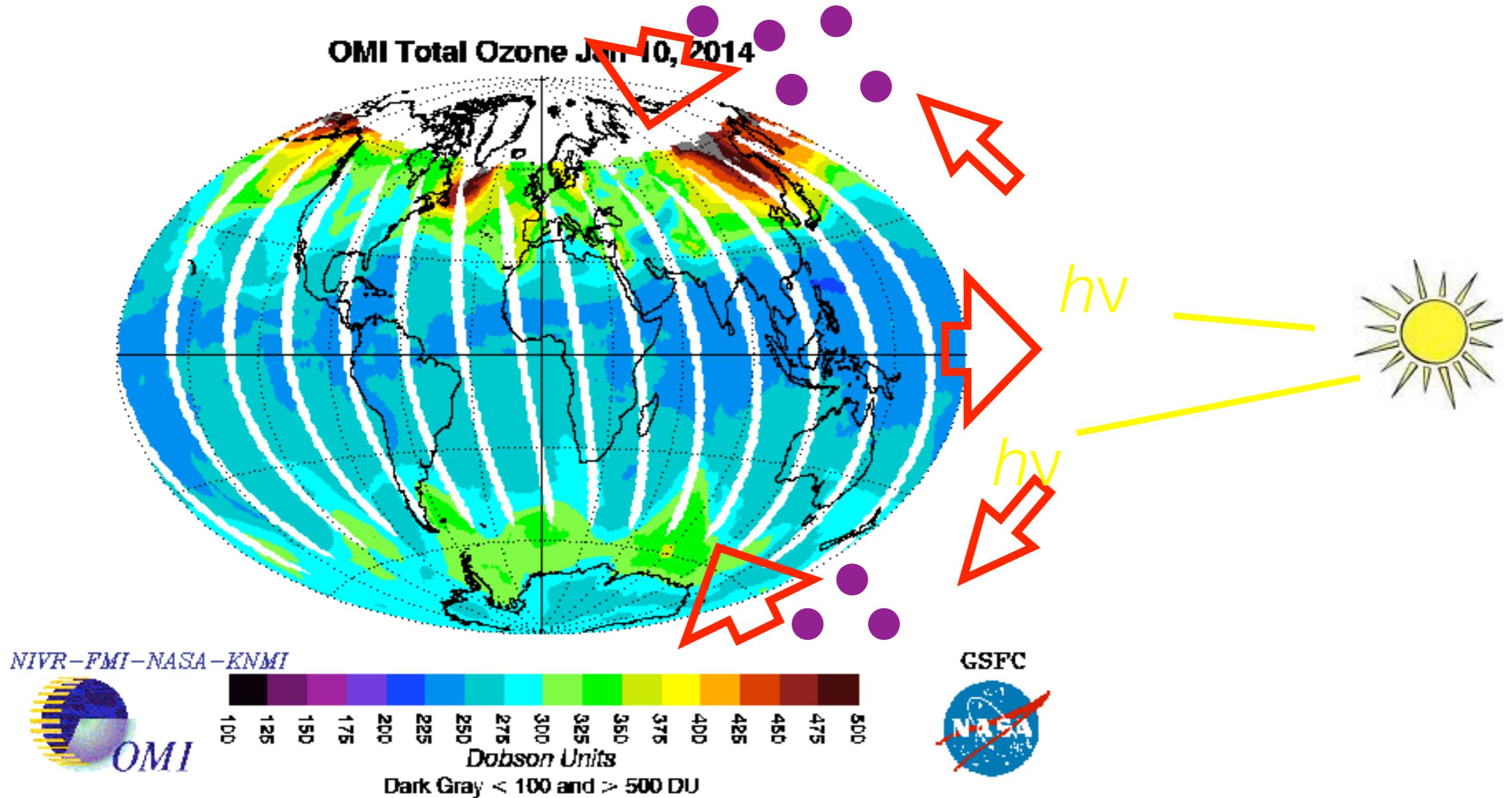
*Dobson, Harrison, and Lawrence [1929]*

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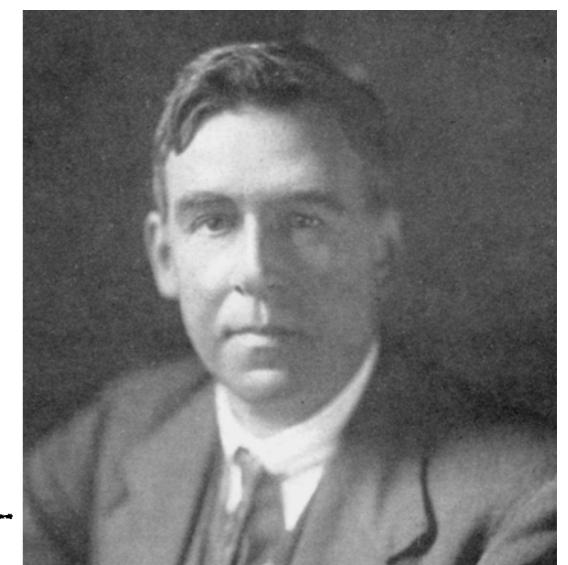
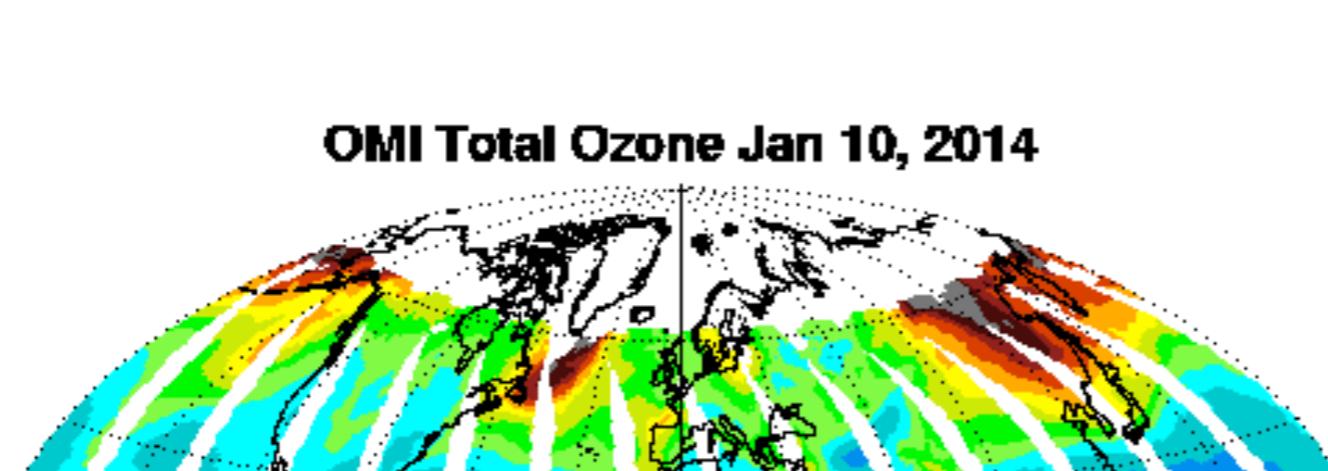
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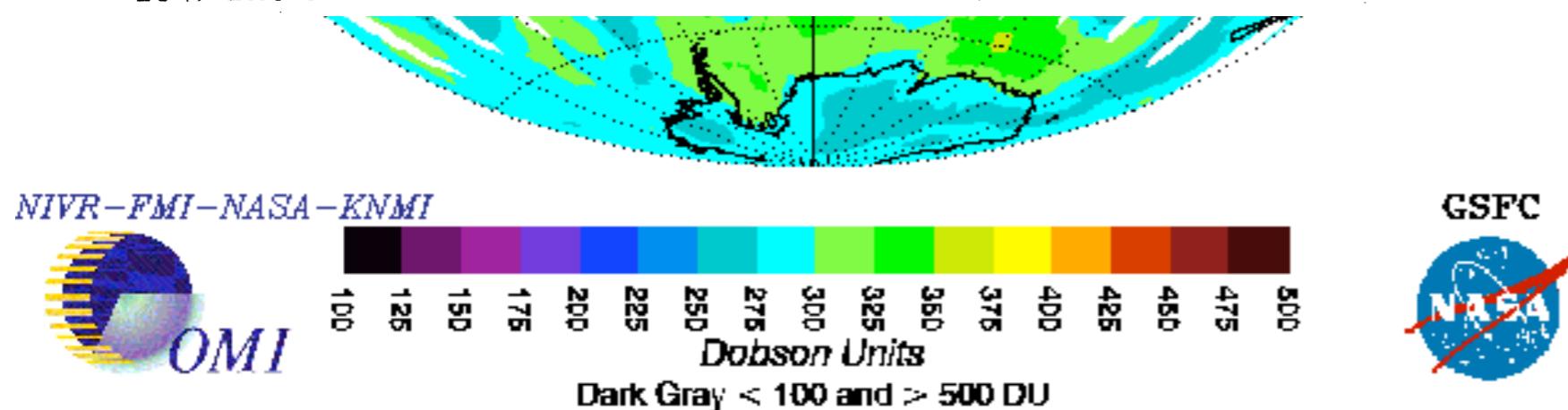


*Dobson, Harrison, and Lawrence [1929]*

# Recent Ozone: the Brewer-Dobson Circulation



The only way in which we could reconcile the observed high ozone concentration in the Arctic in spring and the low concentration within the Tropics, with the hypothesis that the ozone is formed by the action of sunlight, would be to suppose a general slow poleward drift in the highest atmosphere with a slow descent of air near the Pole. Such a current would carry ozone formed in low latitudes to the Pole and concentrate it there. If this were the case the



*Dobson, Harrison, and Lawrence [1929]*

EVIDENCE FOR A WORLD CIRCULATION PROVIDED  
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VAPOUR DISTRIBUTION IN THE STRATOSPHERE

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(Manuscript received 23 February 1949)

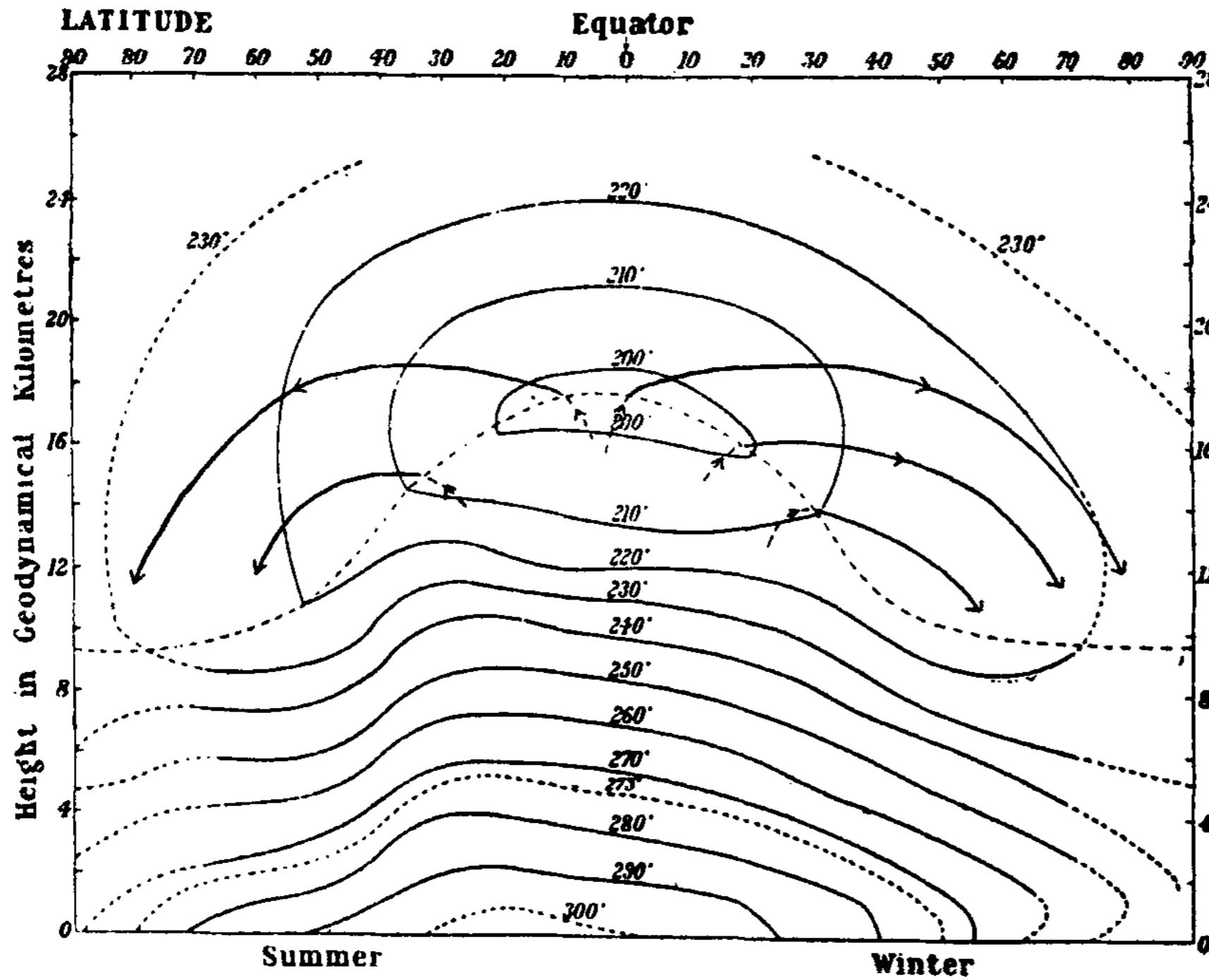


FIG. 5. A supply of dry air is maintained by a slow mean circulation from the equatorial tropopause.



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$$\frac{\partial u}{\partial t} + u \frac{\partial u}{\partial x} + v \frac{\partial v}{\partial y} - fv = - \frac{1}{\rho} \frac{\partial p}{\partial x}$$

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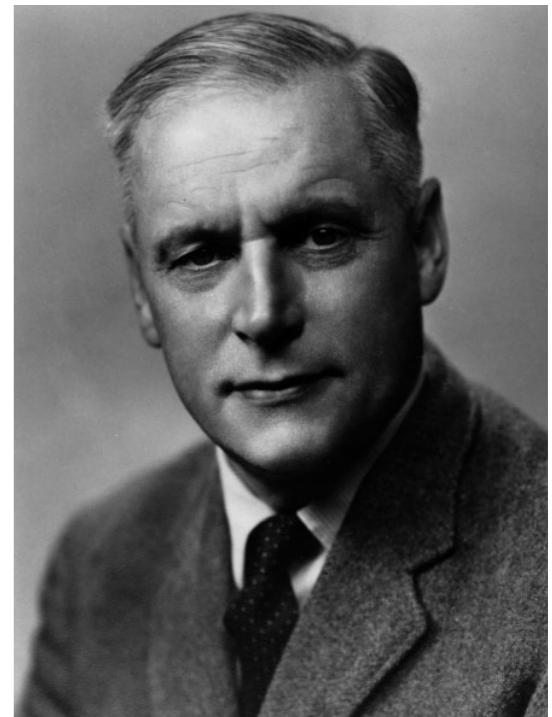
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**“polar vortex catastrophe”**

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$$\frac{\partial \bar{u}}{\partial t} - f \bar{v}^* = \nabla \cdot \mathbf{F}$$

*Eliassen and Palm, 1961*  
*Andrews and McIntyre, 1976*

# Questions

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- What drives the Brewer-Dobson Circulation?
- How will the Brewer-Dobson Circulation respond to anthropogenic forcing?

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- How will the Brewer-Dobson Circulation respond to anthropogenic forcing?

Focus will be on the residual circulation, which transports mass across isentropic surfaces.

Tracer transport — which Brewer and Dobson actually observed — also depends critically on mixing along isentropes ... please see Alan Plumb and/or me over coffee / beer!

# Questions

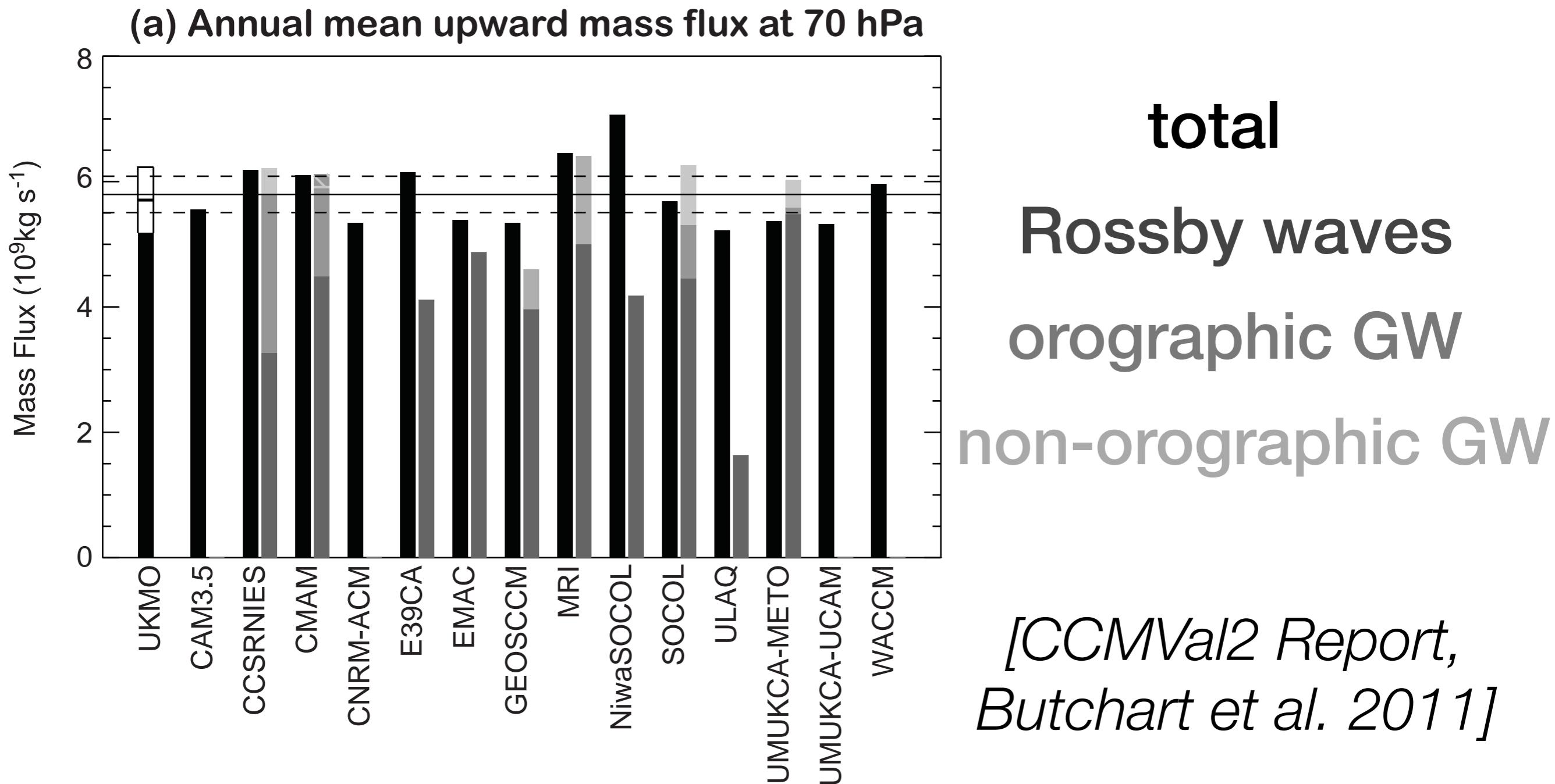
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(Which waves are responsible for balancing the Coriolis torque?)

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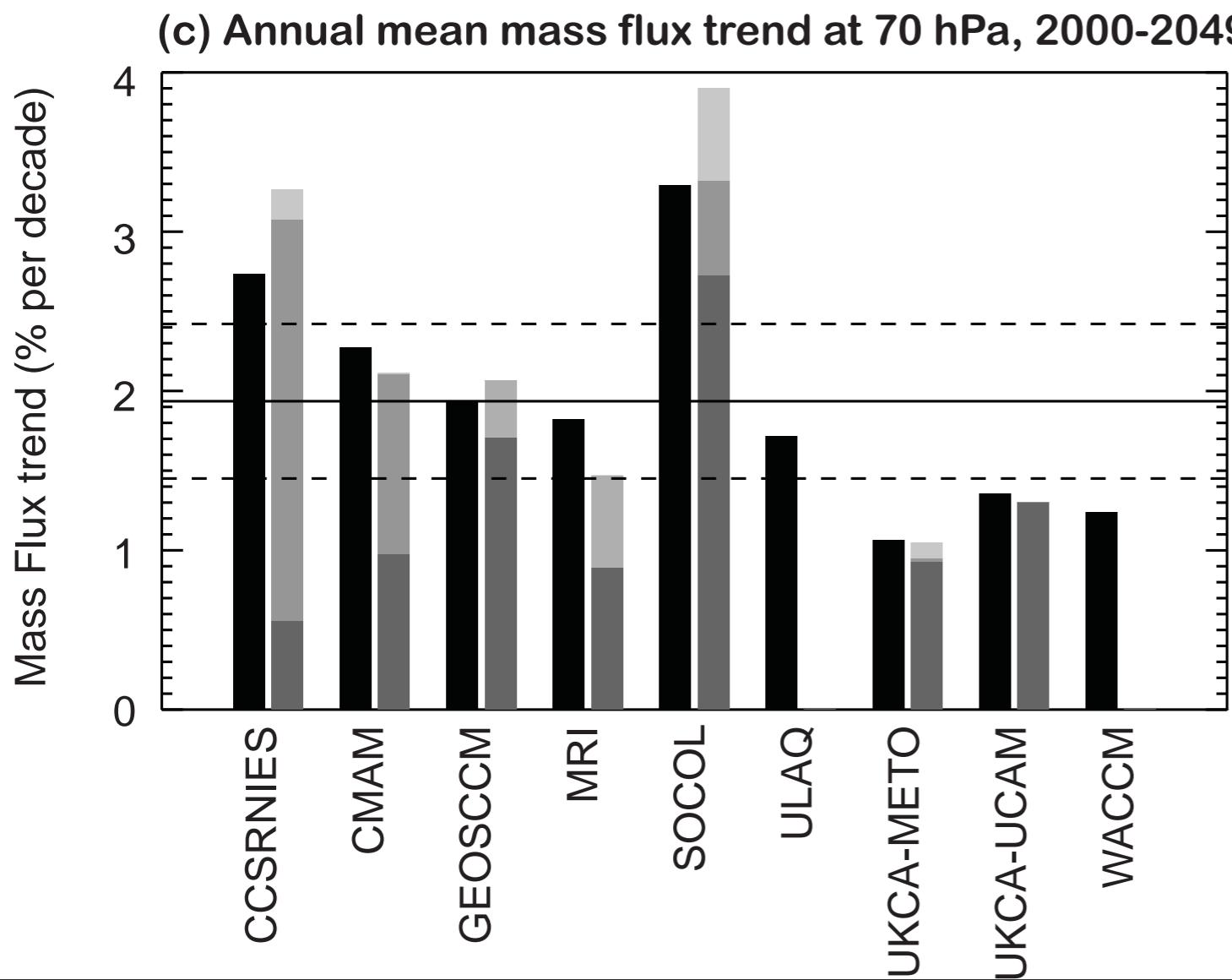
# Questions

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- How will the Brewer-Dobson Circulation respond to anthropogenic forcing?
  - Models uniformly predict that it will increase [e.g. *Butchart et al. 2010*], but can't be validated w/ available measurements [e.g. *Garcia et al. 2011*].
  - Do we understand why?

# Questions

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  - Models uniformly predict that it will increase [e.g. *Butchart et al. 2012*], but can't be validated w/ available measurements [e.g. *Garcia et al. 2011*].
  - Do we understand why? Yes [e.g. *Shepherd and McLandress 2011*], but...



total  
Rossby waves  
orographic GW  
non-orographic GW

[CCMVal2 Report]

# Questions

---

- What drives the Brewer-Dobson Circulation?
- How will the Brewer-Dobson Circulation respond to anthropogenic forcing?

Interactions between Rossby and gravity wave driving complicate the answer to these questions.

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What drives the Brewer-Dobson Circulation?

## Downward Control [Haynes et al. 1991]

---

$$\frac{\partial \bar{u}}{\partial t} - \bar{v}^* \left( f - \frac{\partial \bar{u}}{\partial y} \right) + \bar{w}^* \frac{\partial \bar{u}}{\partial z} = \mathcal{F} \quad \begin{matrix} \text{zonal mean} \\ \text{torque} \end{matrix}$$

(Transformed Eulerian Mean momentum equation)

## Downward Control [Haynes et al. 1991]

---

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steady state

## Downward Control [Haynes et al. 1991]

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QG (neglect relative vorticity)

## Downward Control [Haynes et al. 1991]

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Coriolis force must balance torque

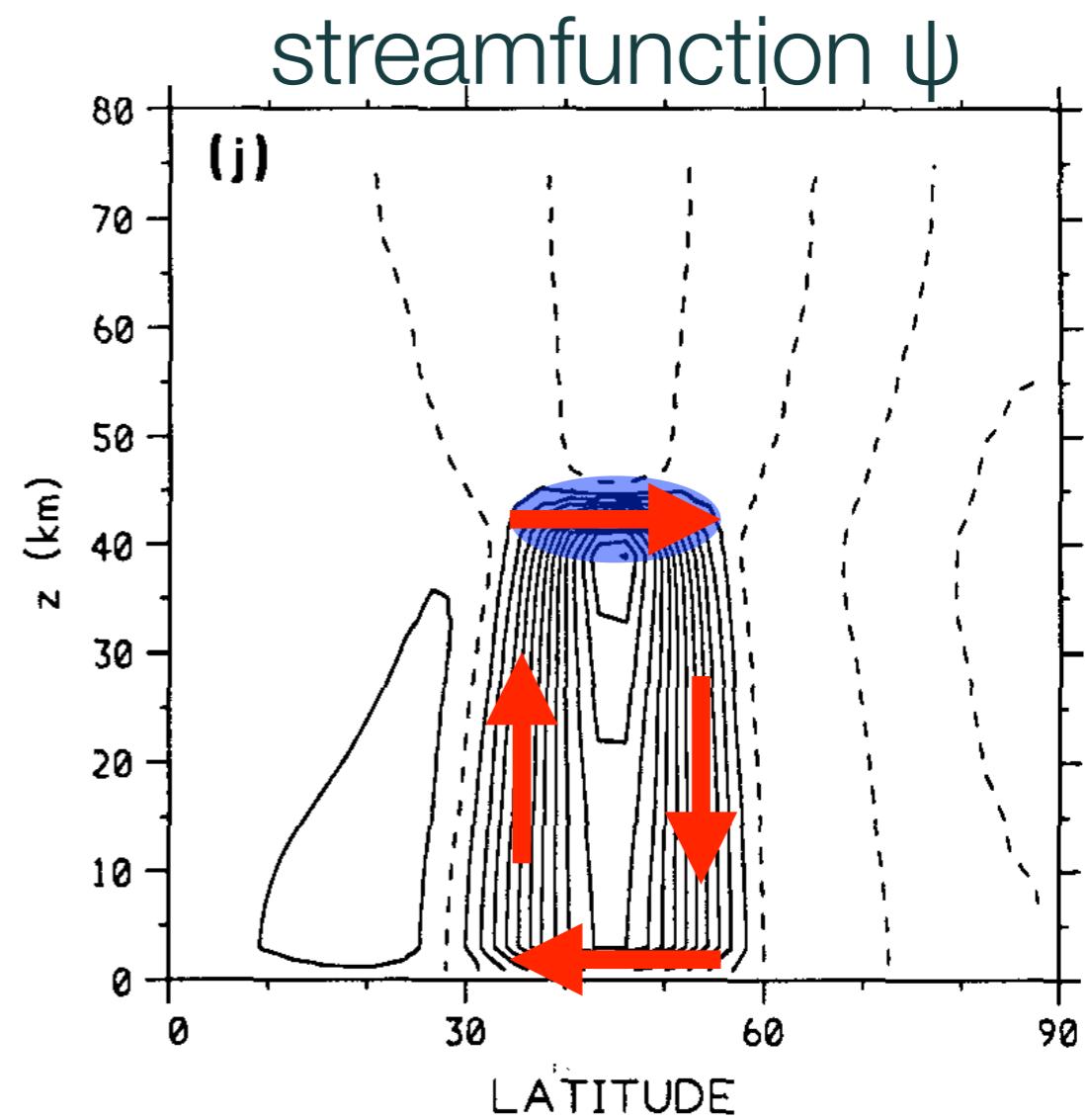
$$\bar{v}^* = -\frac{\mathcal{F}}{f}$$

# Downward Control [Haynes et al. 1991]

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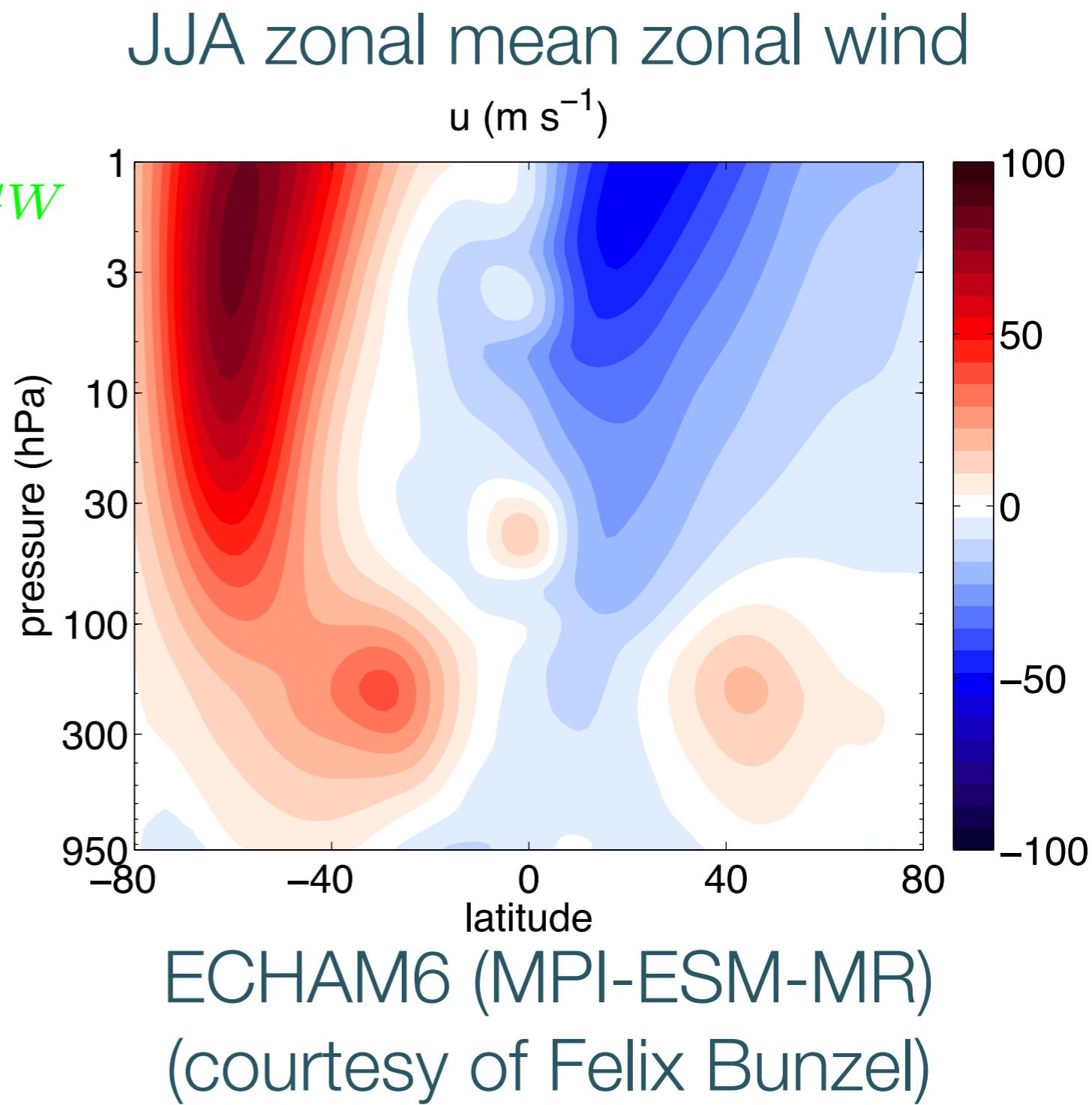
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# Which waves contribute to the zonal mean torque?

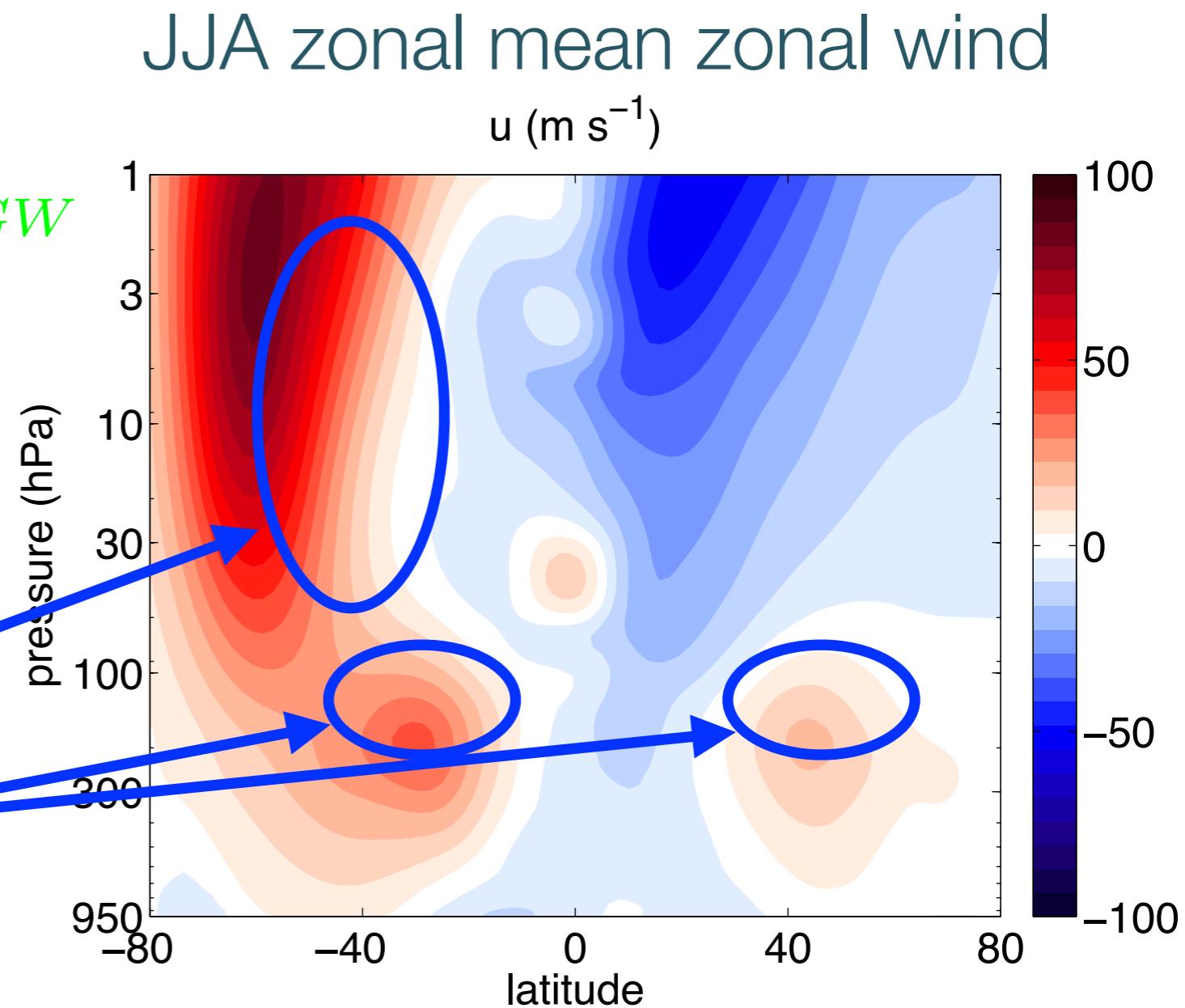
$$\mathcal{F} = \nabla \cdot F + G_{OGW} + G_{NOGW}$$



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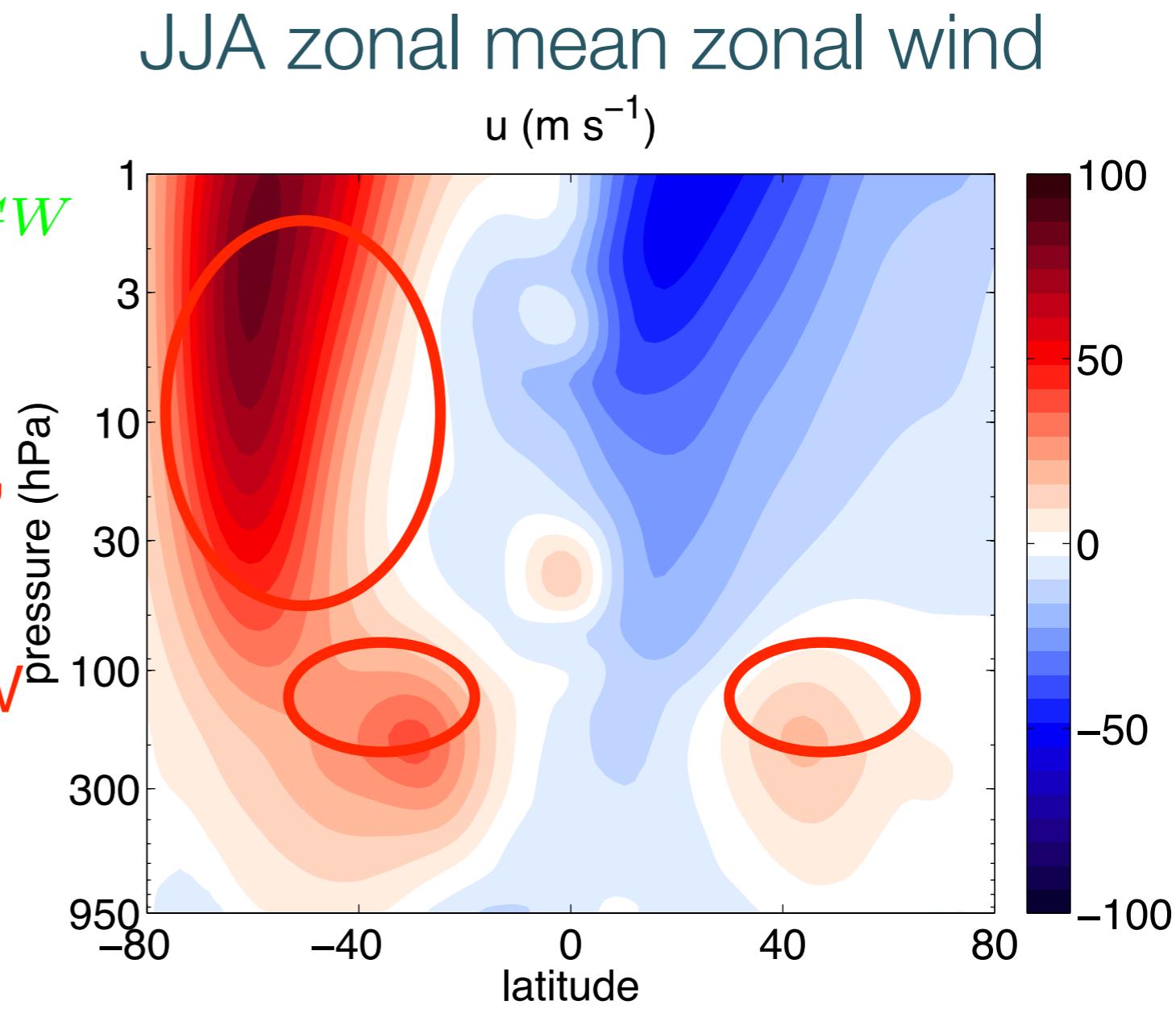
Eliassen-Palm  
flux divergence:  
Rossby waves,  
planetary  
and synoptic;  
fairly well observed,  
resolved in models.



# Which waves contribute to the zonal mean torque?

$$\mathcal{F} = \nabla \cdot F + G_{OGW} + G_{NOGW}$$

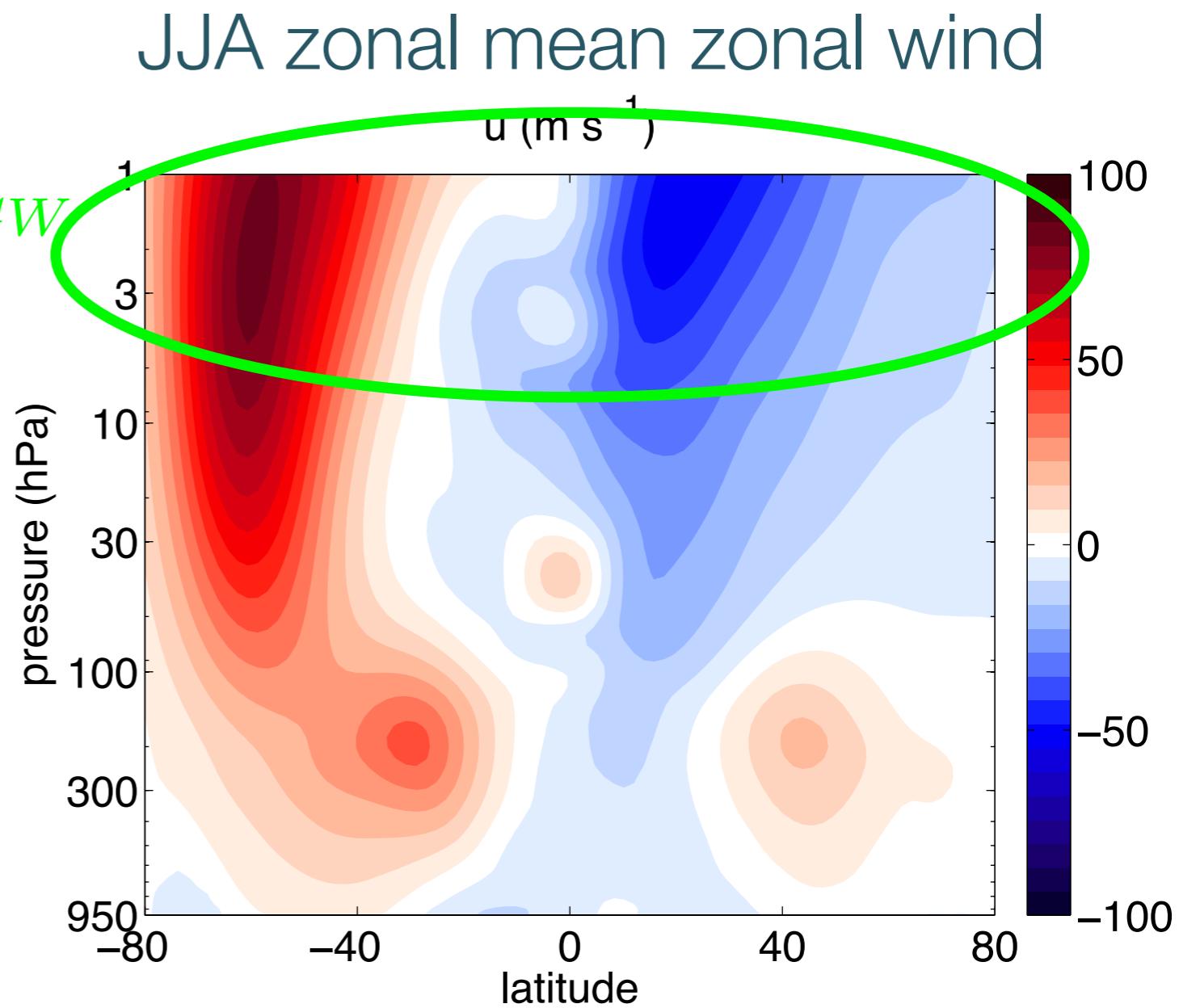
↑  
orographic gravity waves,  
of scale 10-1000 km,  
generated in stratified flow  
over topography;  
marginally observed,  
parameterized in models



# Which waves contribute to the zonal mean torque?

$\mathcal{F} = \nabla \cdot F + G_{OGW} + G_{NOGW}$

non-orographic gravity waves: generated via convection, frontal instabilities (thus have non-zero phase speed), less well observed, parameterized in models



# Which waves contribute to the zonal mean torque?

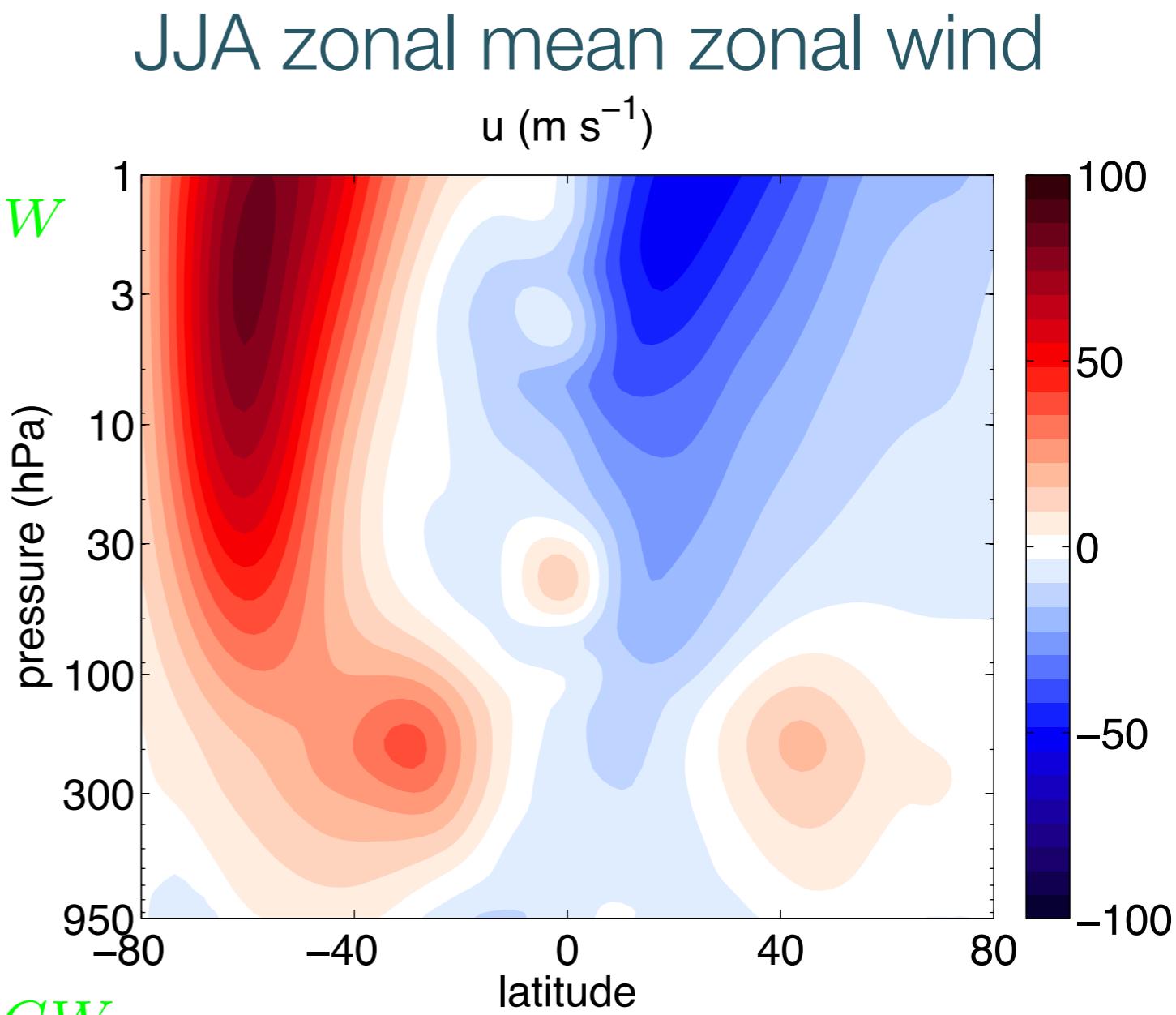
$$\mathcal{F} = \nabla \cdot F + G_{OGW} + G_{NOGW}$$



using downward control, one can partition the circulation



$$\psi = \psi_{EPFD} + \psi_{OGW} + \psi_{NOGW}$$



# Which waves contribute to the zonal mean torque?

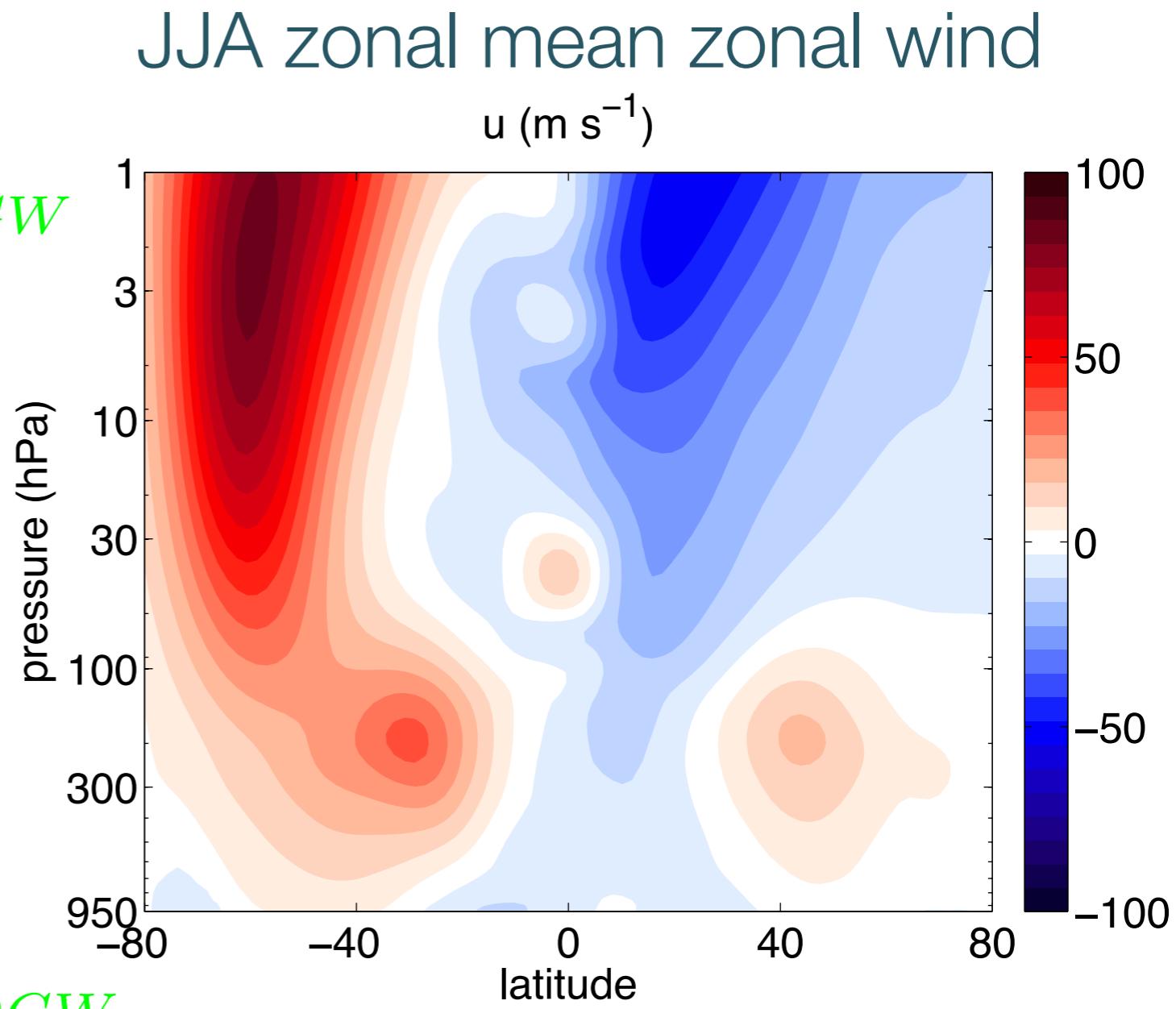
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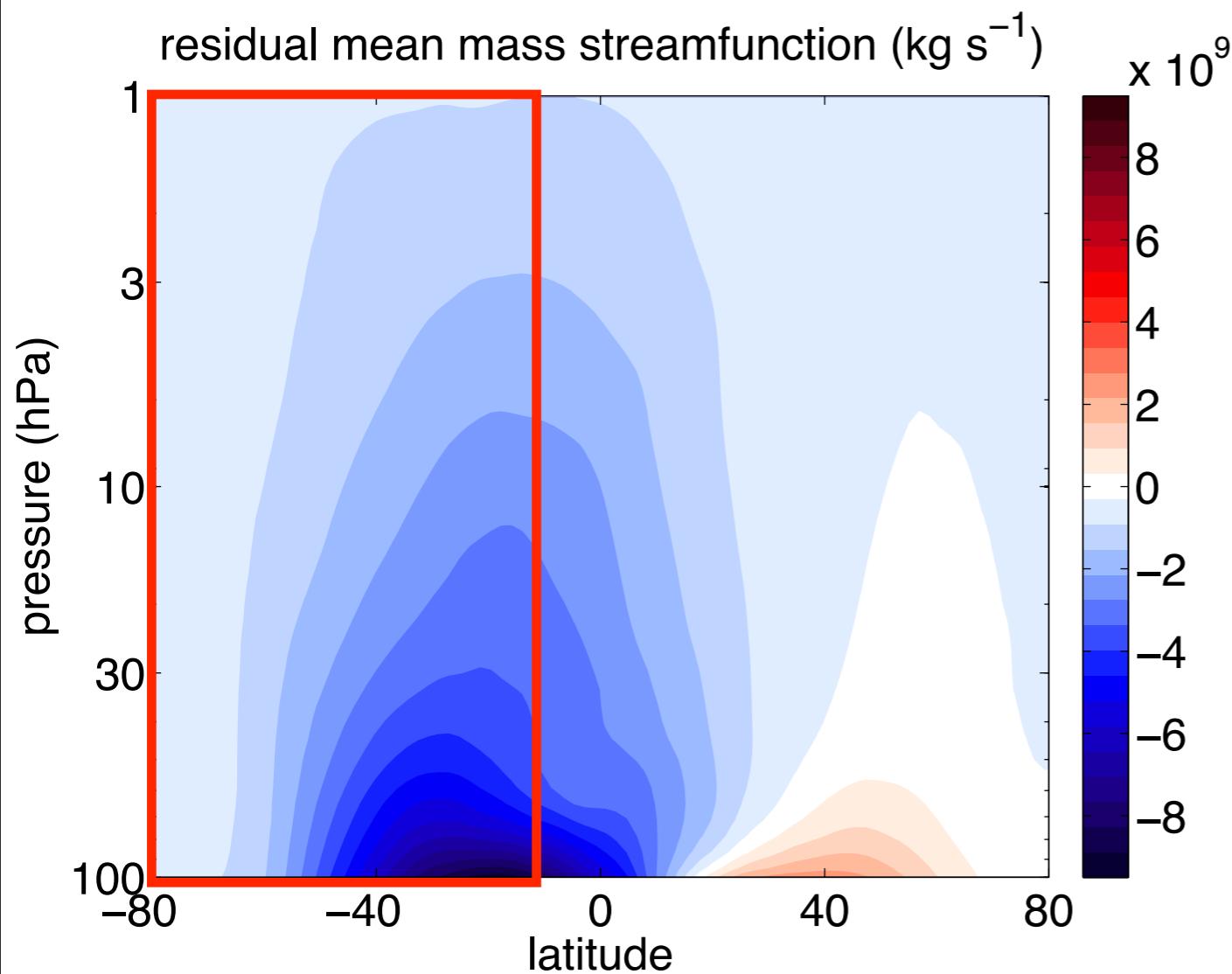
$$\psi = \psi_{EPFD} + \psi_{OGW} + \psi_{NOGW}$$



implicit assumption: the wave forcings are independent

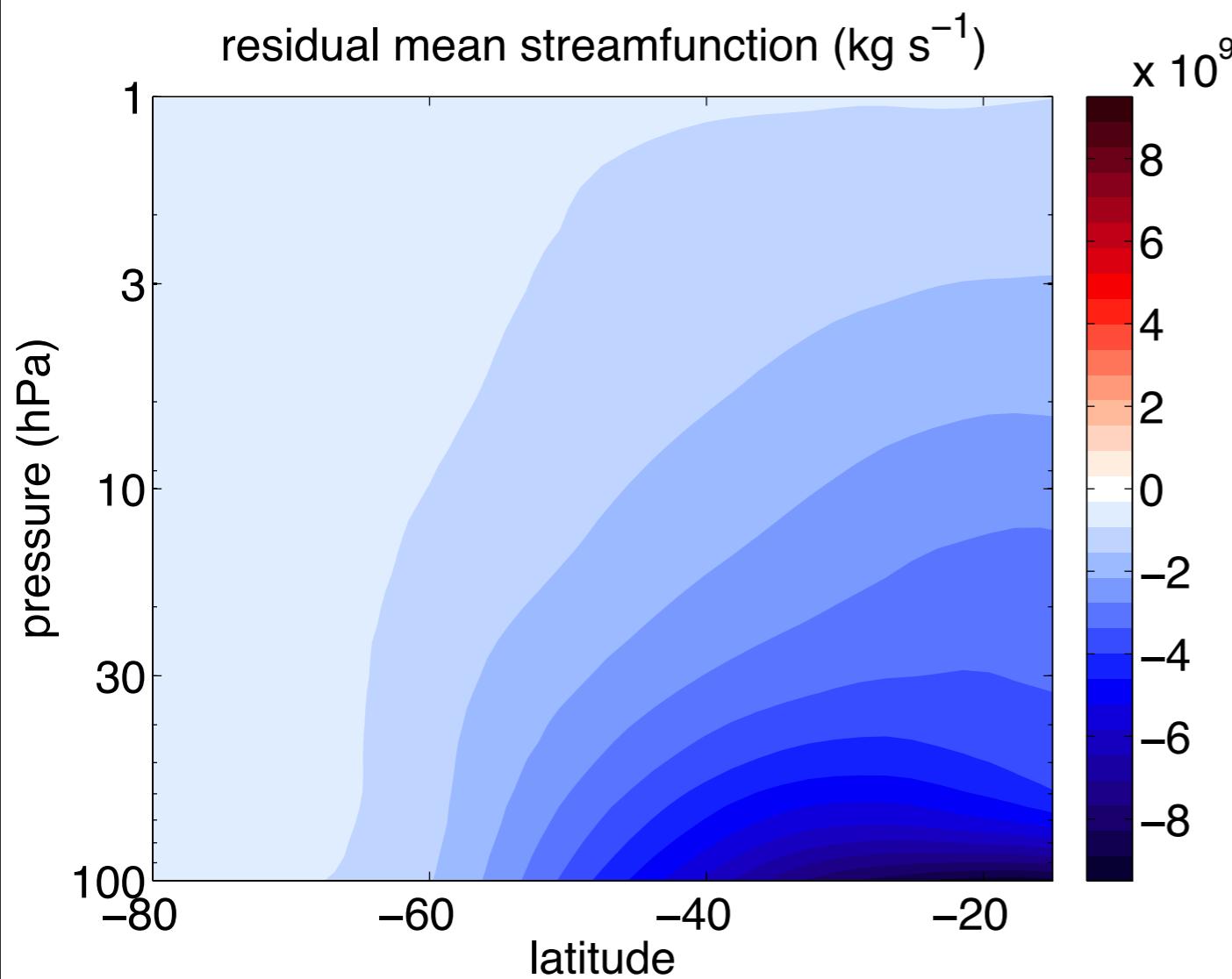
# The JJA Residual Circulation in ECHAM6

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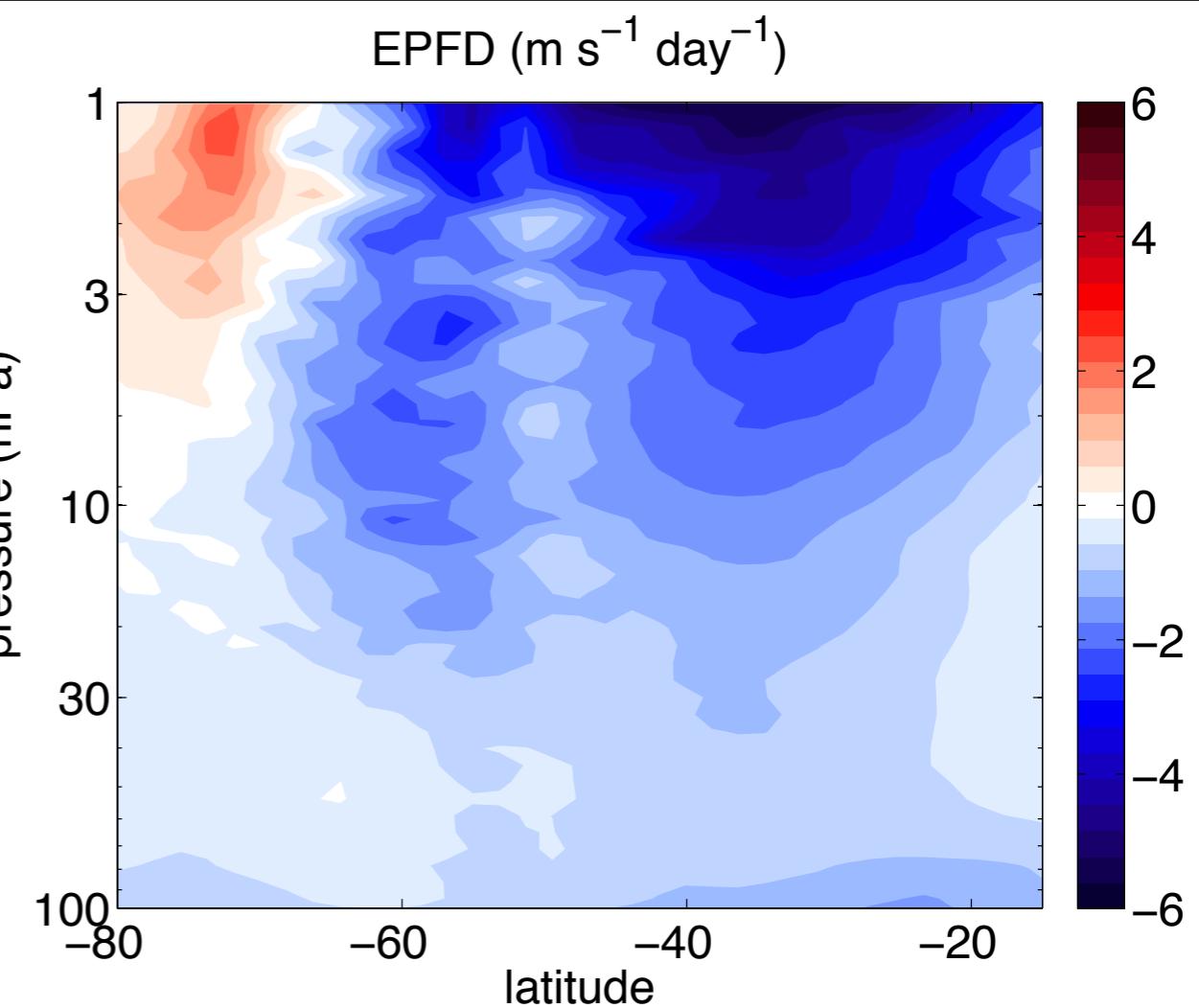
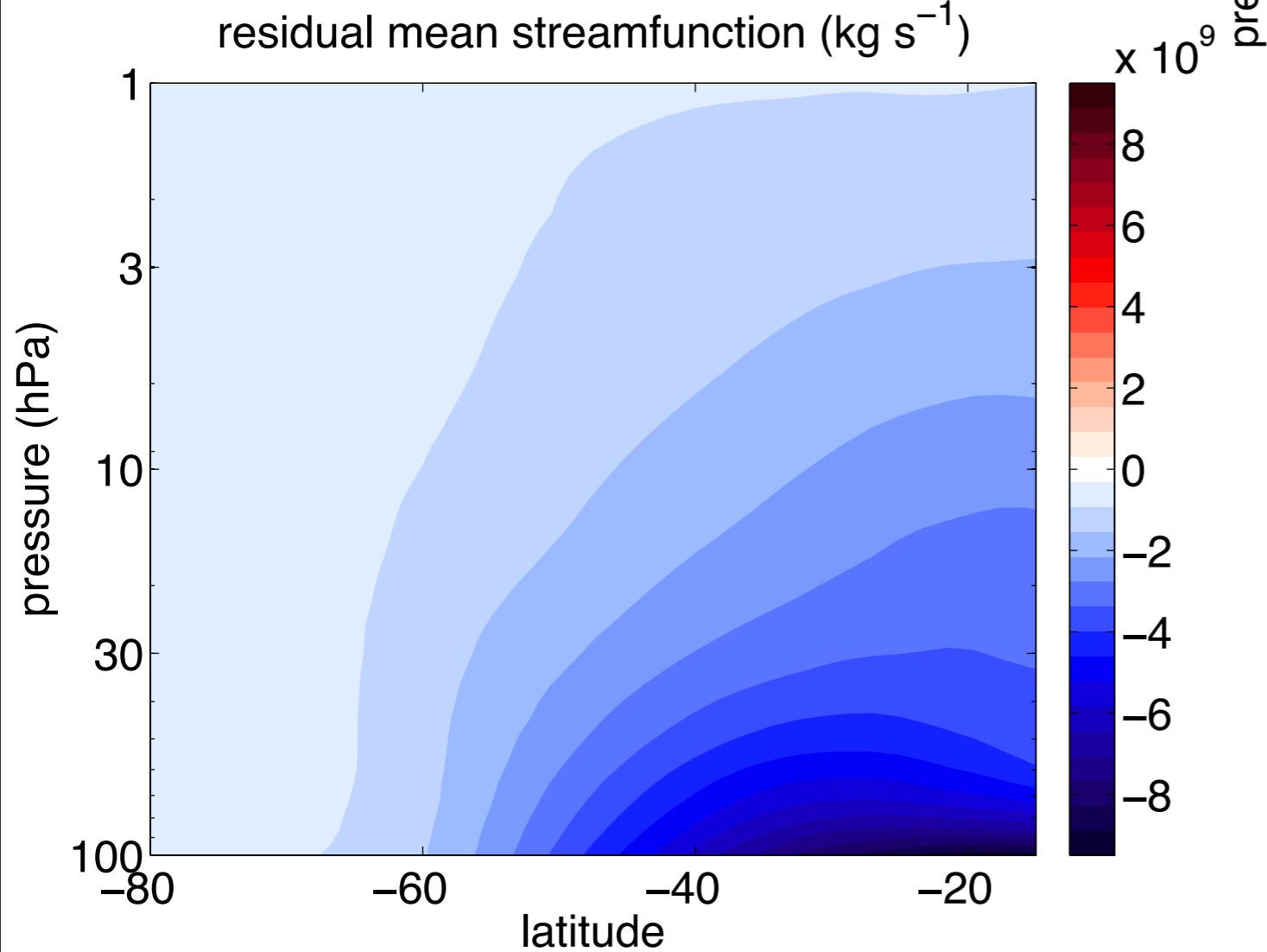


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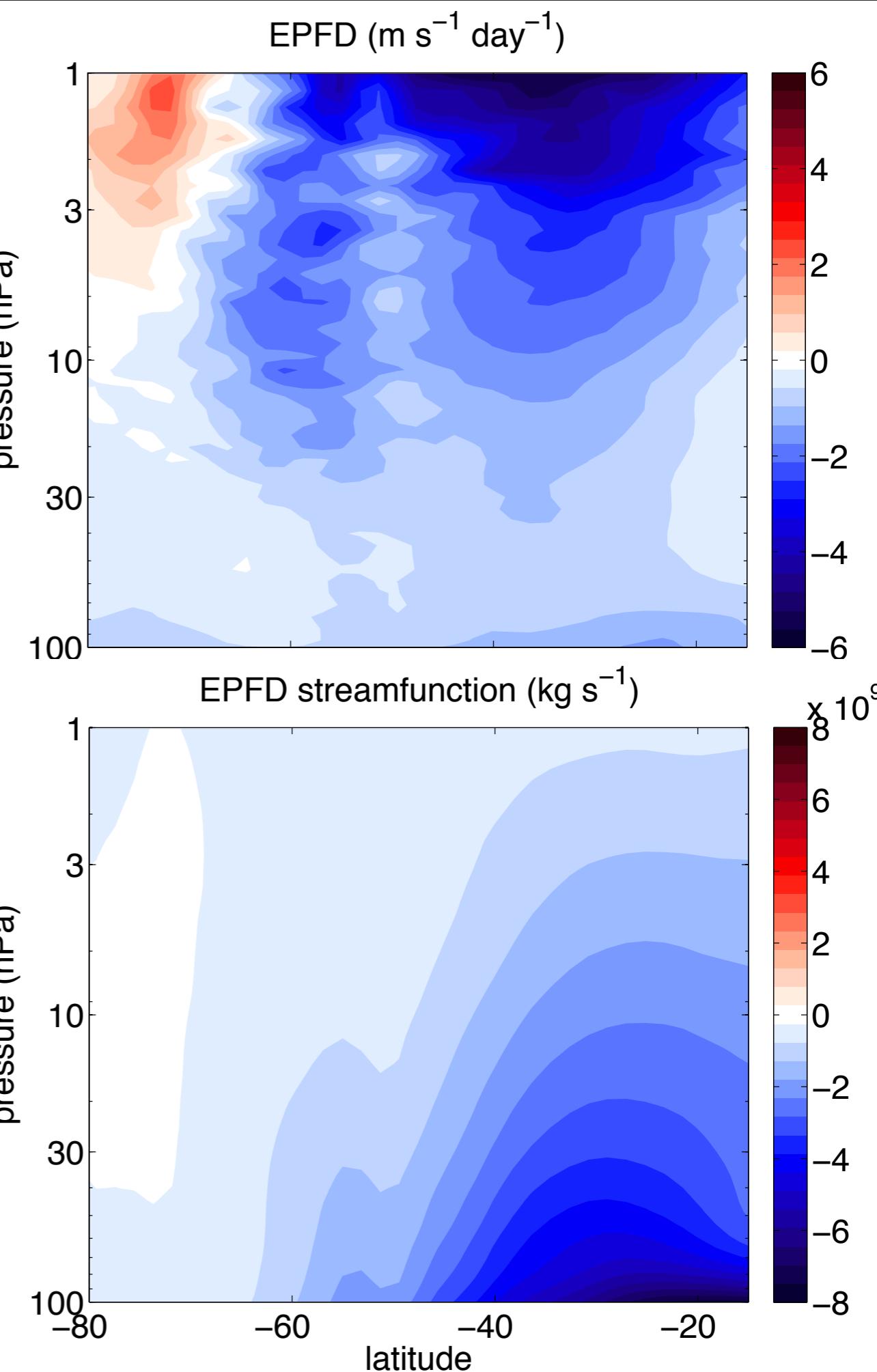
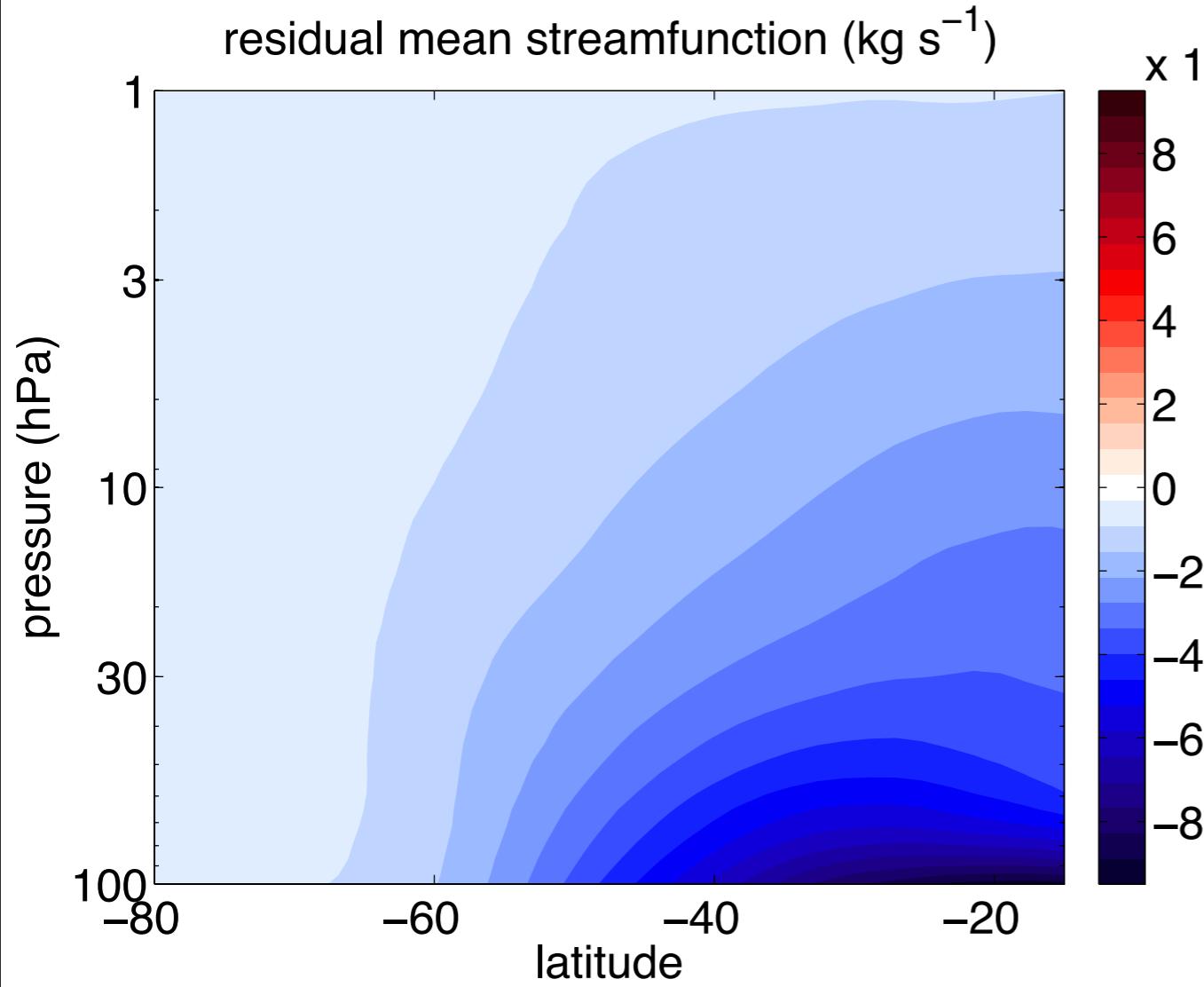
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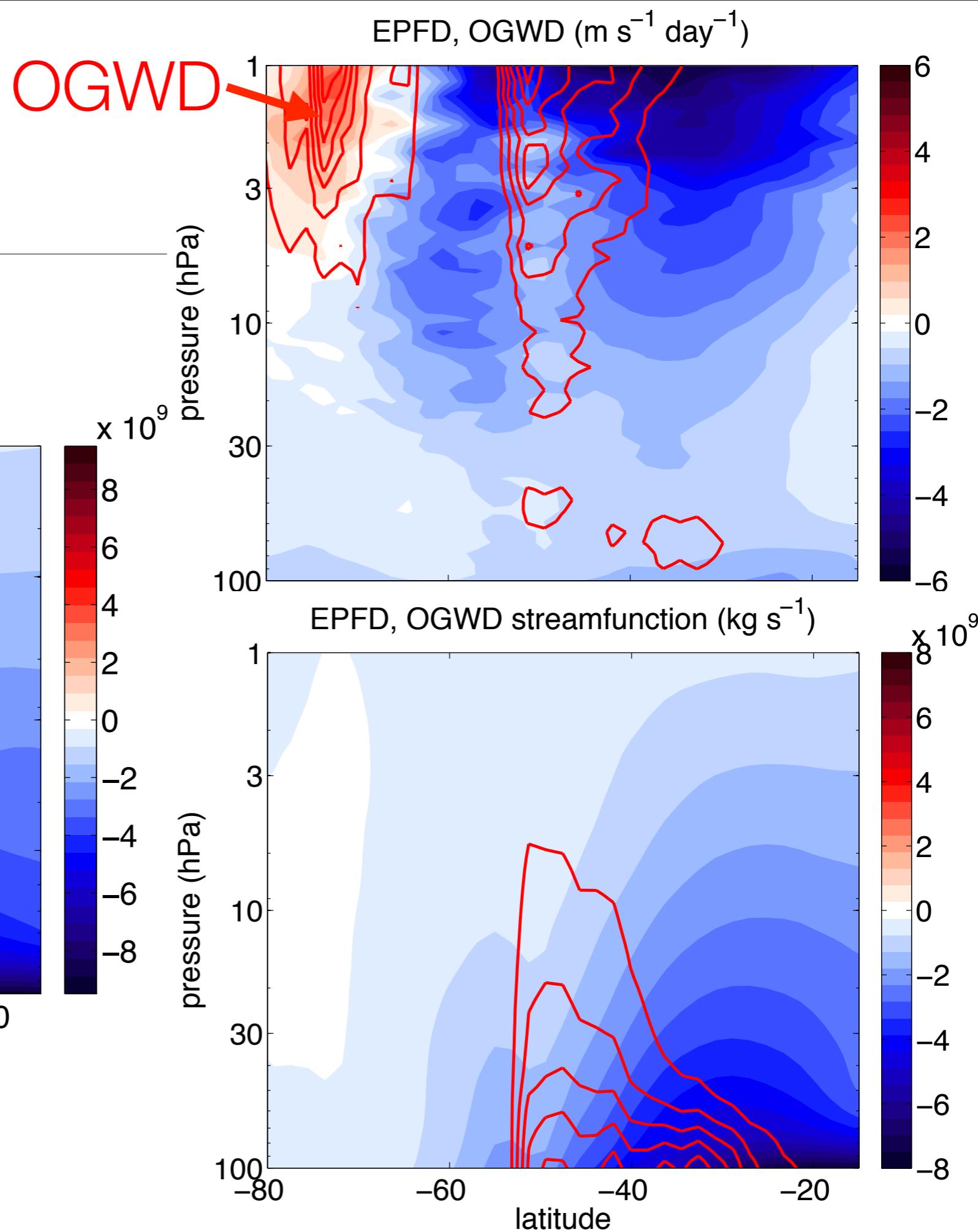
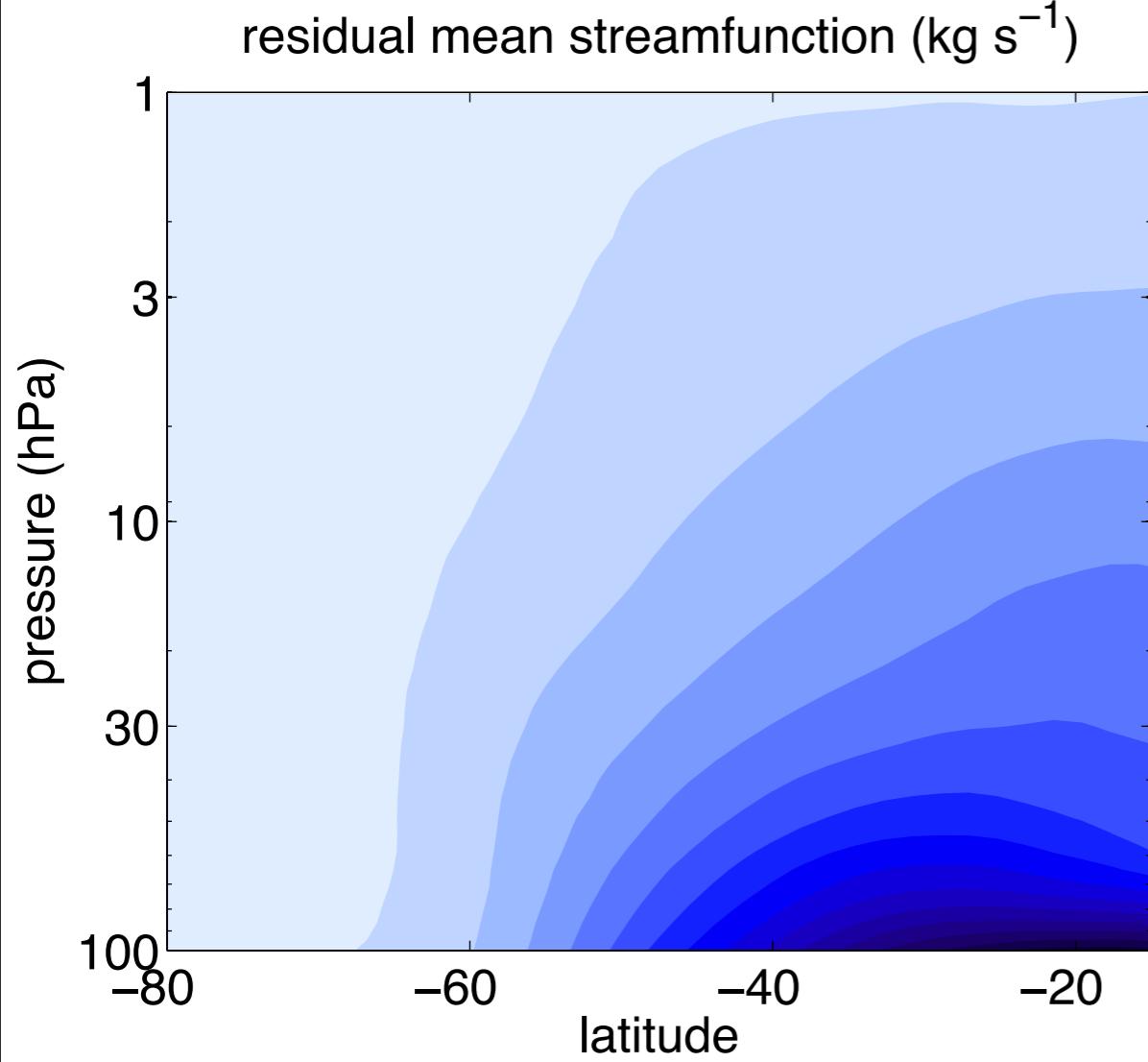
# Breaking down the streamfunction



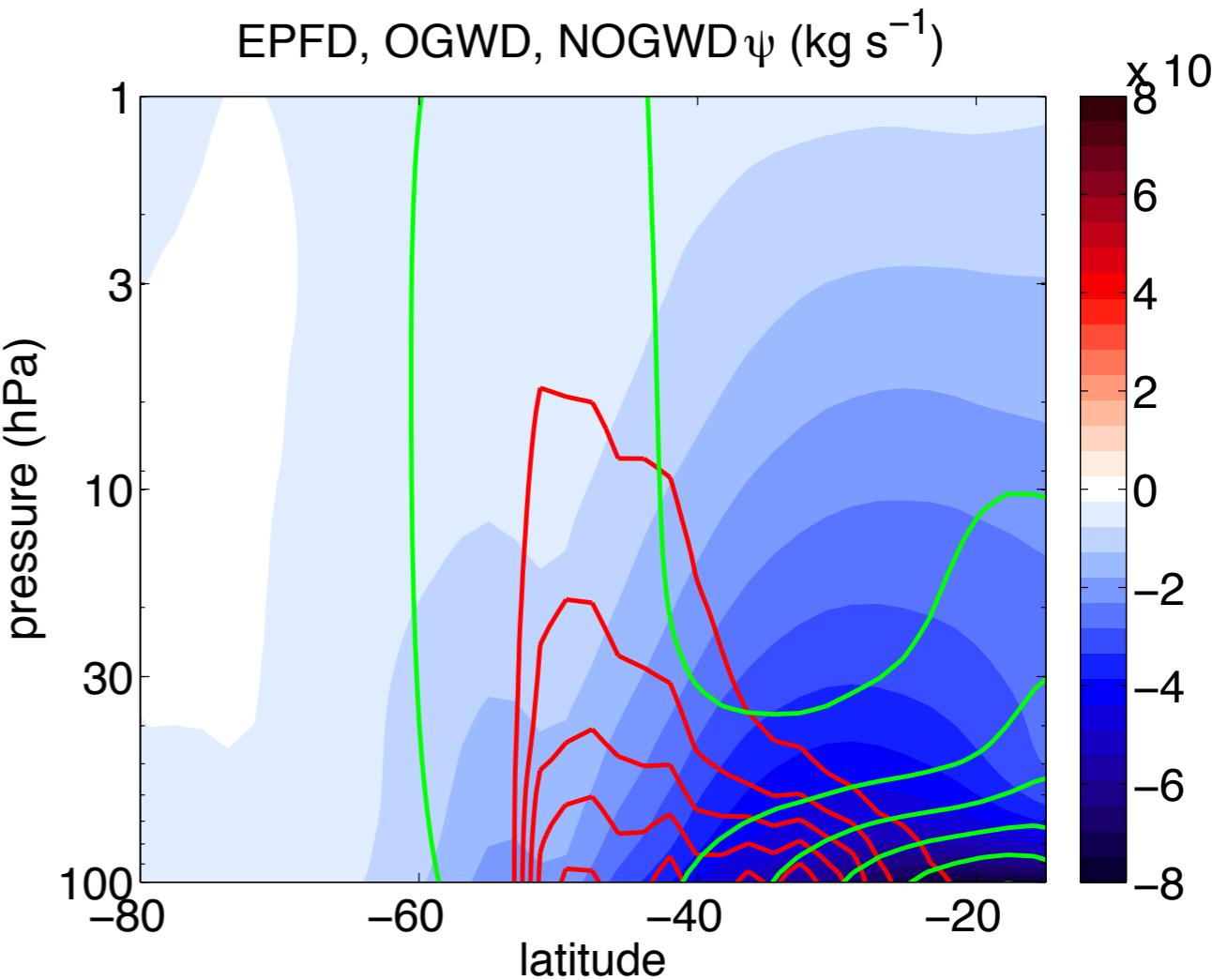
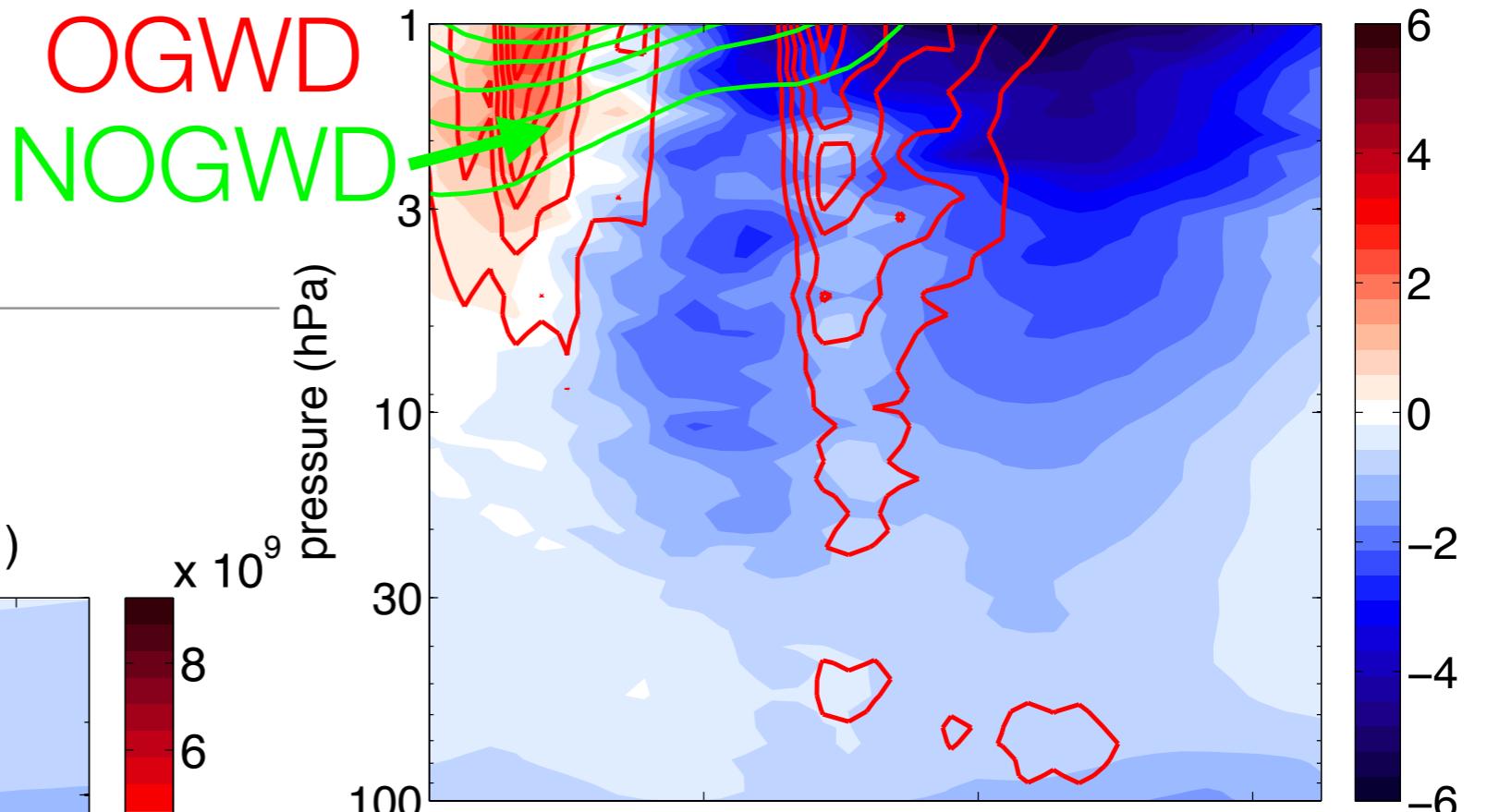
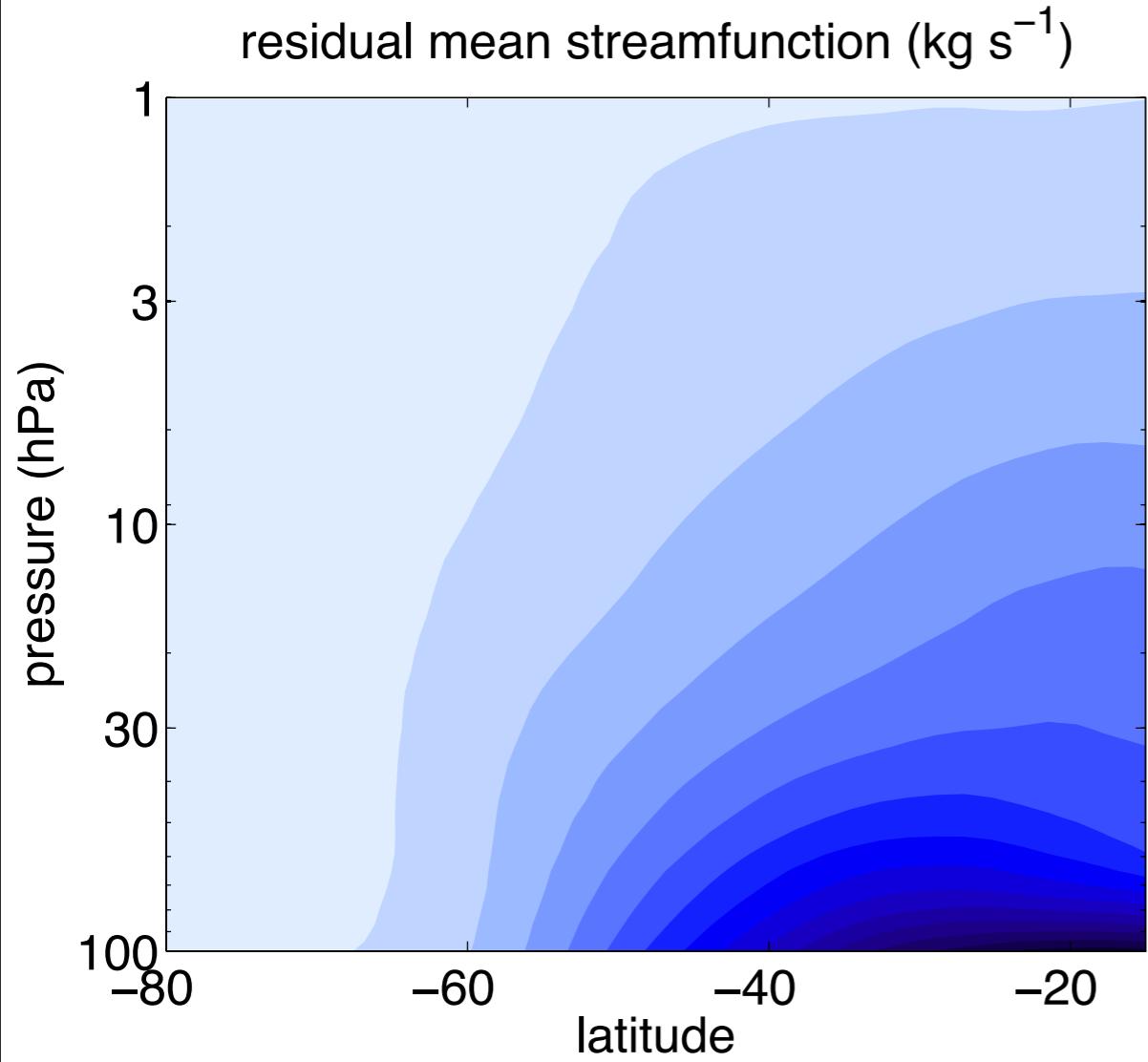
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# Breaking down the streamfunction

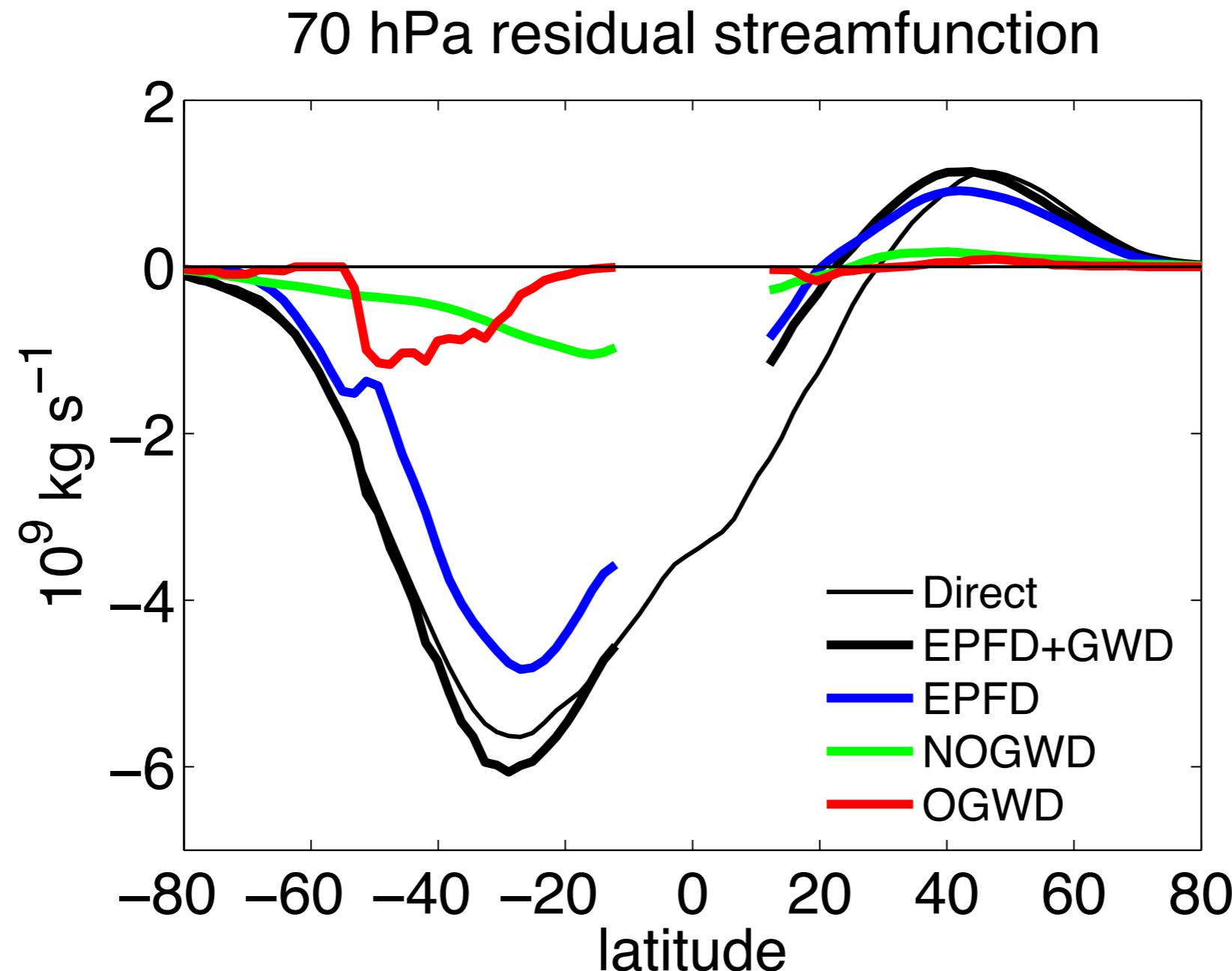


# Breaking down the streamfunction



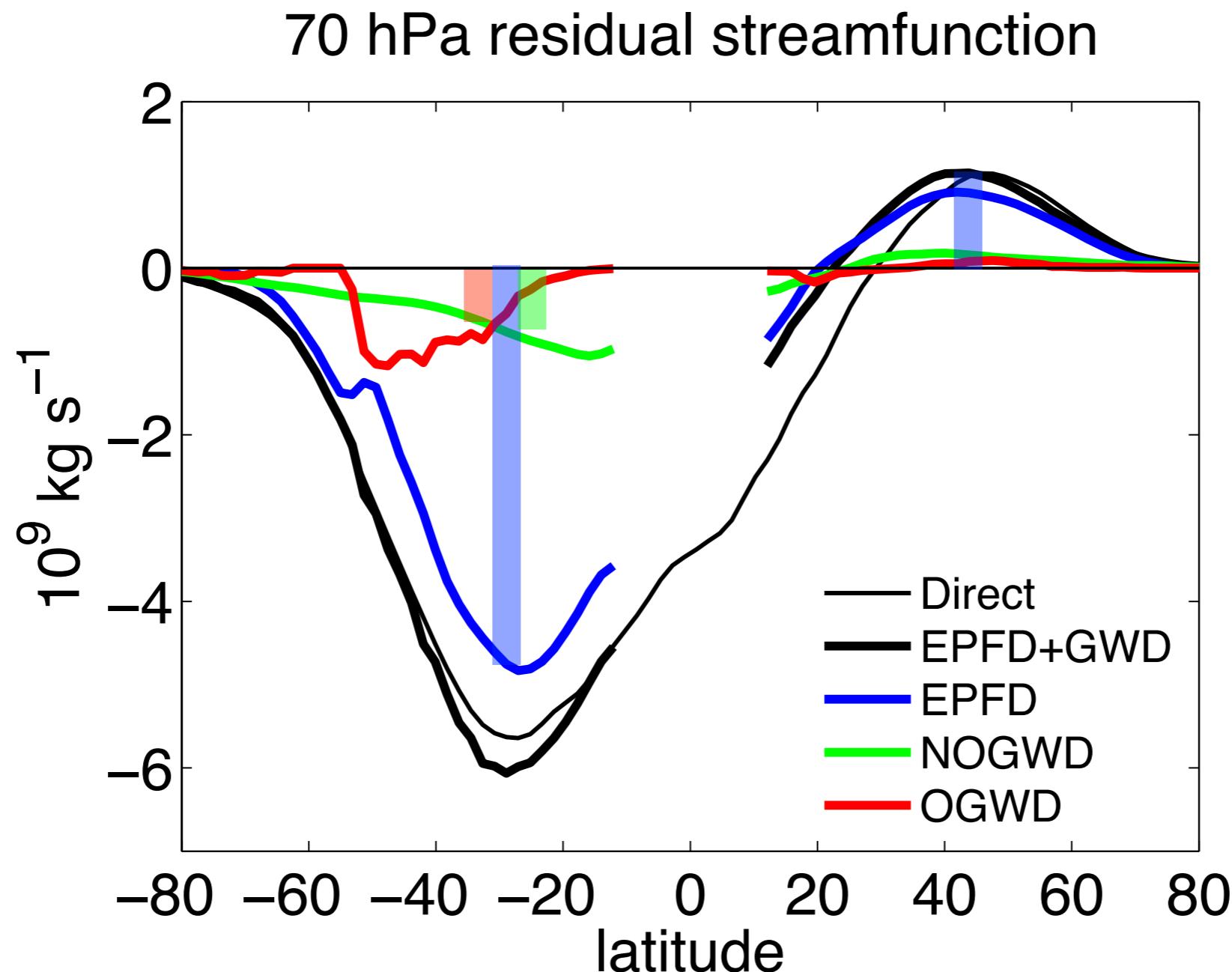
Puzzle pieces fit together to provide a smooth circulation!

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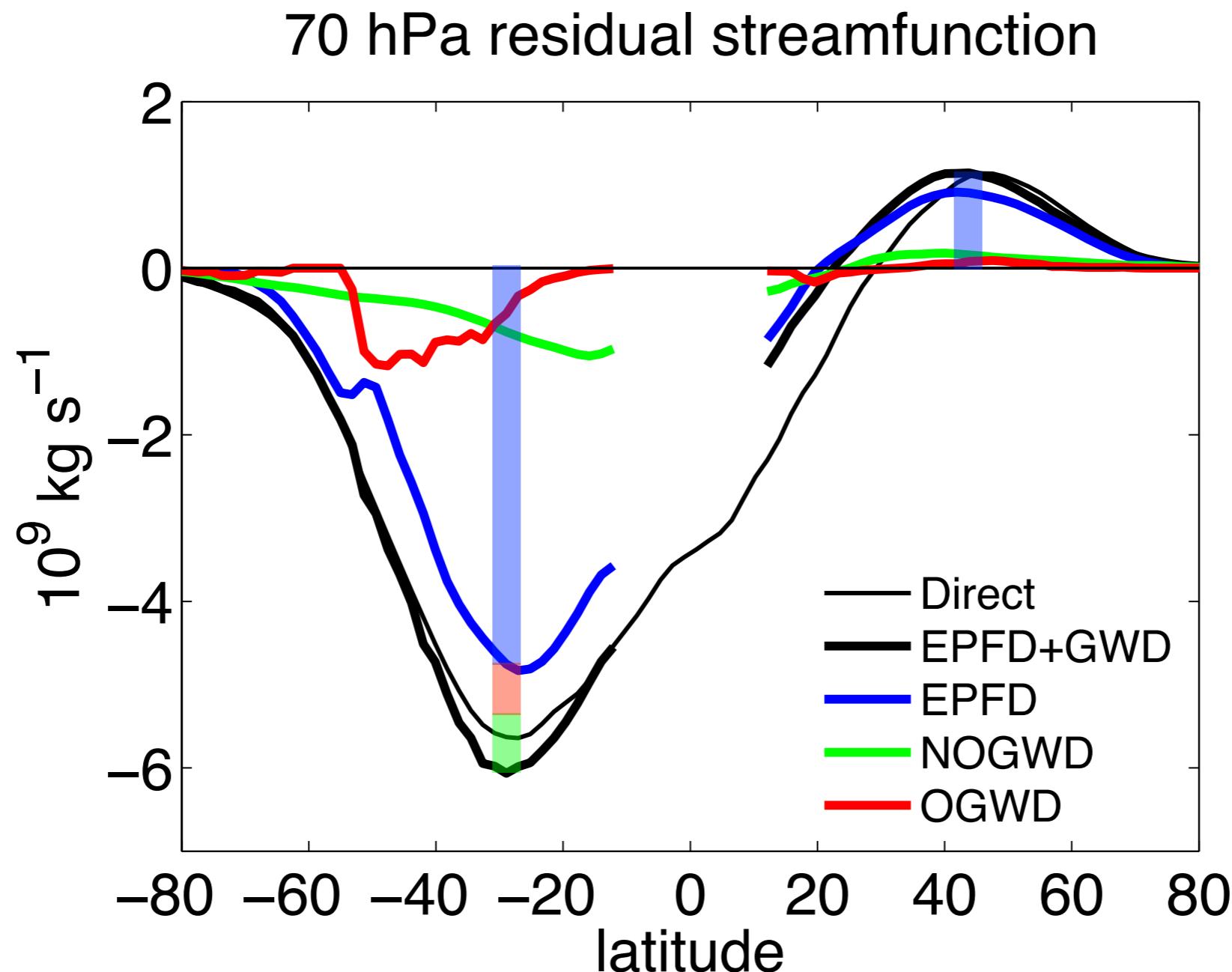
This decomposition of the BDC is used to assess the roles of each type of wave driving.

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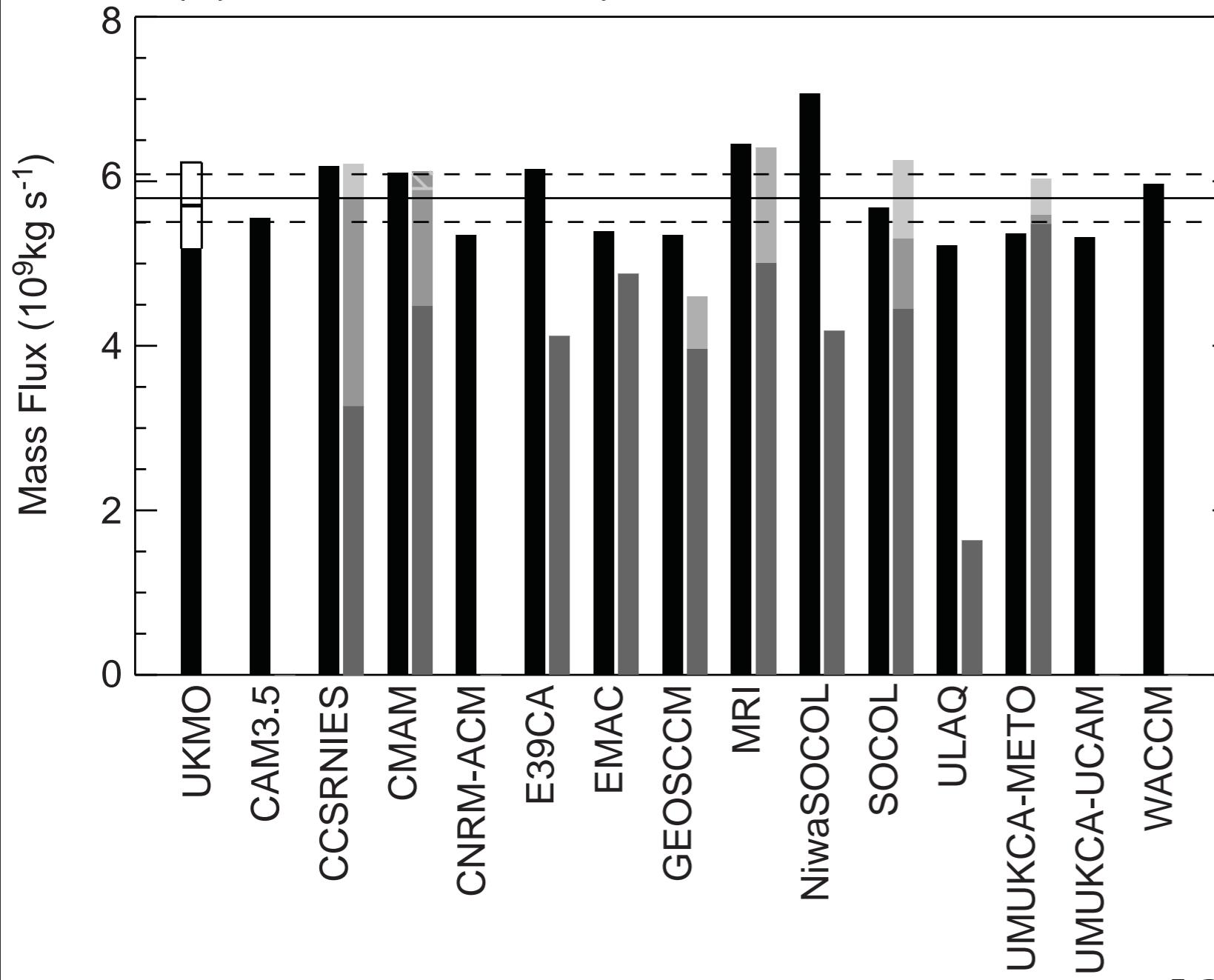
This decomposition of the BDC is used to assess the roles of each type of wave driving.

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# What drives the Brewer-Dobson Circulation?

(a) Annual mean upward mass flux at 70 hPa



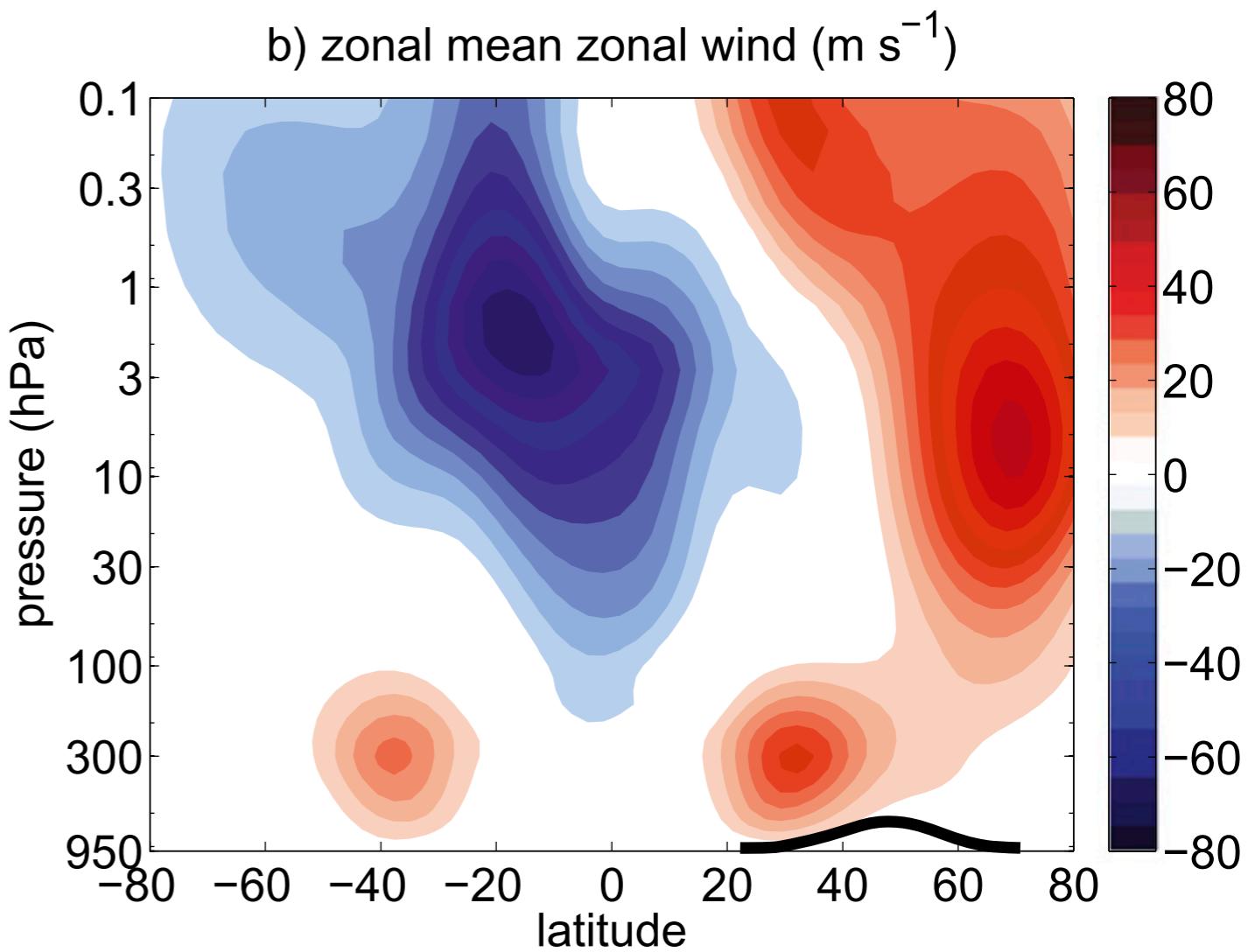
total  
Rossby waves  
orographic GW  
non-orographic GW

Why do the models agree more on  
the total circulation than on  
the components?

How do the components fit together  
so nicely to produce a smooth circulation?

# An idealized Atmospheric GCM

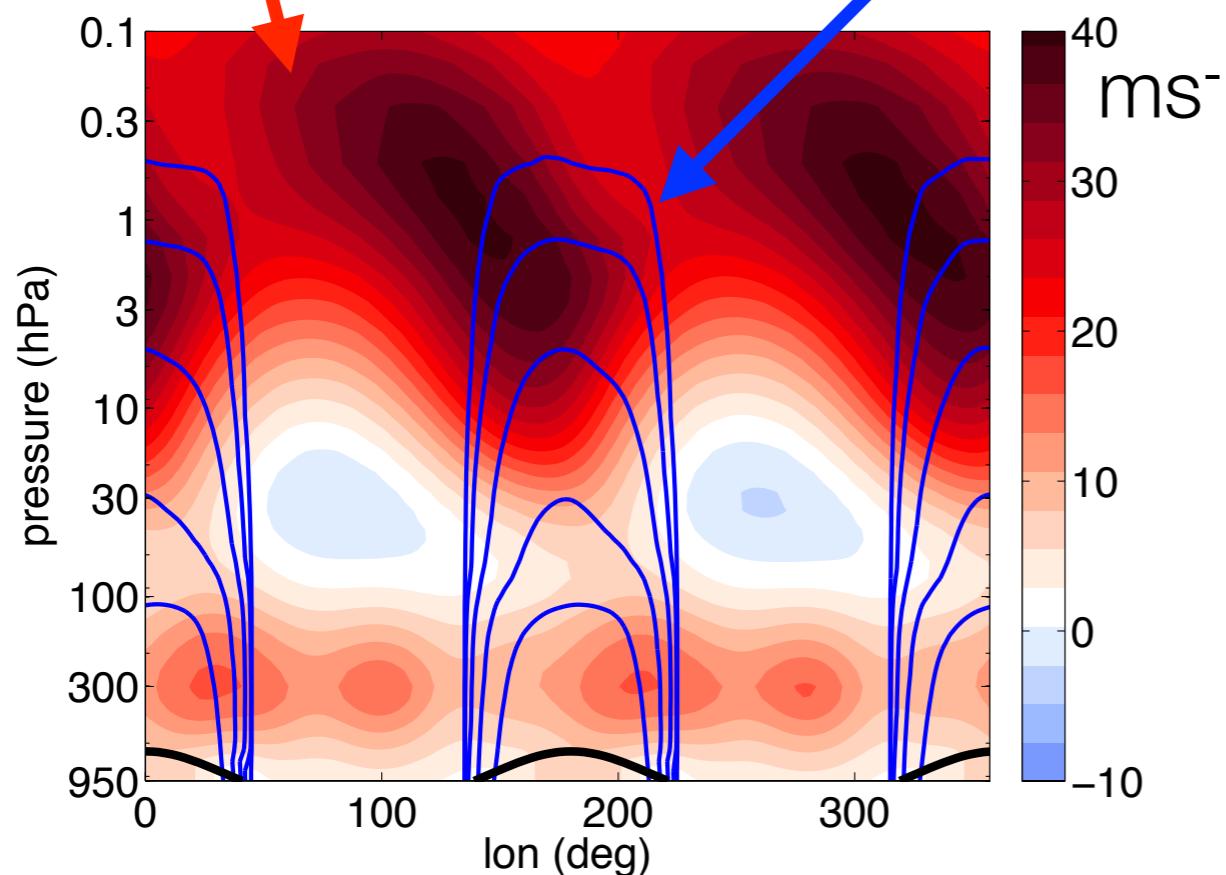
- dry primitive equations on the sphere
- Newtonian relaxation of temperature to radiative-convective equilibrium profile [*Held and Suarez 1994; Polvani and Kushner 2002*]
- Simple large scale topography [*Gerber and Polvani, 2009*]
- *Alexander and Dunkerton [1999]* non-orographic gravity wave drag
- *Pierrehumbert [1987]* orographic gravity wave drag



[*Cohen et al. 2013*]

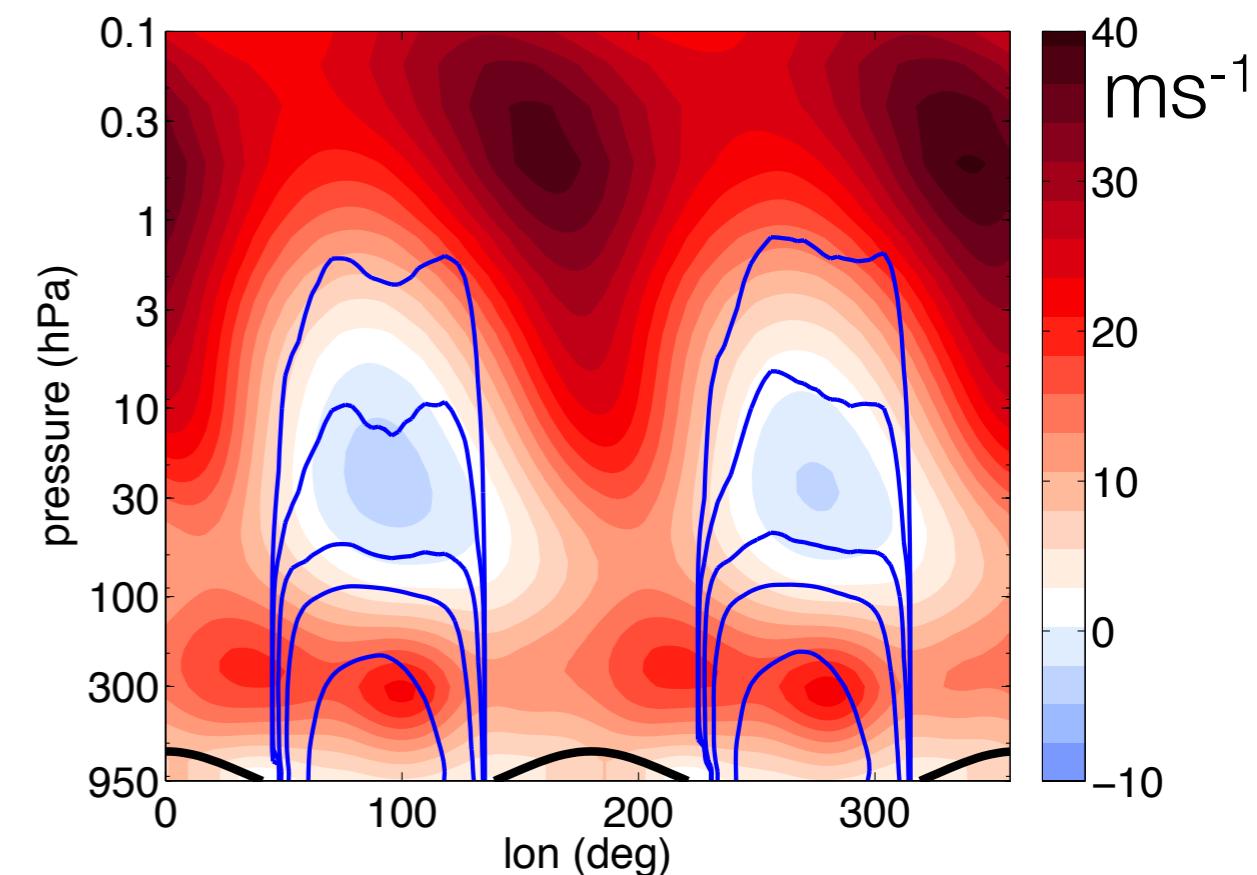
# Two experiments: Perturb the Orographic Gravity Wave Drag

zonal wind



Model A: positive correlation

OGW drag

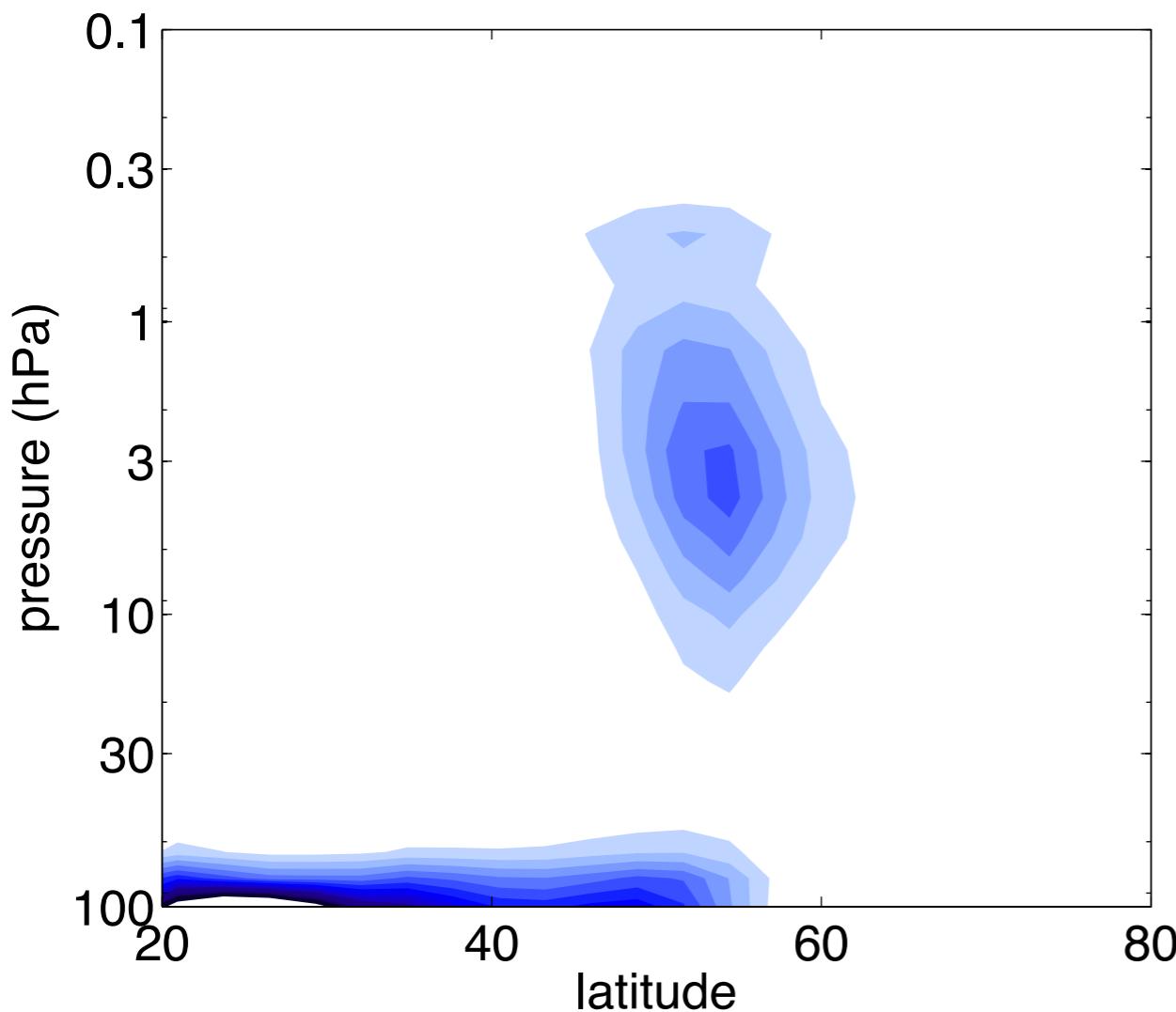


Model B: negative correlation

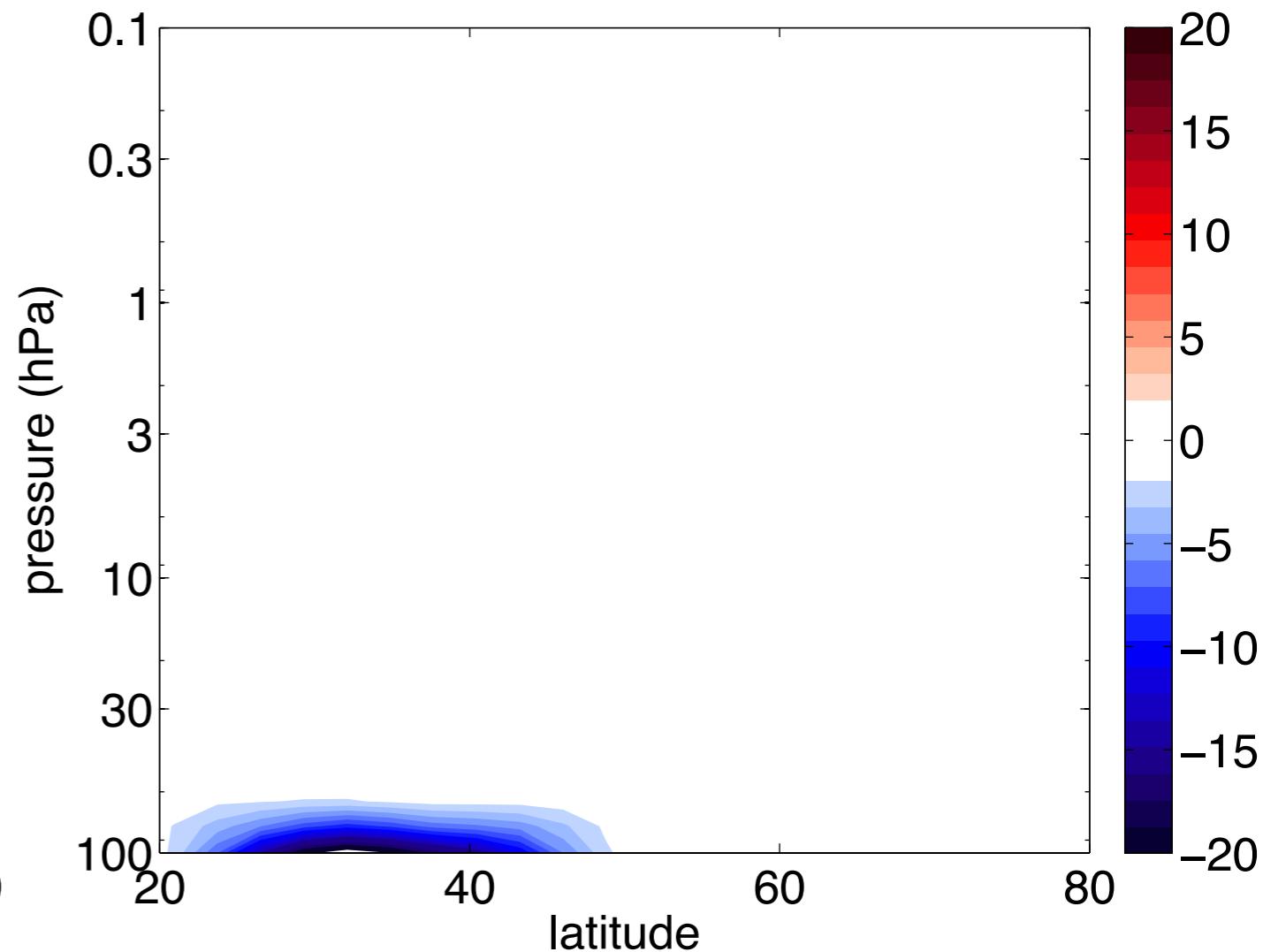
[Cohen et al. 2013]

# Impact of differences in OGW configuration

OGW driving ( $10^9$  N)  
“positive correlation”



OGW driving ( $10^9$  N)  
“negative correlation”

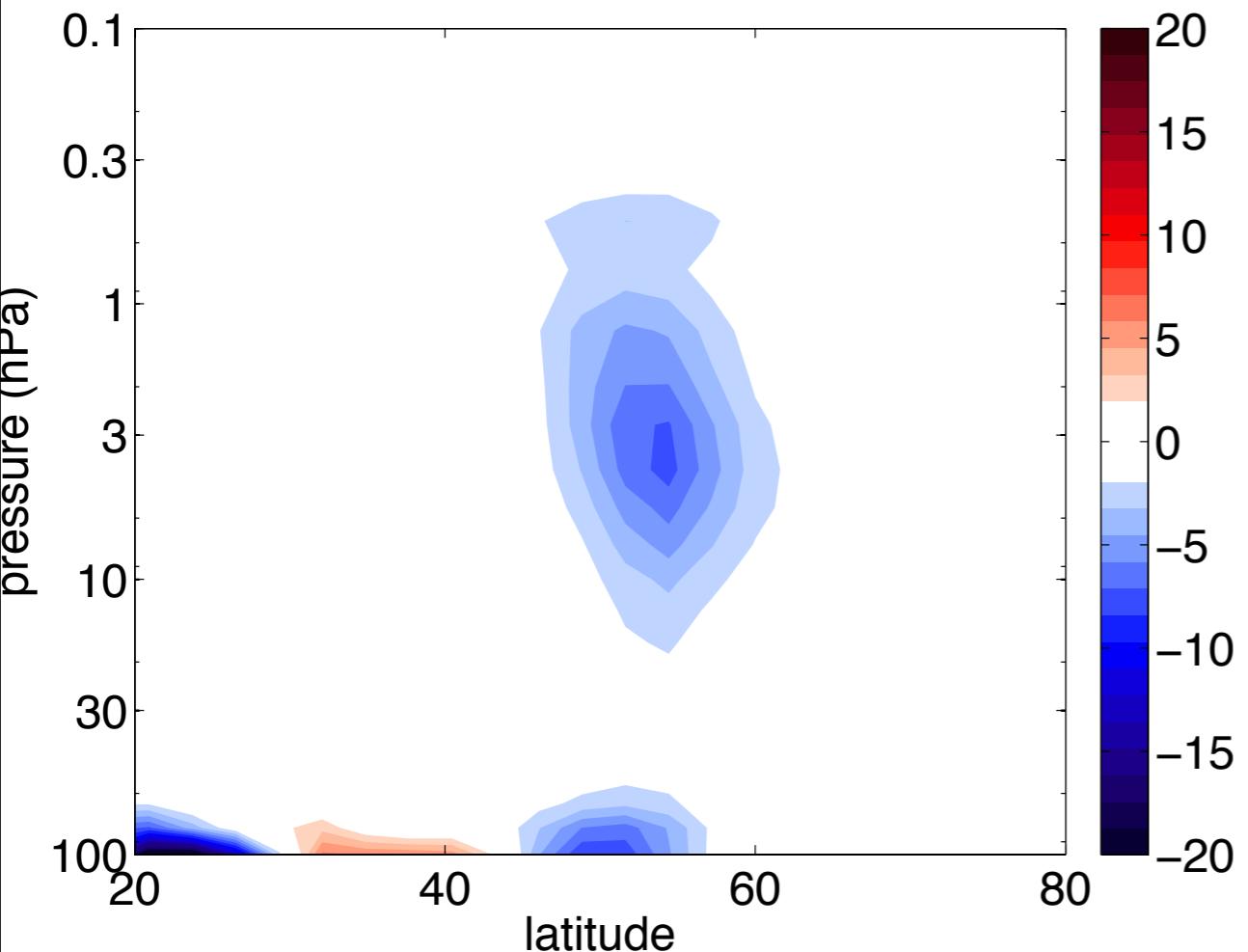


[Cohen et al. 2013]

# Impact on BDC

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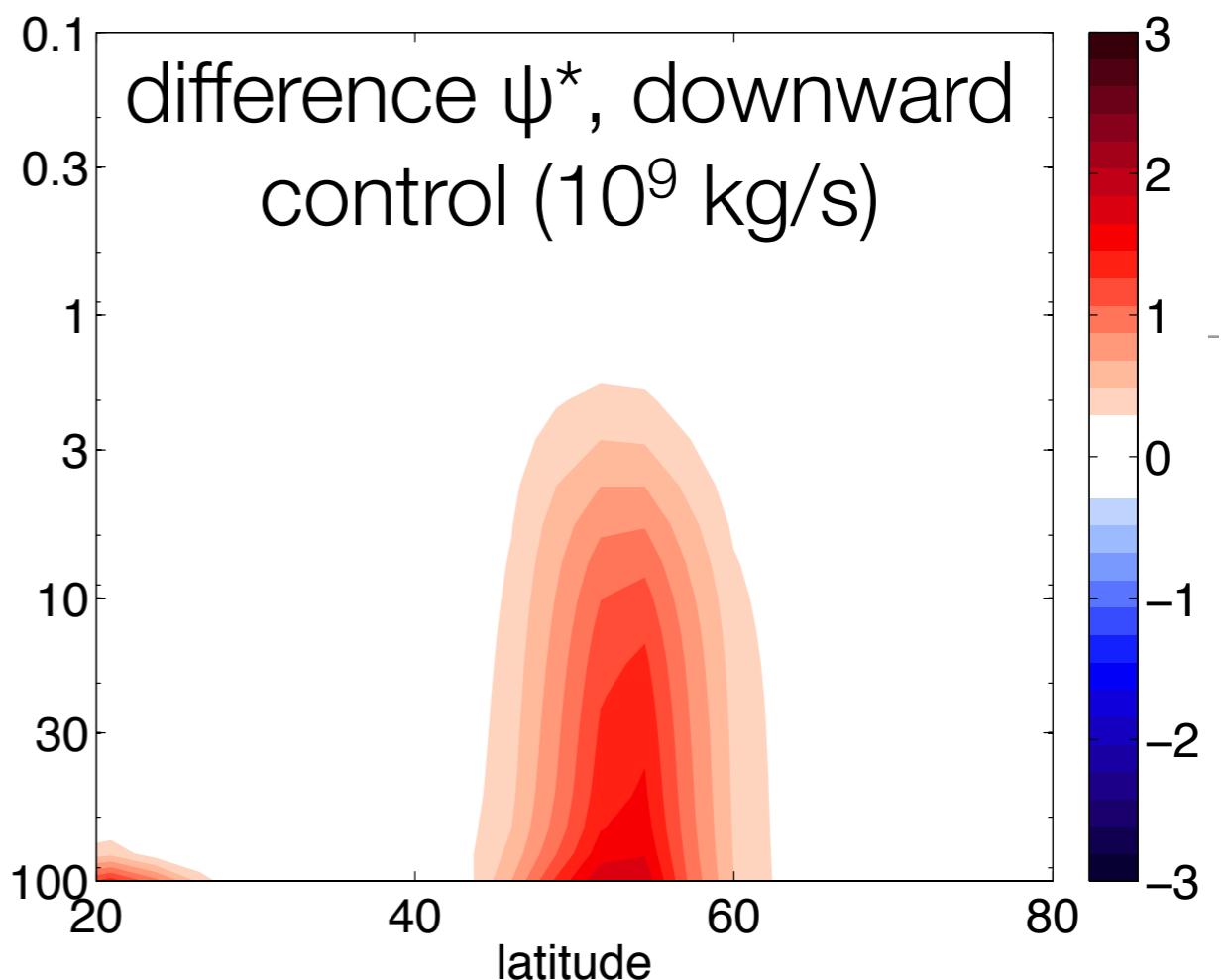
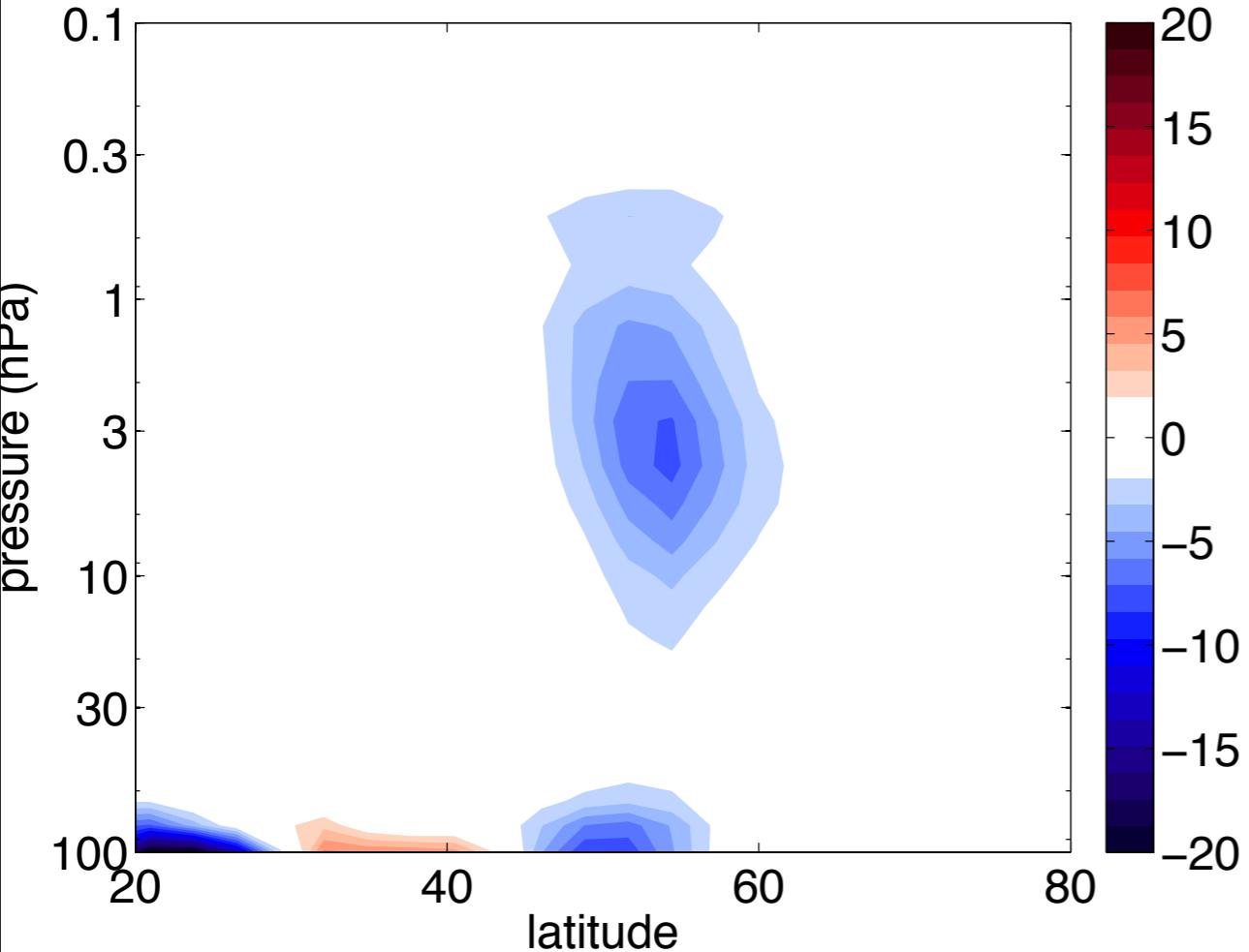
difference in OGW driving ( $10^9 \text{ N}$ )



[Cohen et al. 2013]

# Impact on BDC

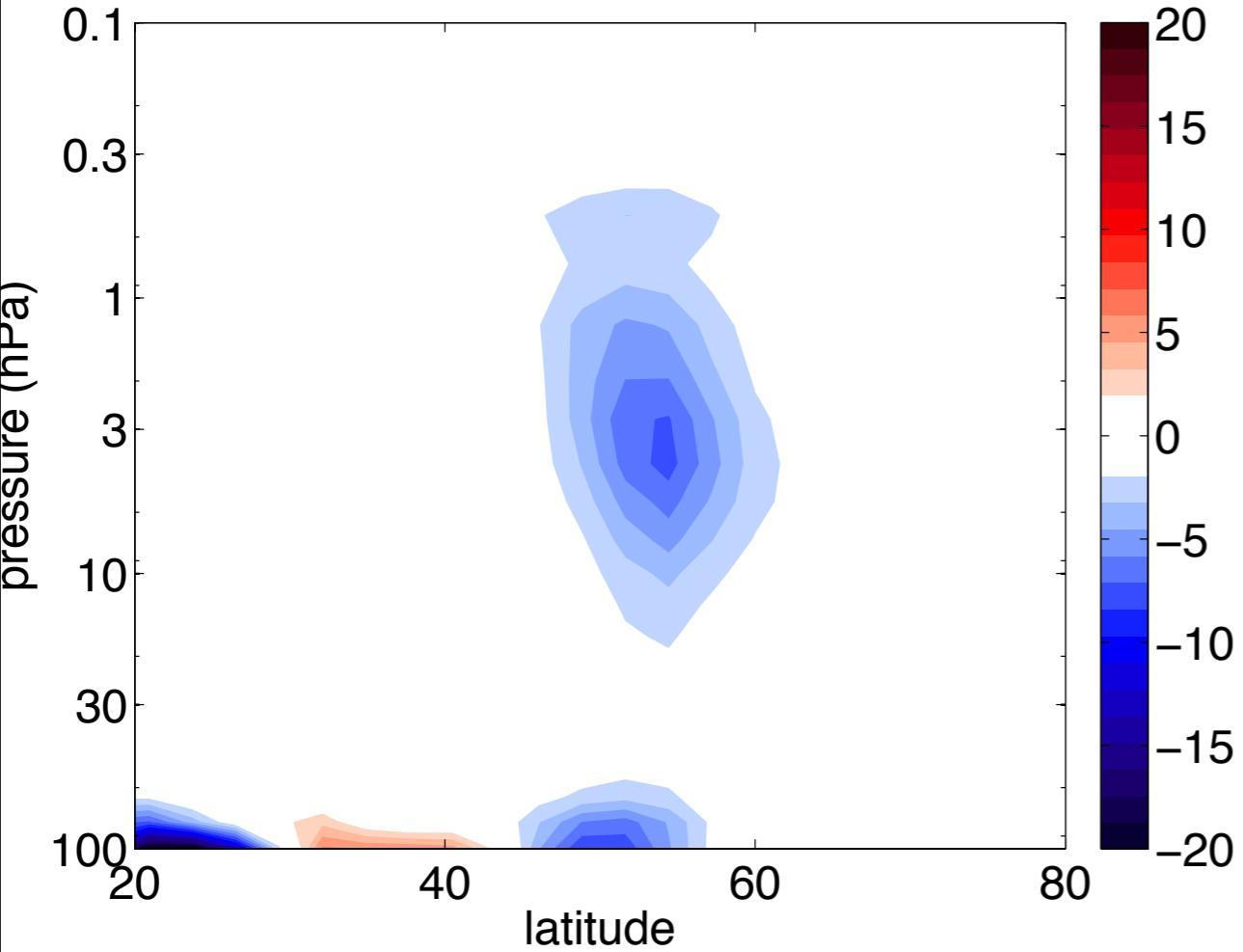
difference in OGW driving ( $10^9 \text{ N}$ )



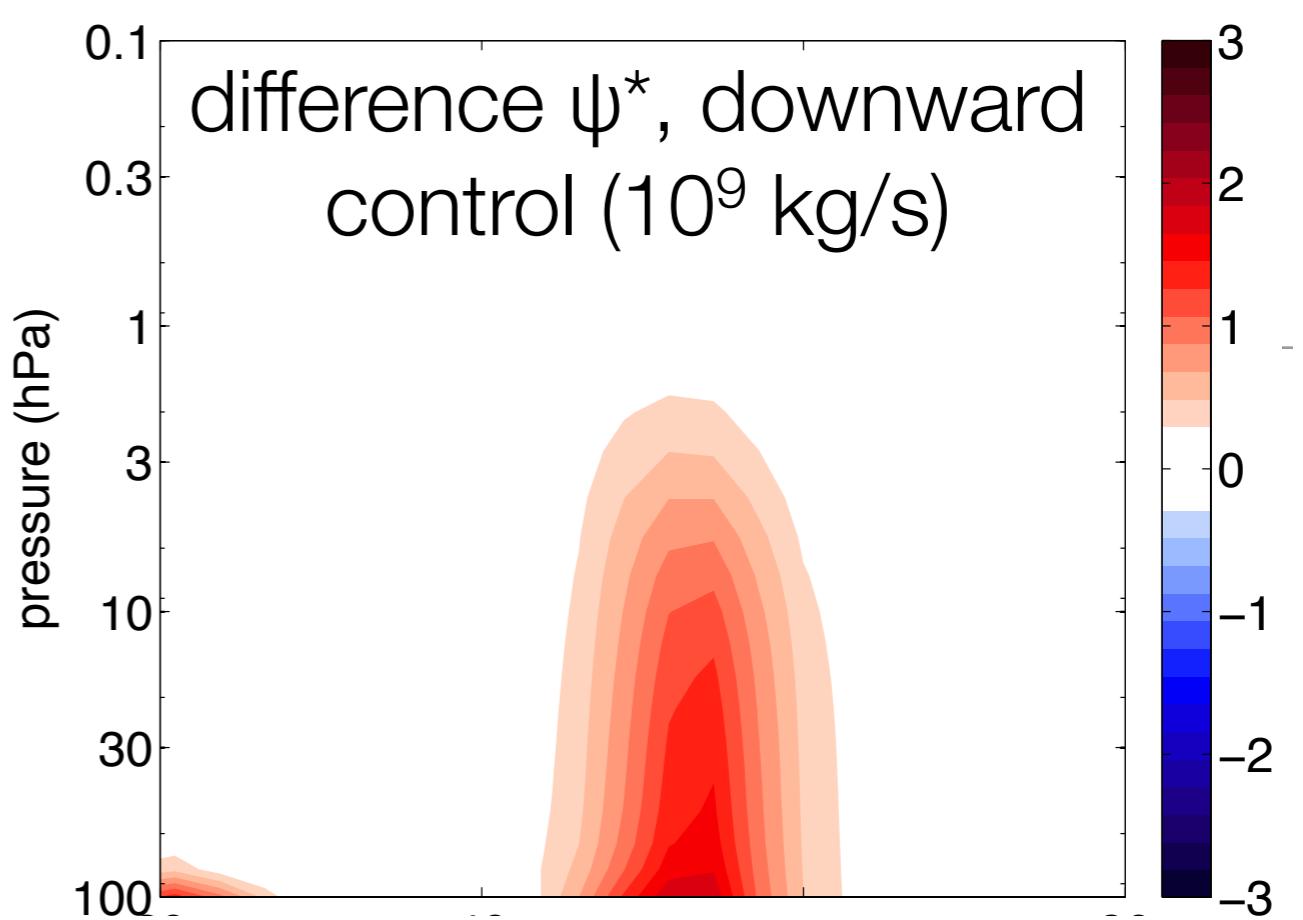
[Cohen et al. 2013]

# Impact on BDC

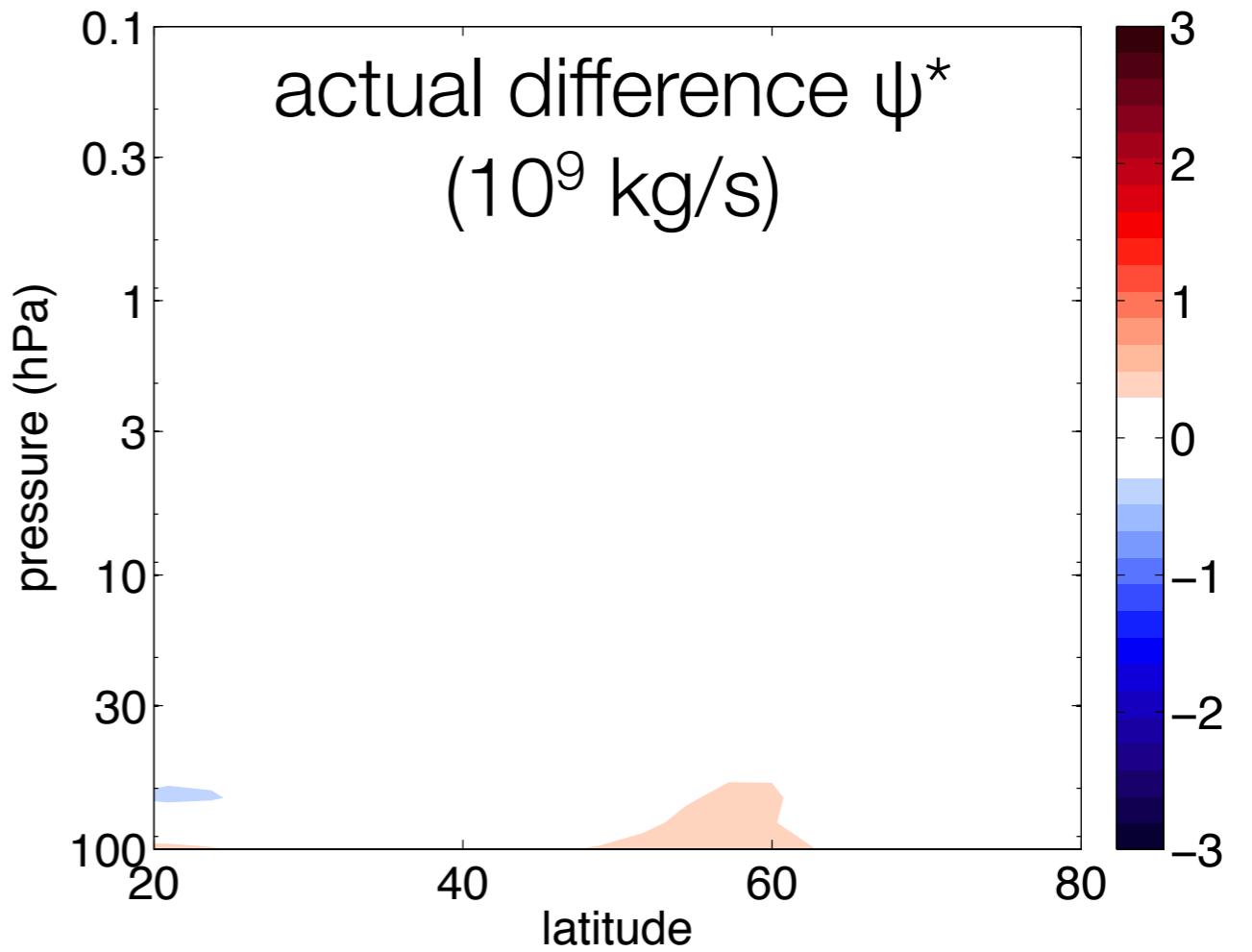
difference in OGW driving ( $10^9 \text{ N}$ )



difference  $\psi^*$ , downward  
control ( $10^9 \text{ kg/s}$ )

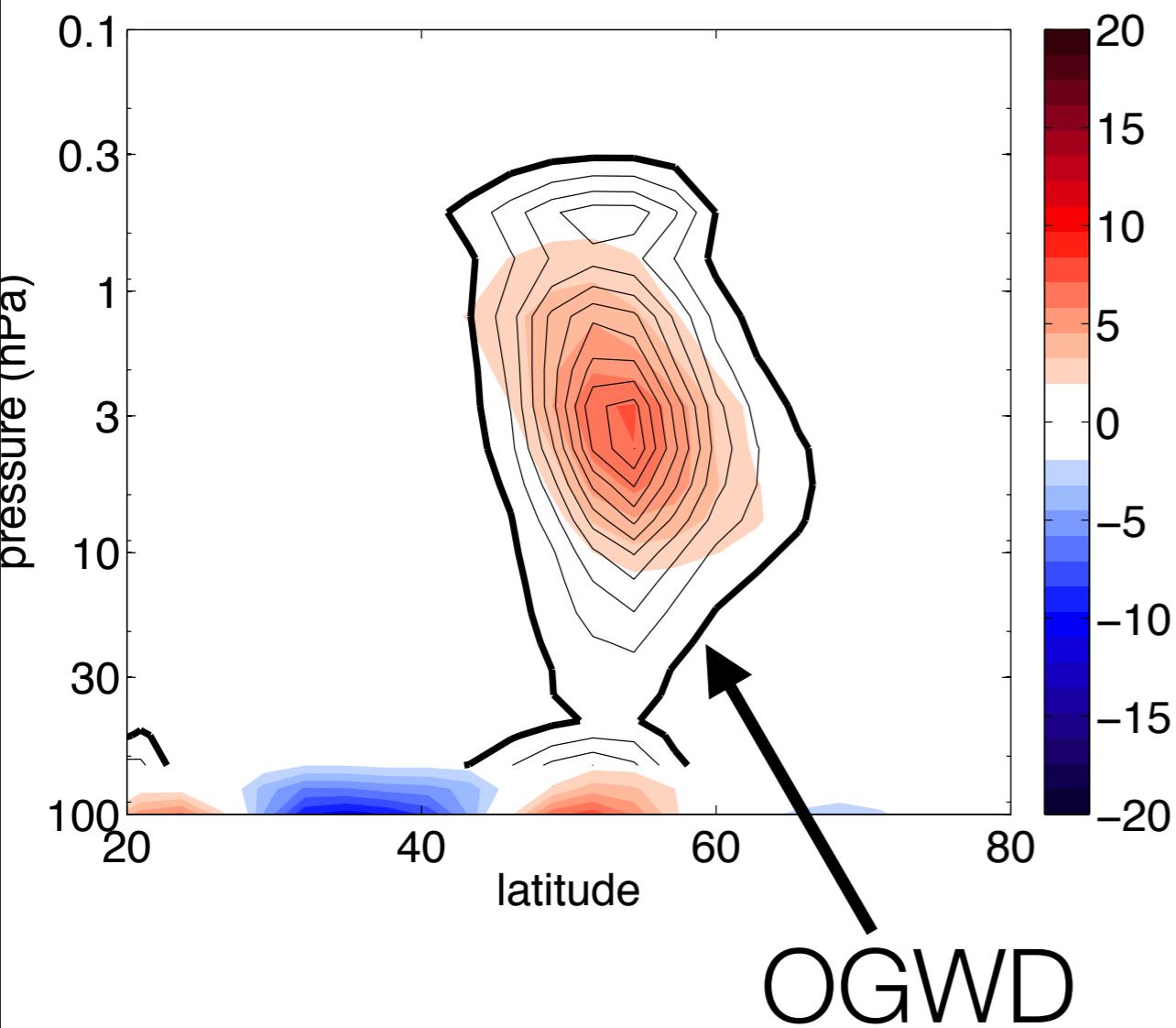


actual difference  $\psi^*$   
( $10^9 \text{ kg/s}$ )

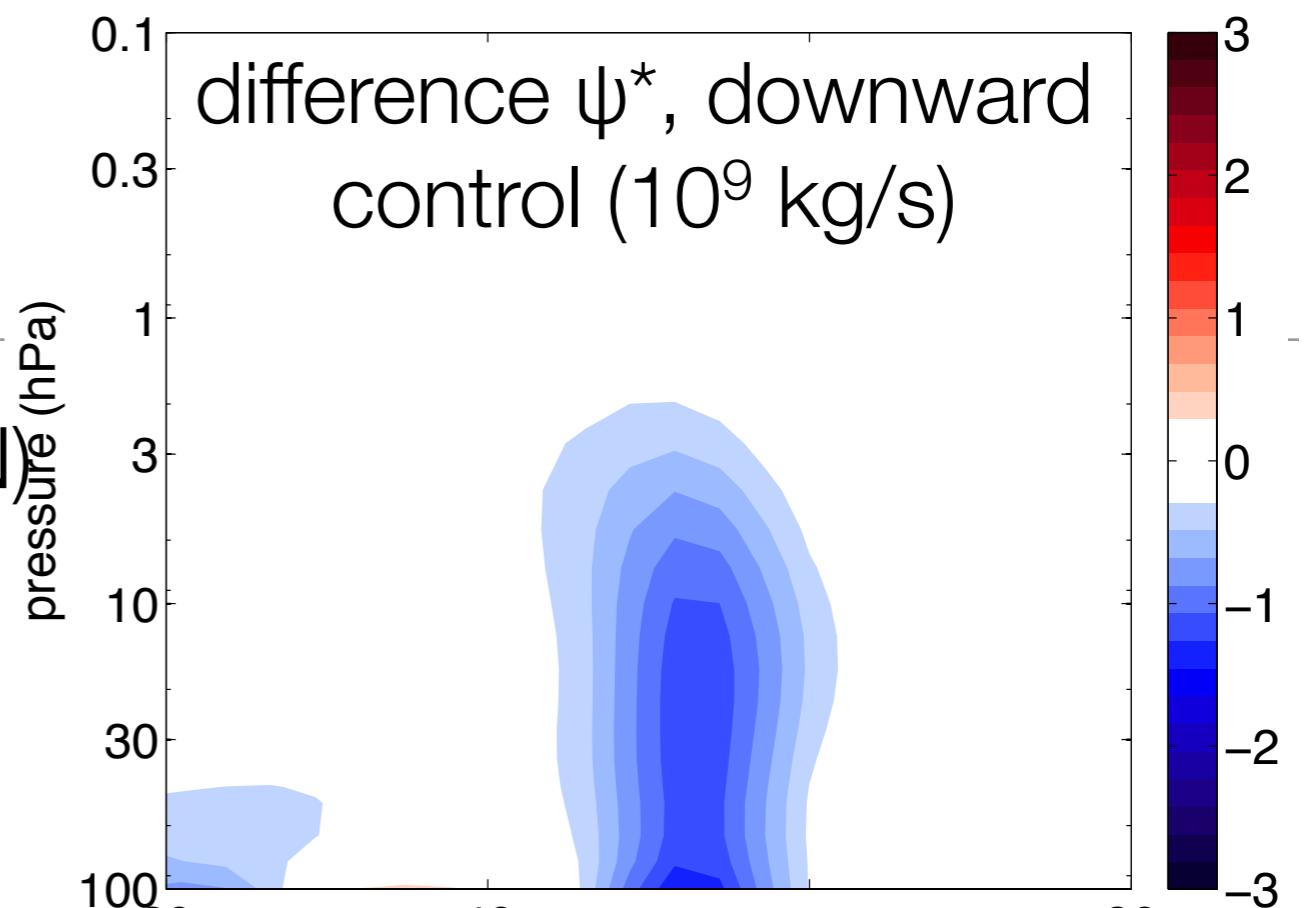


# Compensation by the resolved waves

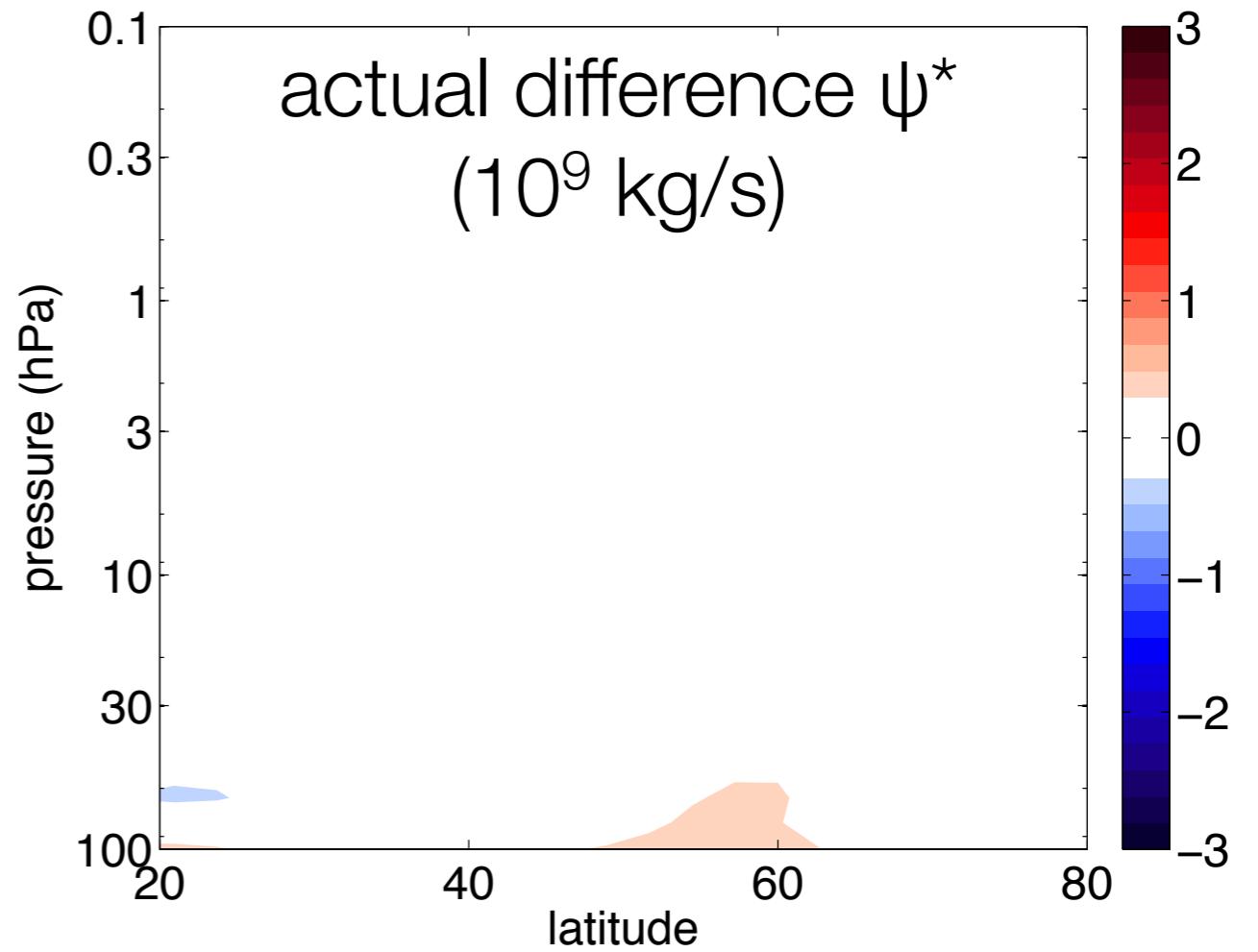
difference EP flux divergence ( $10^9 \text{ N}$ )



difference  $\psi^*$ , downward control ( $10^9 \text{ kg/s}$ )



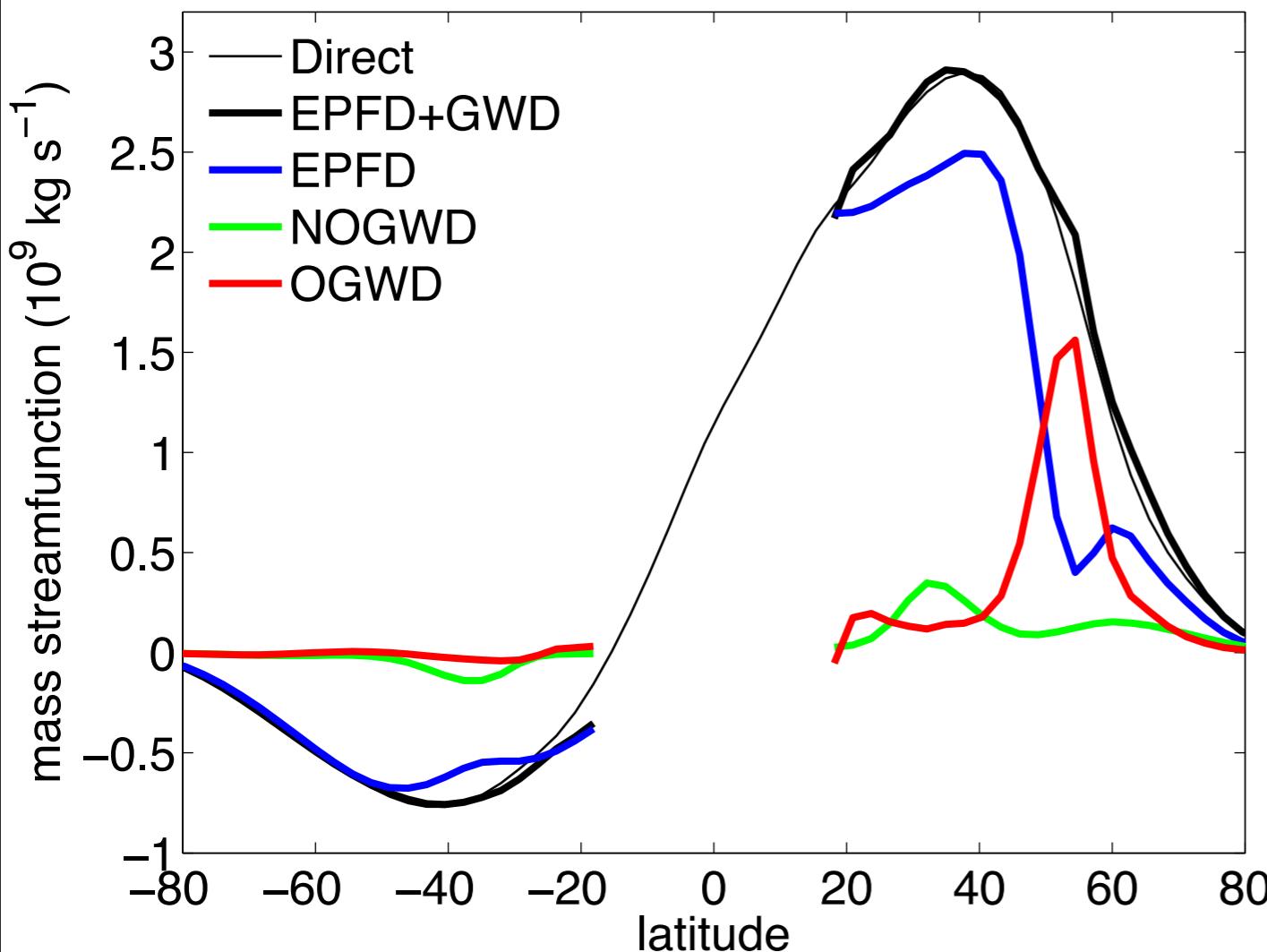
actual difference  $\psi^*$   
( $10^9 \text{ kg/s}$ )



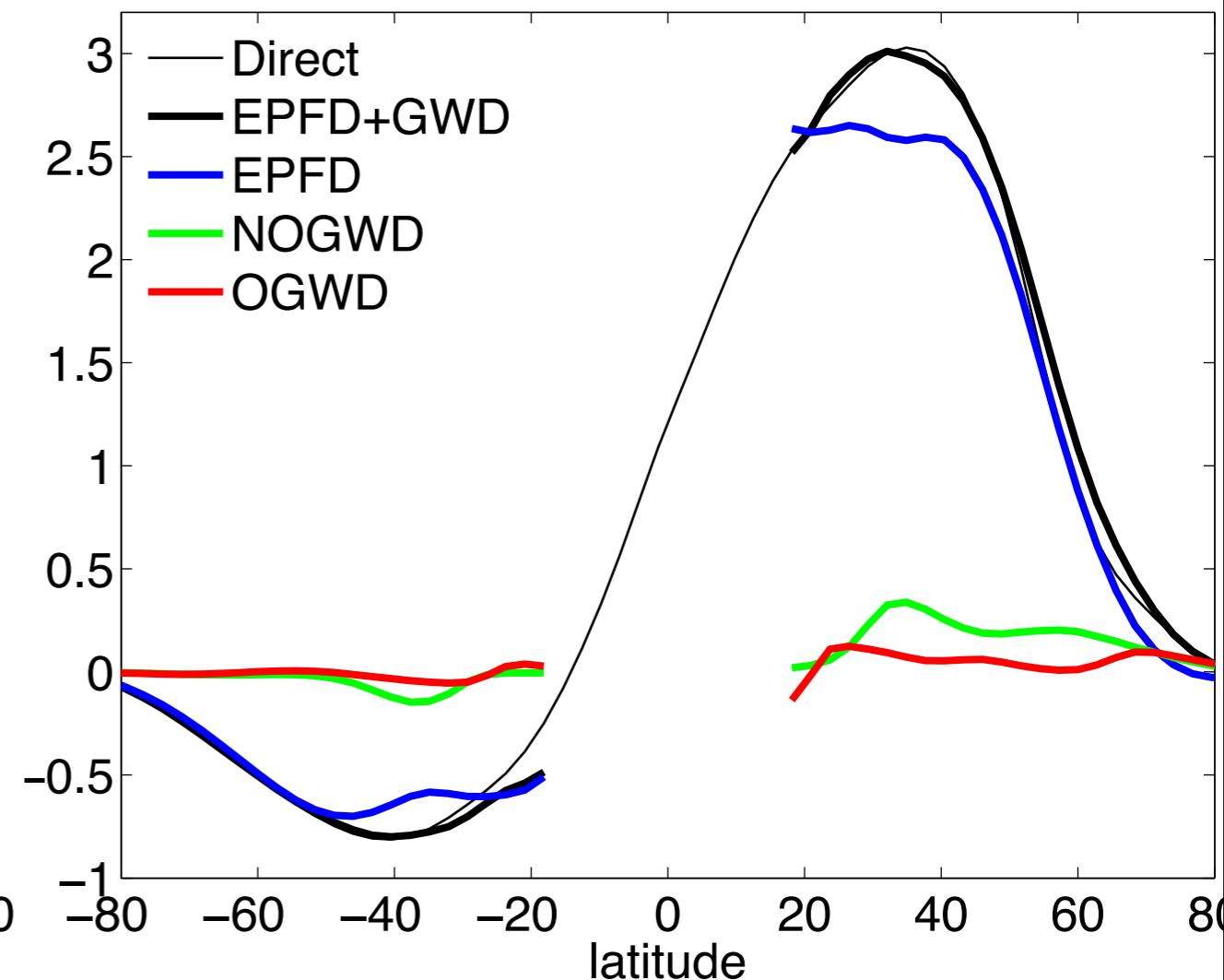
# What “drives” the BDC?

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## Residual Mean Streamfunction at 70 hPa



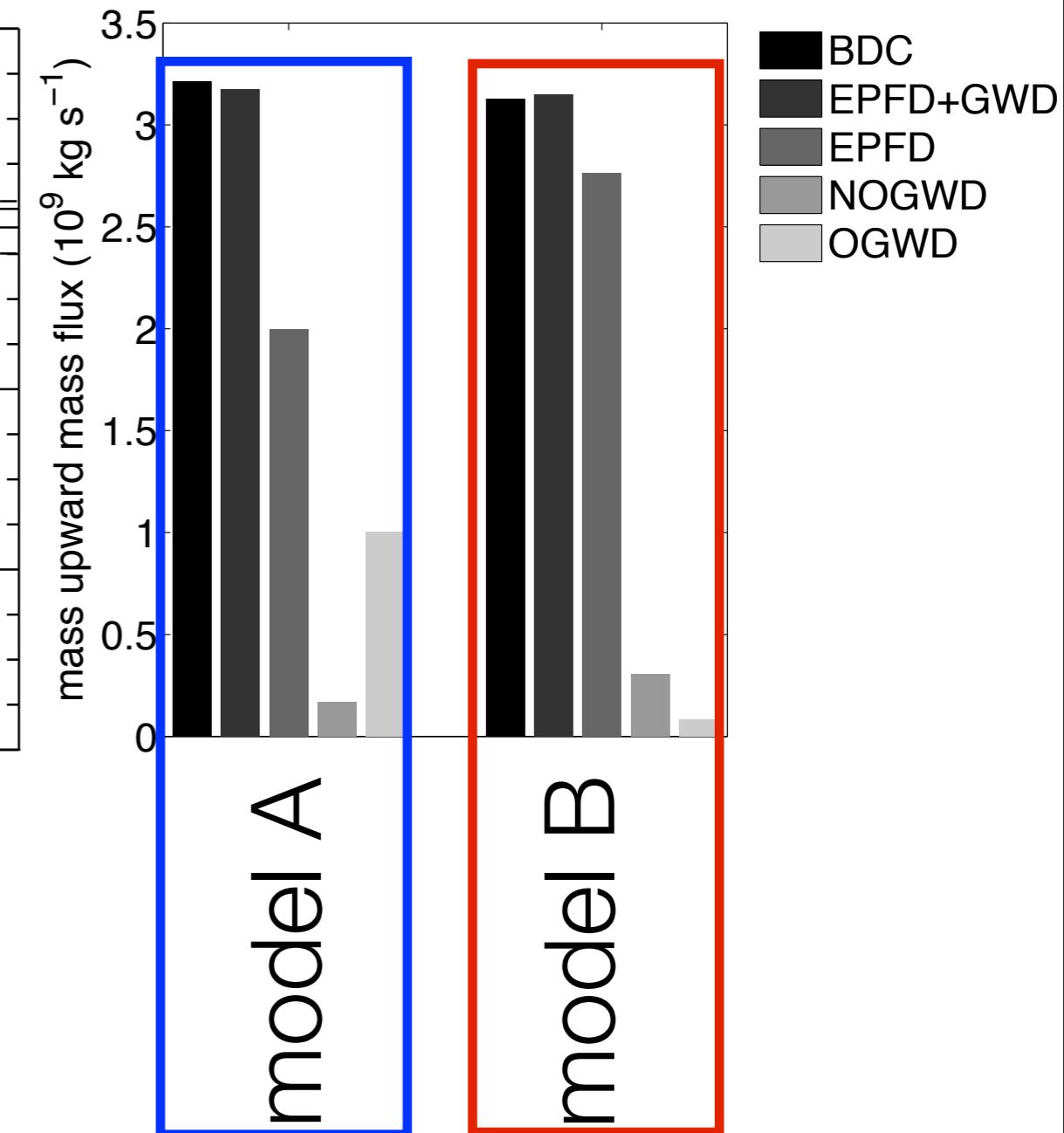
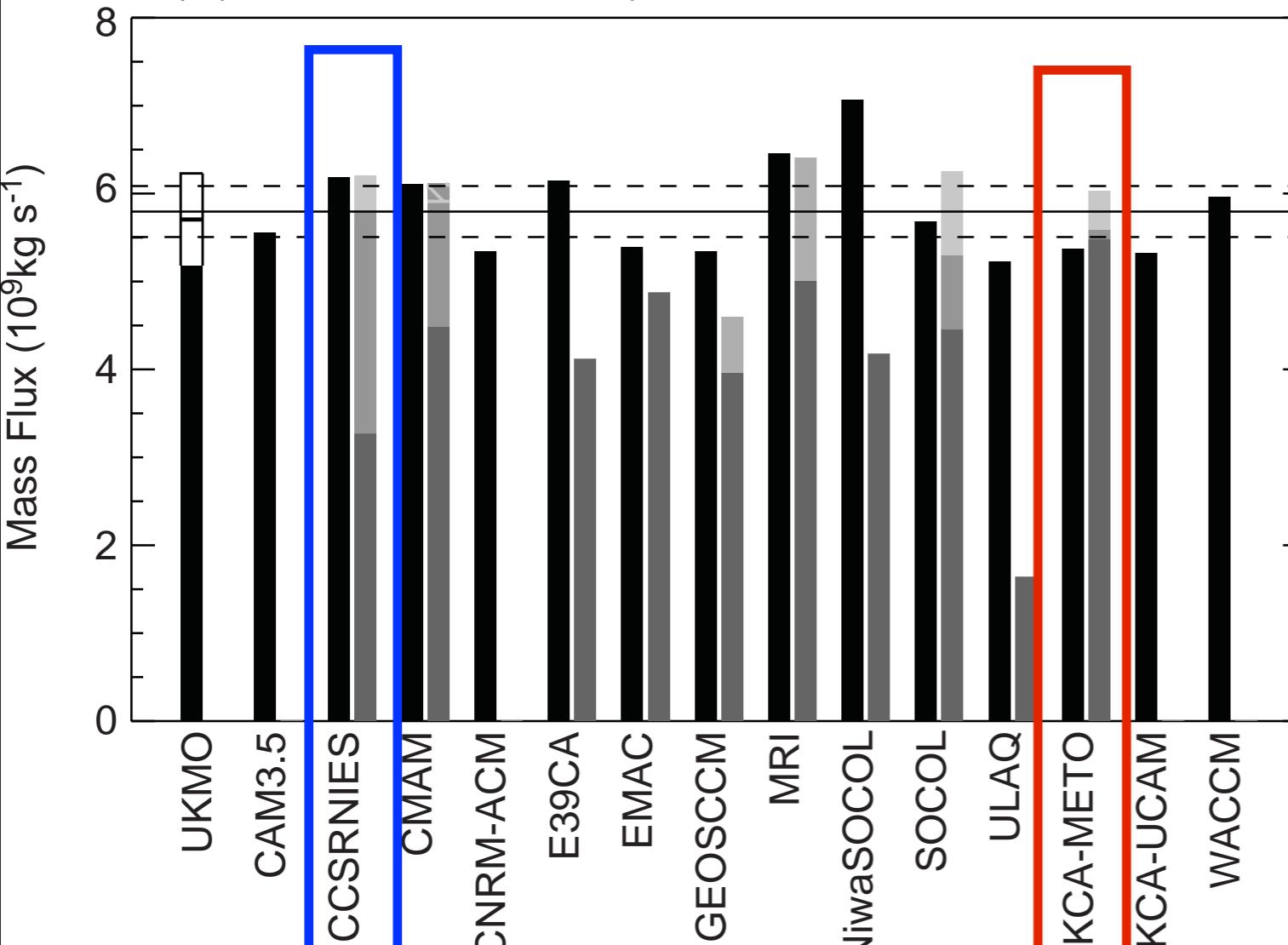
Model A



Model B  
[Cohen et al. 2013]

# Implication of compensation for BDC driving...

(a) Annual mean upward mass flux at 70 hPa



# What is going on here?

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*When I find myself in times of trouble,  
Father Hoskins comes to me,  
speaking words of wisdom ...*

*PV ... PV!*

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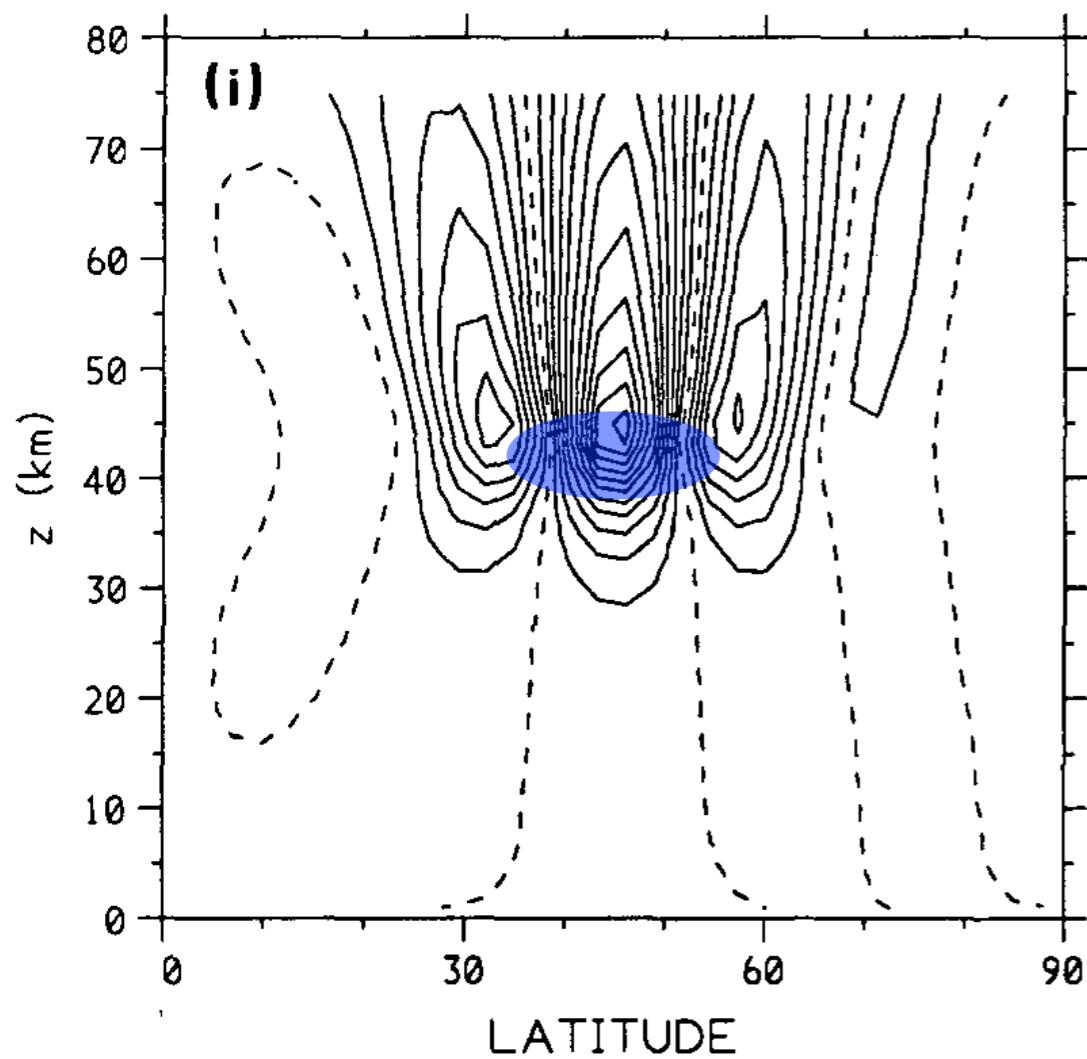
(That is, how do the wave forcings affect  
the potential vorticity.)

# Back to Basics: Haynes et al. 1991

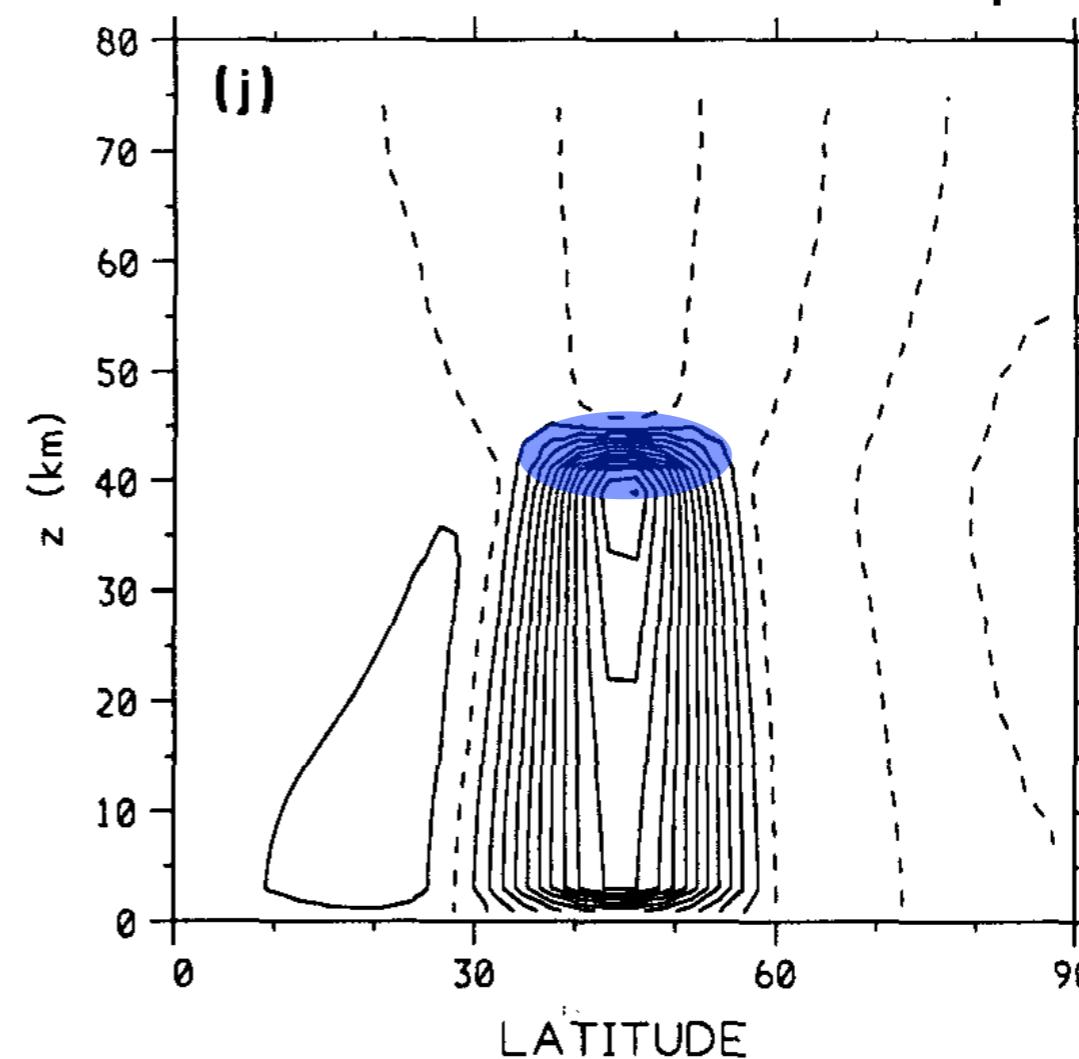
(Near) steady response to a localized torque

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zonal wind



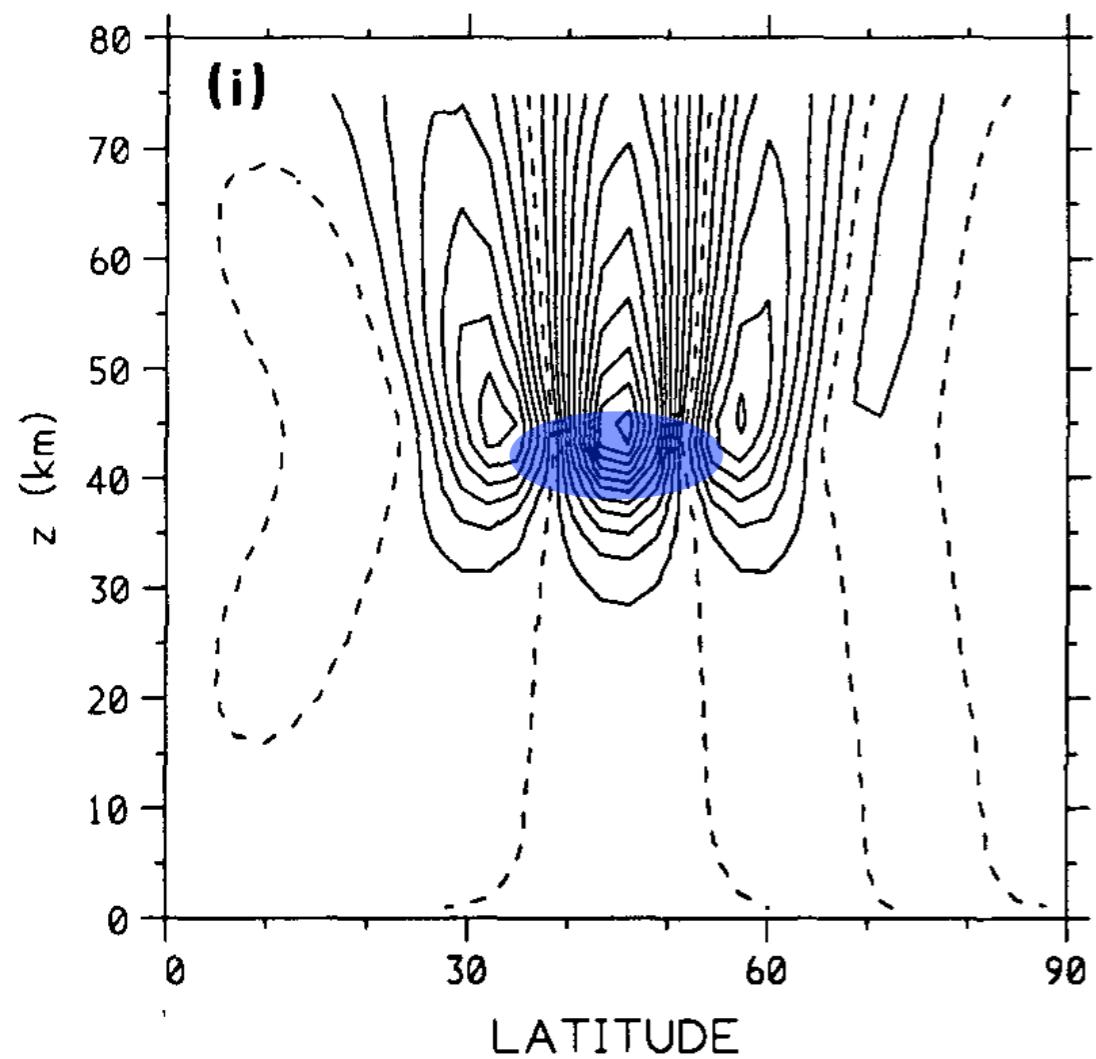
streamfunction  $\psi$



# For what torques is the circulation reasonable?

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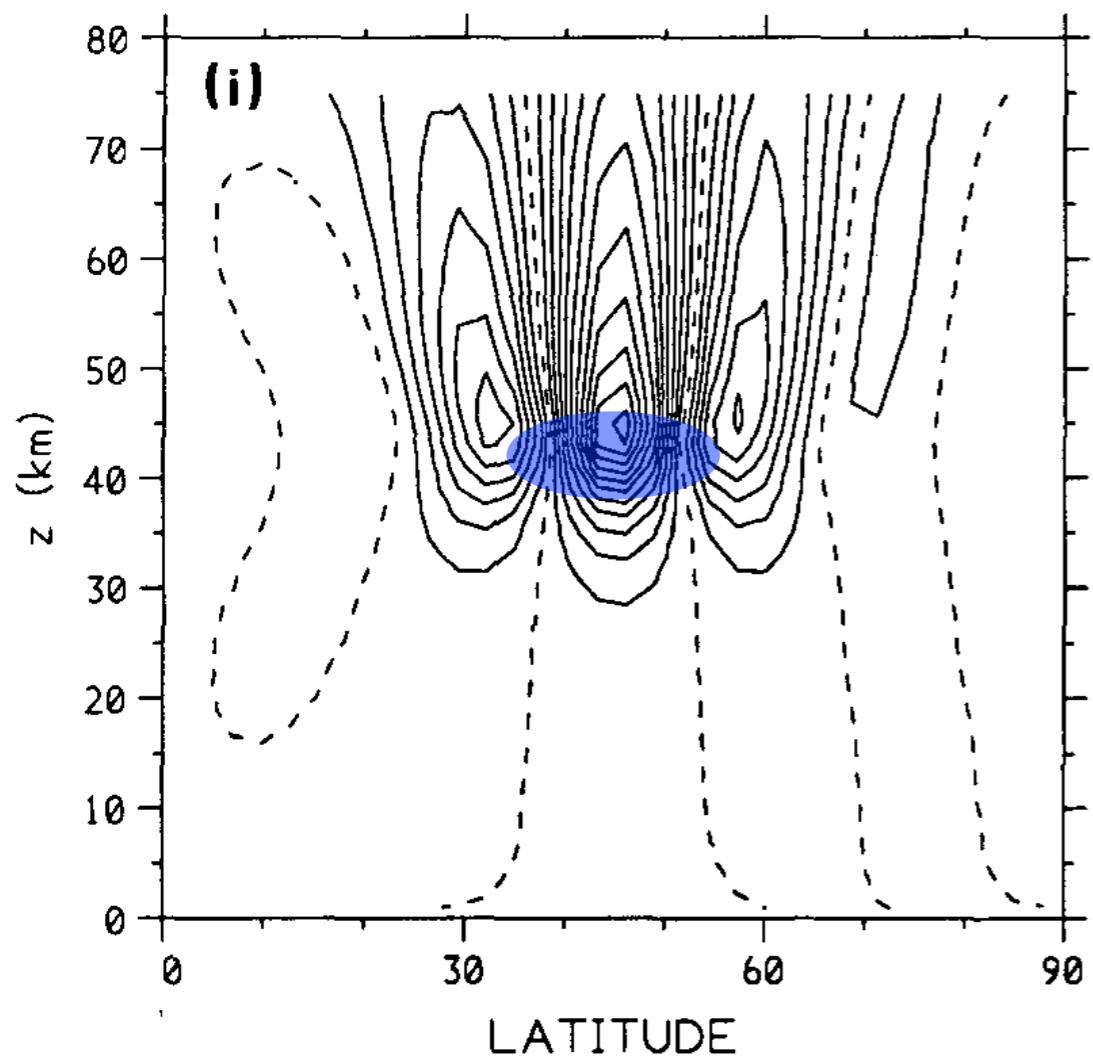
zonal wind



For what torques is the circulation reasonable?

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zonal wind



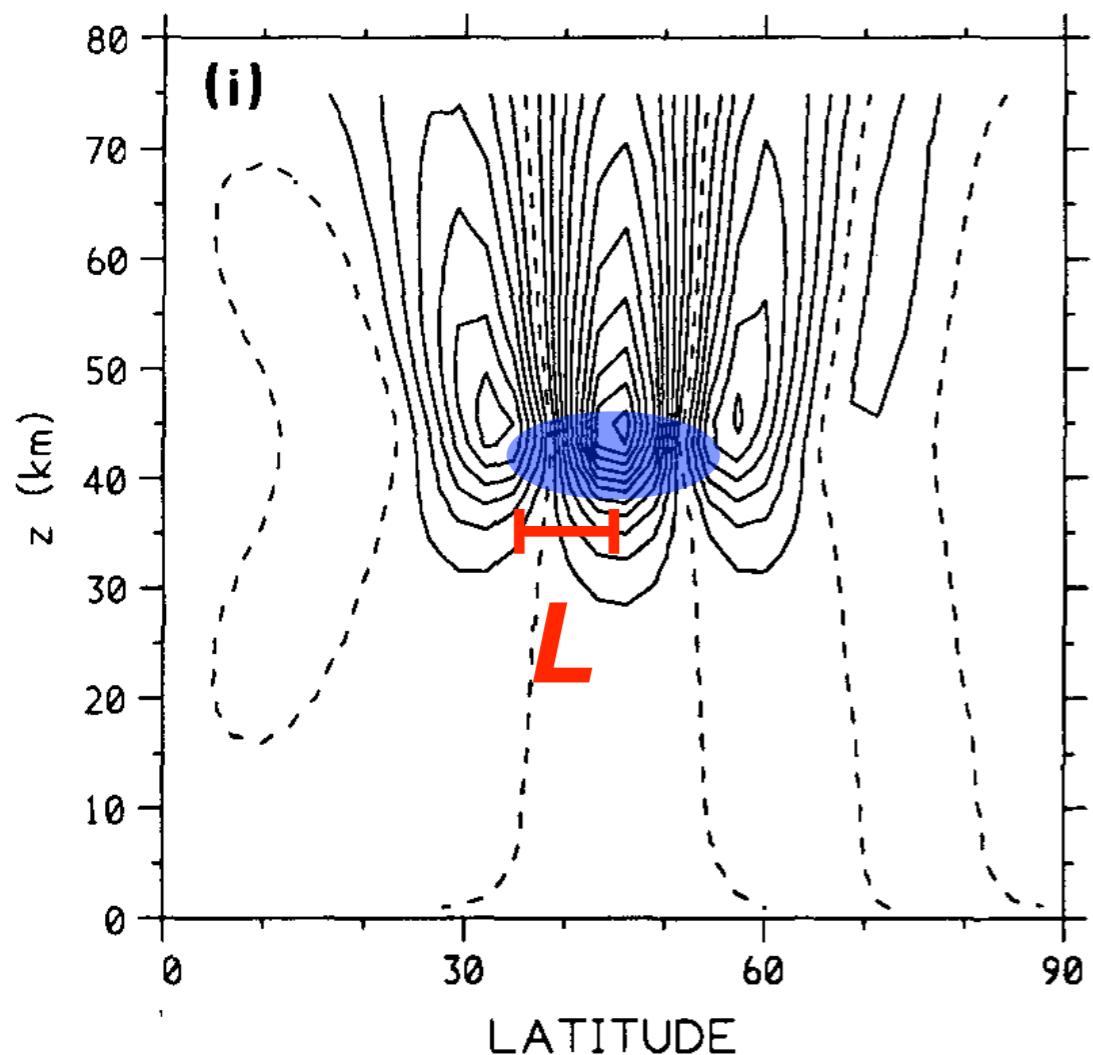
QG Potential Vorticity

$$\bar{q}_y = \beta - \bar{u}_{yy} + f \frac{\bar{\theta}_y}{\bar{\theta}_p}$$

For what torques is the circulation reasonable?  
Stability depends critically on meridional scale

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zonal wind



QG Potential Vorticity

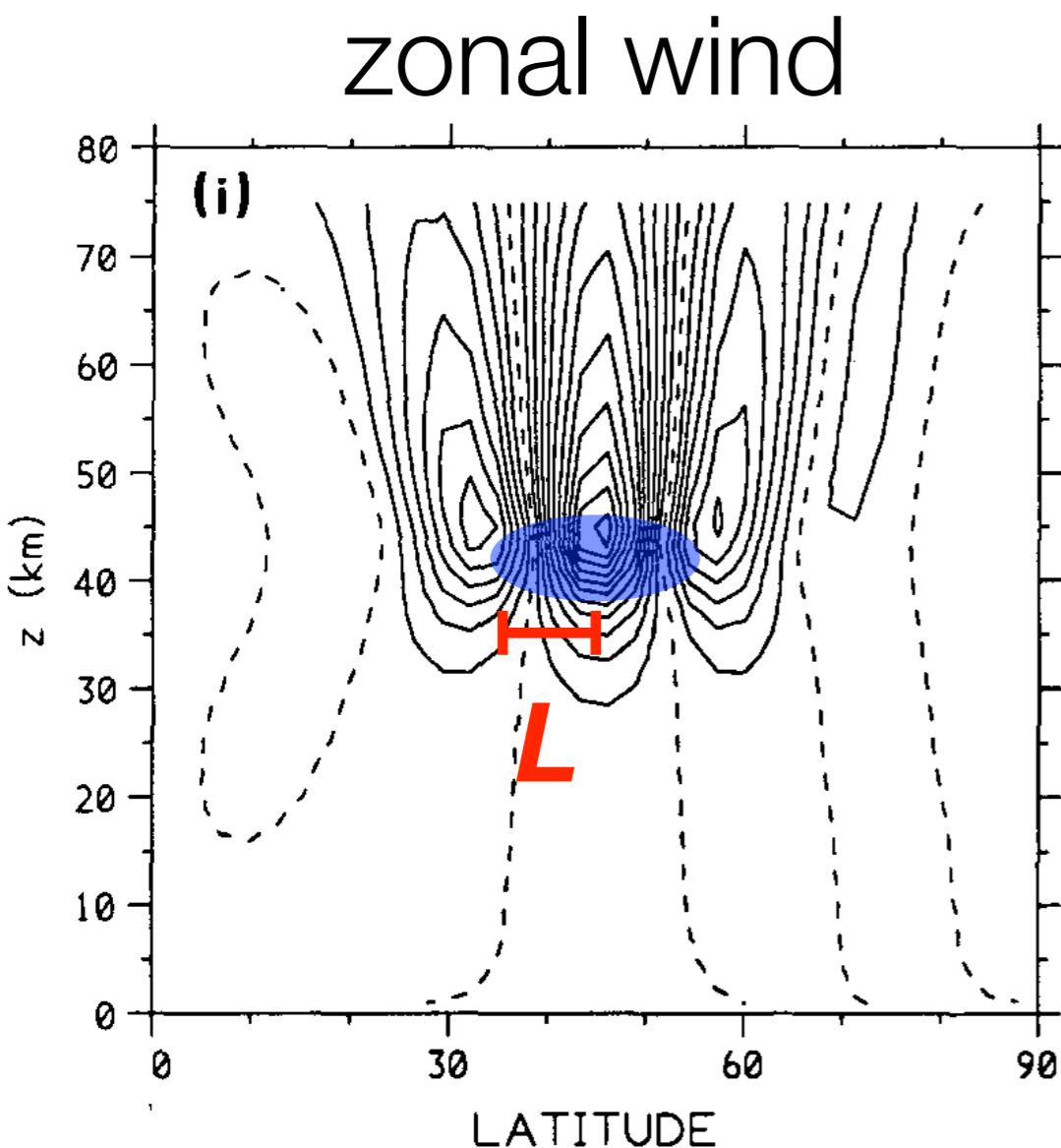
$$\bar{q}_y = \beta - \bar{u}_{yy} + f \frac{\bar{\theta}_y}{\bar{\theta}_p}$$

$$\bar{u} \sim \frac{A}{L^2}$$

amplitude  $A$ ,  
meridional scale  $L$

For what torques is the circulation reasonable?  
Stability depends critically on meridional scale

---



amplitude  $A$ ,  
meridional scale  $L$

QG Potential Vorticity

$$\bar{q}_y = \beta - \bar{u}_{yy} + f \frac{\bar{\theta}_y}{\bar{\theta}_p}$$

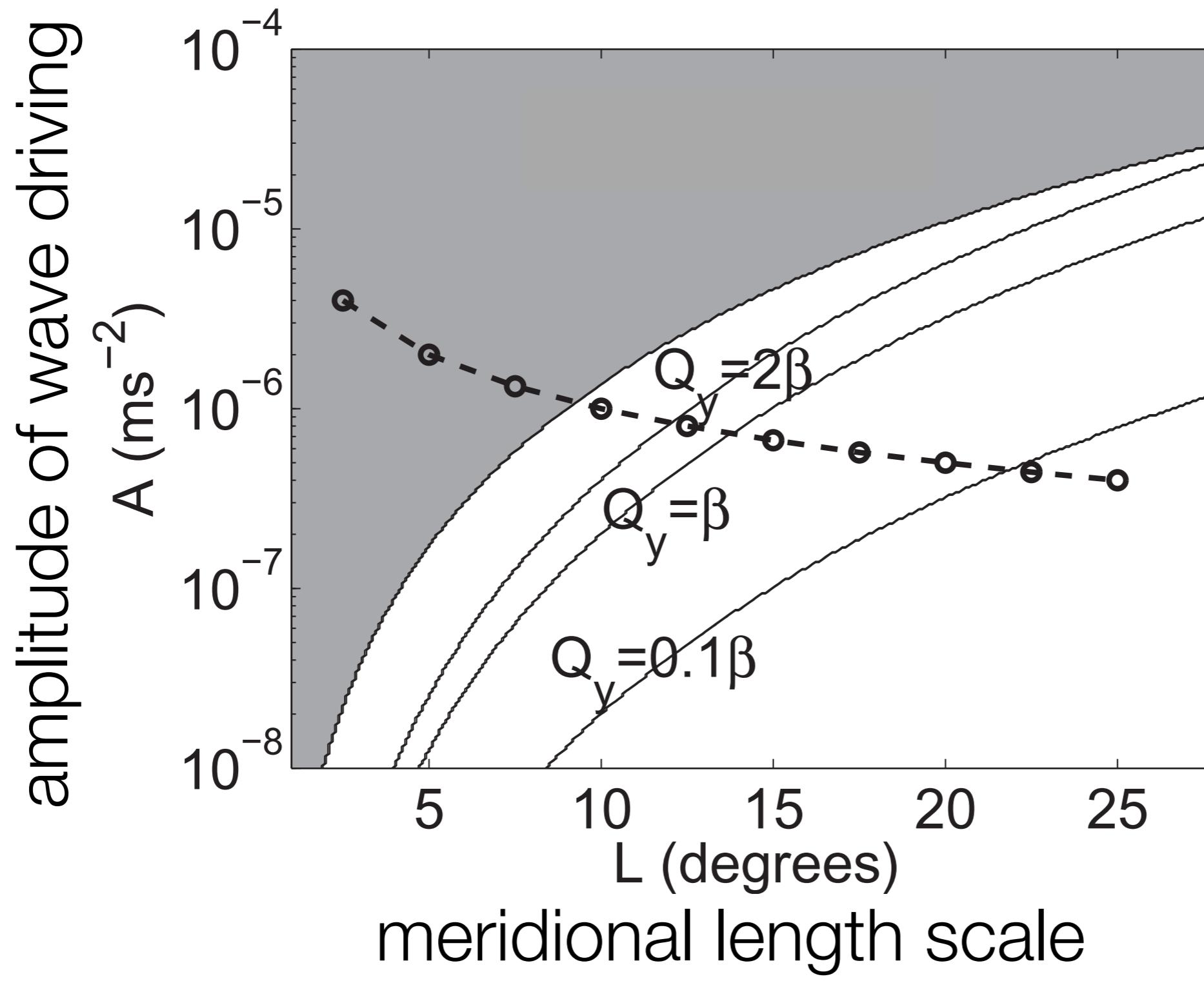
$$\bar{u} \sim \frac{A}{L^2}$$

For  $L \ll L_R$

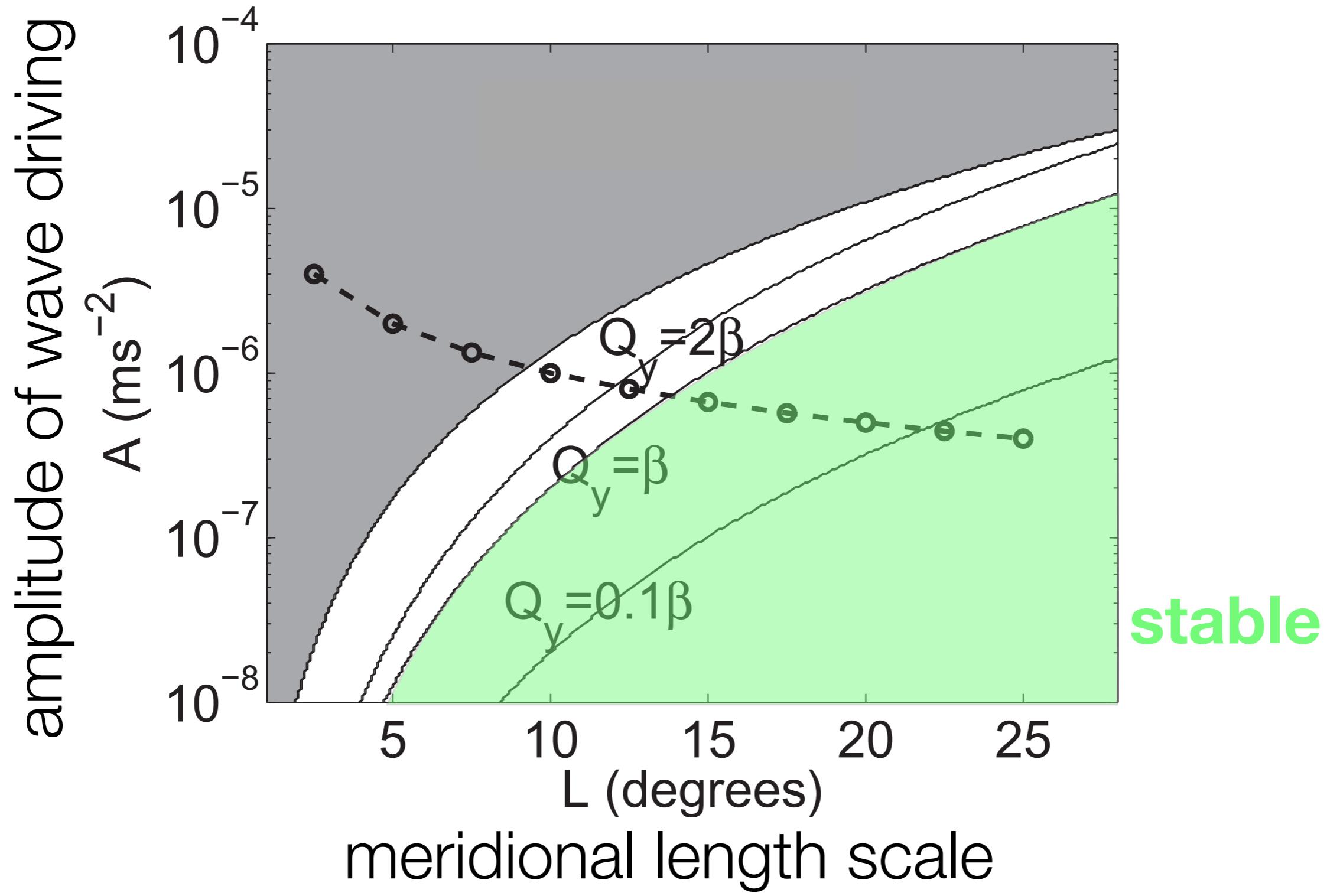
perturbation to PV gradient  $\sim \frac{A}{L^4}$

# Stability of the circulation for a compact torque

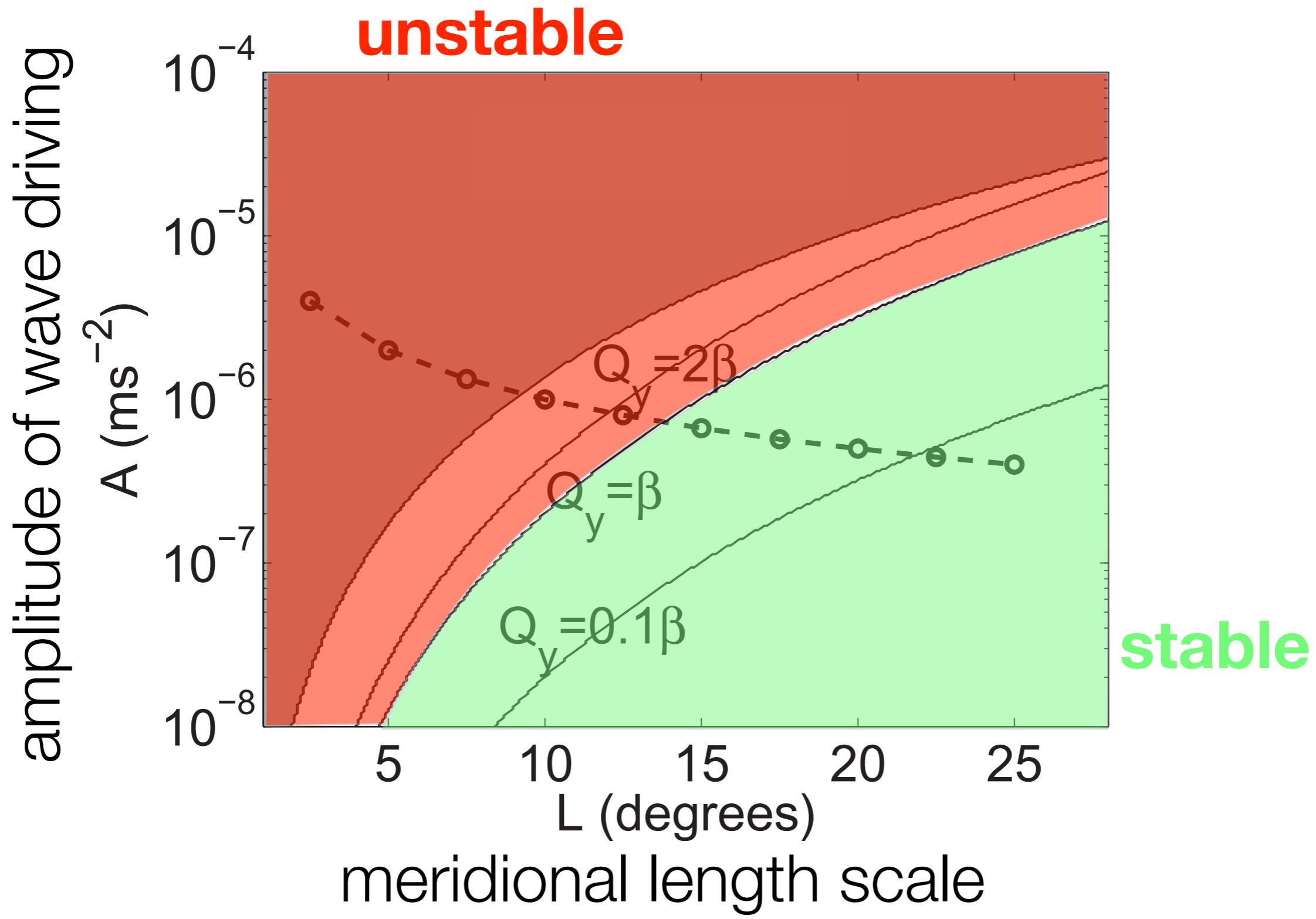
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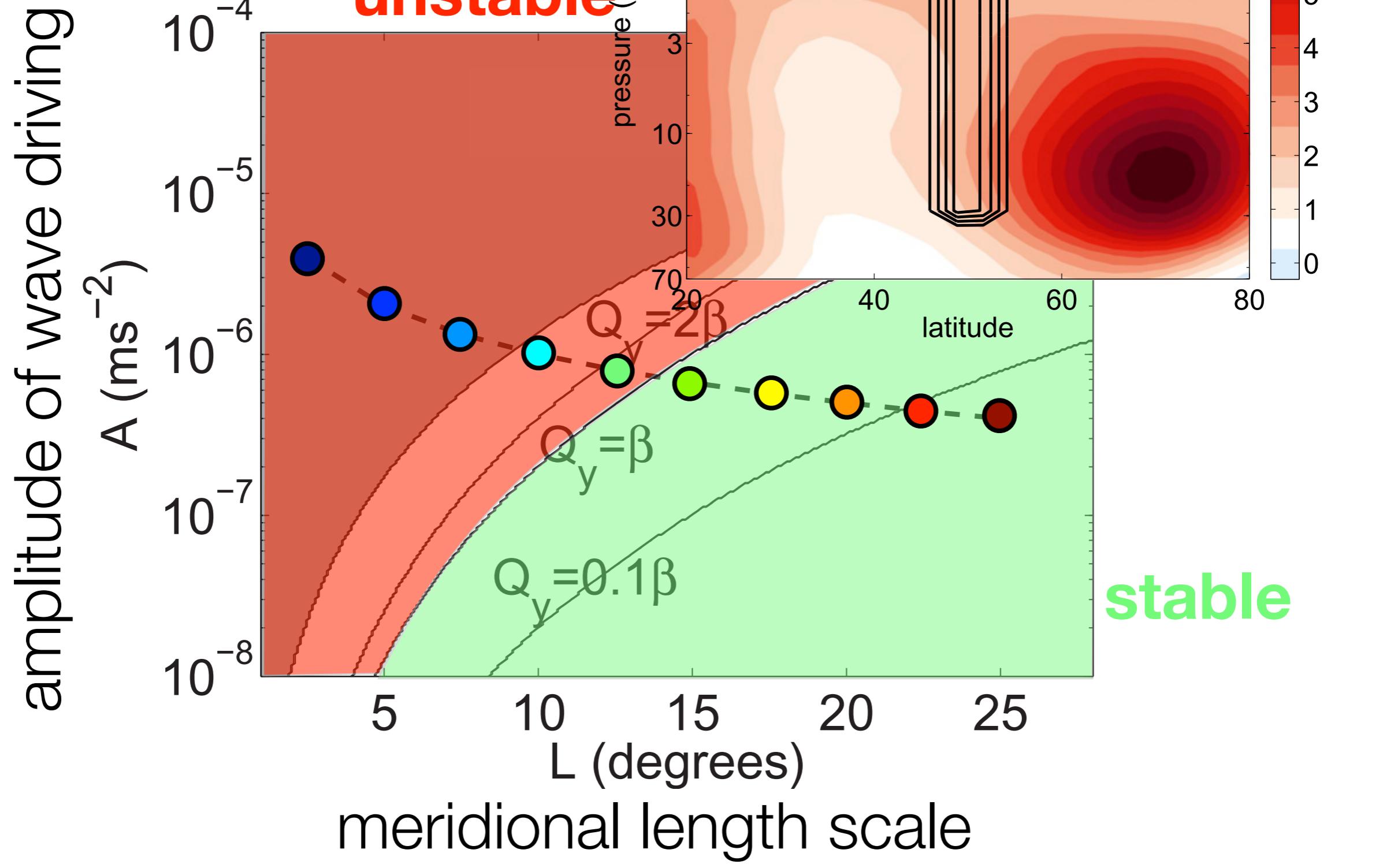
# Stability of the circulation for a compact torque



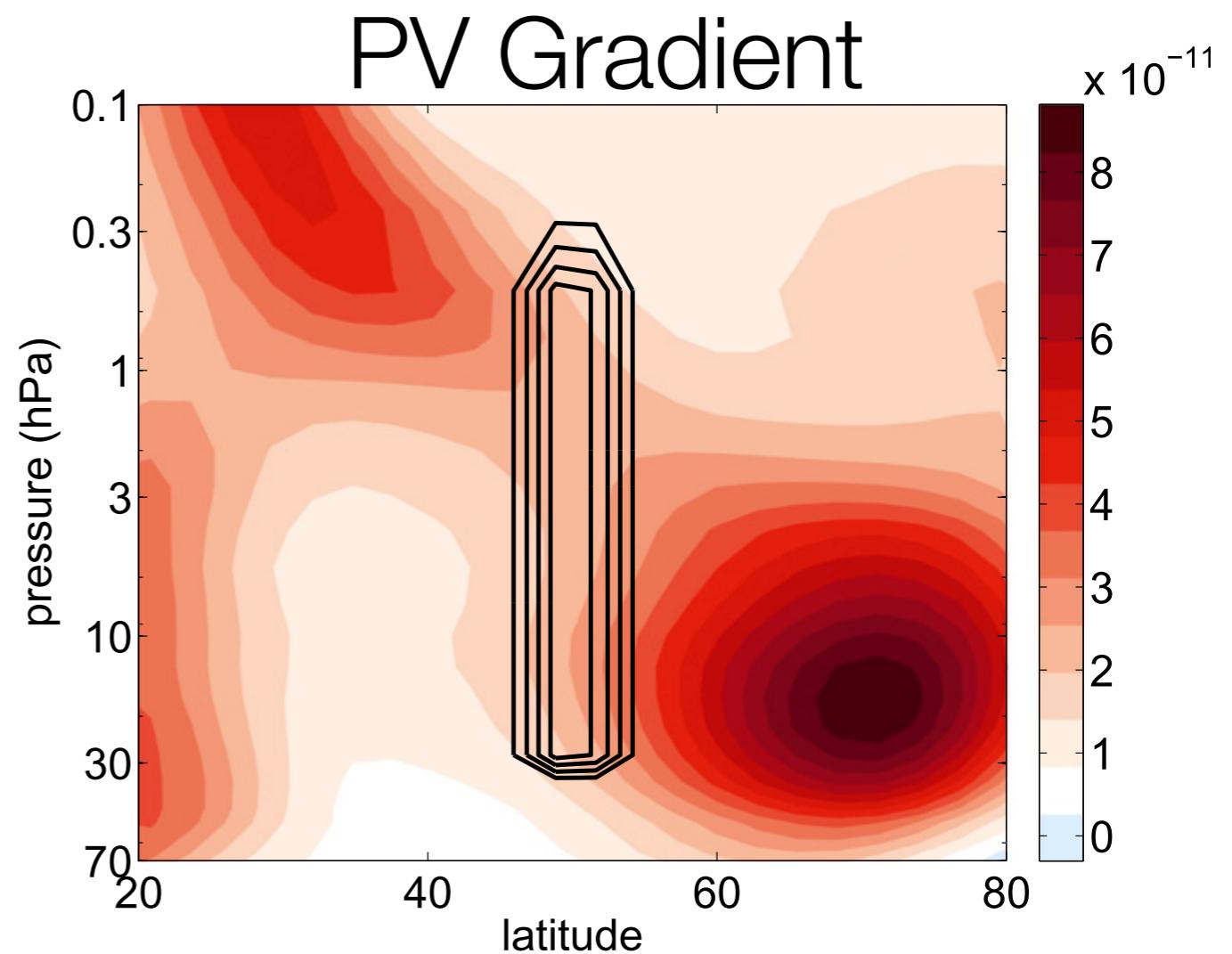
# Stability of the steady state for compact torque



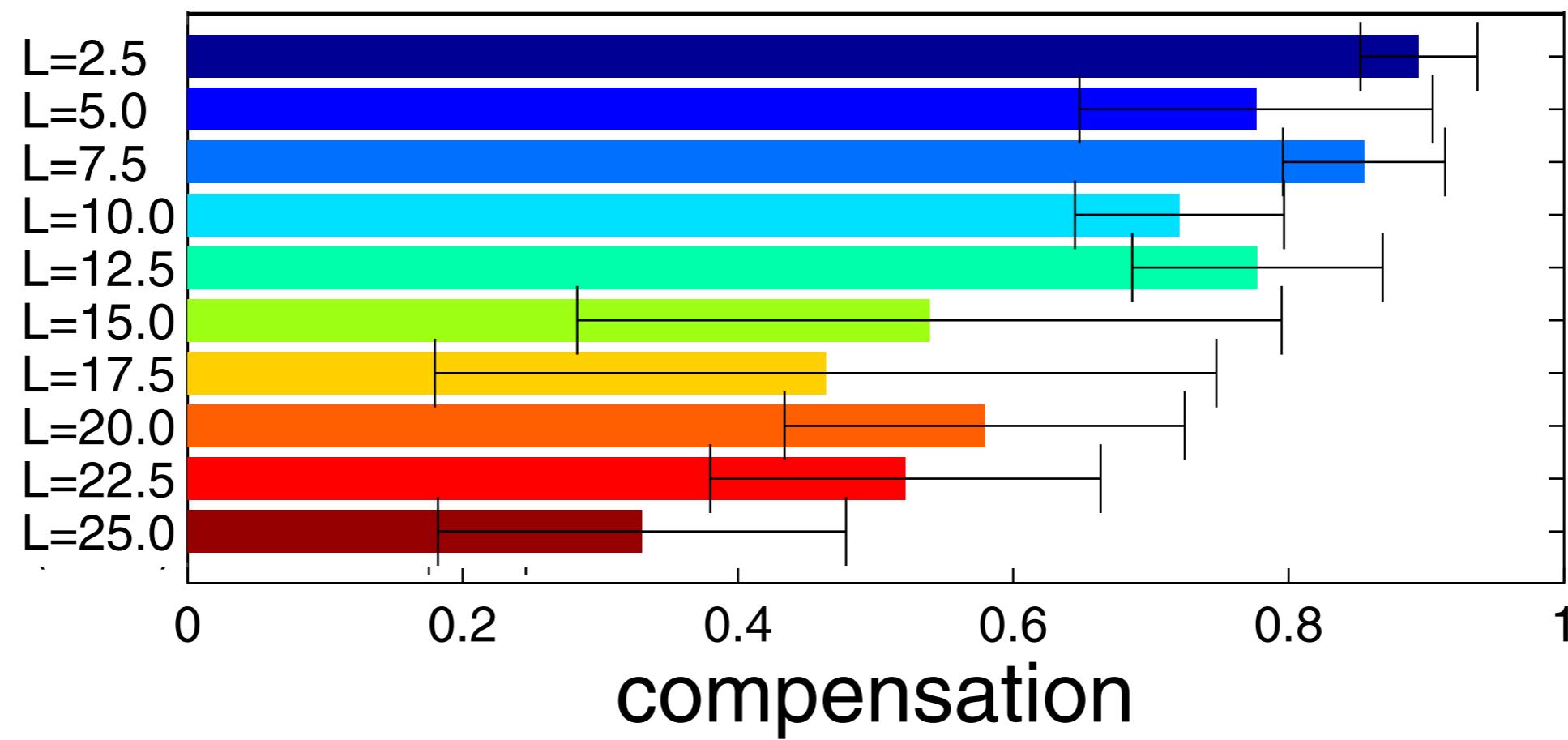
Test the prediction



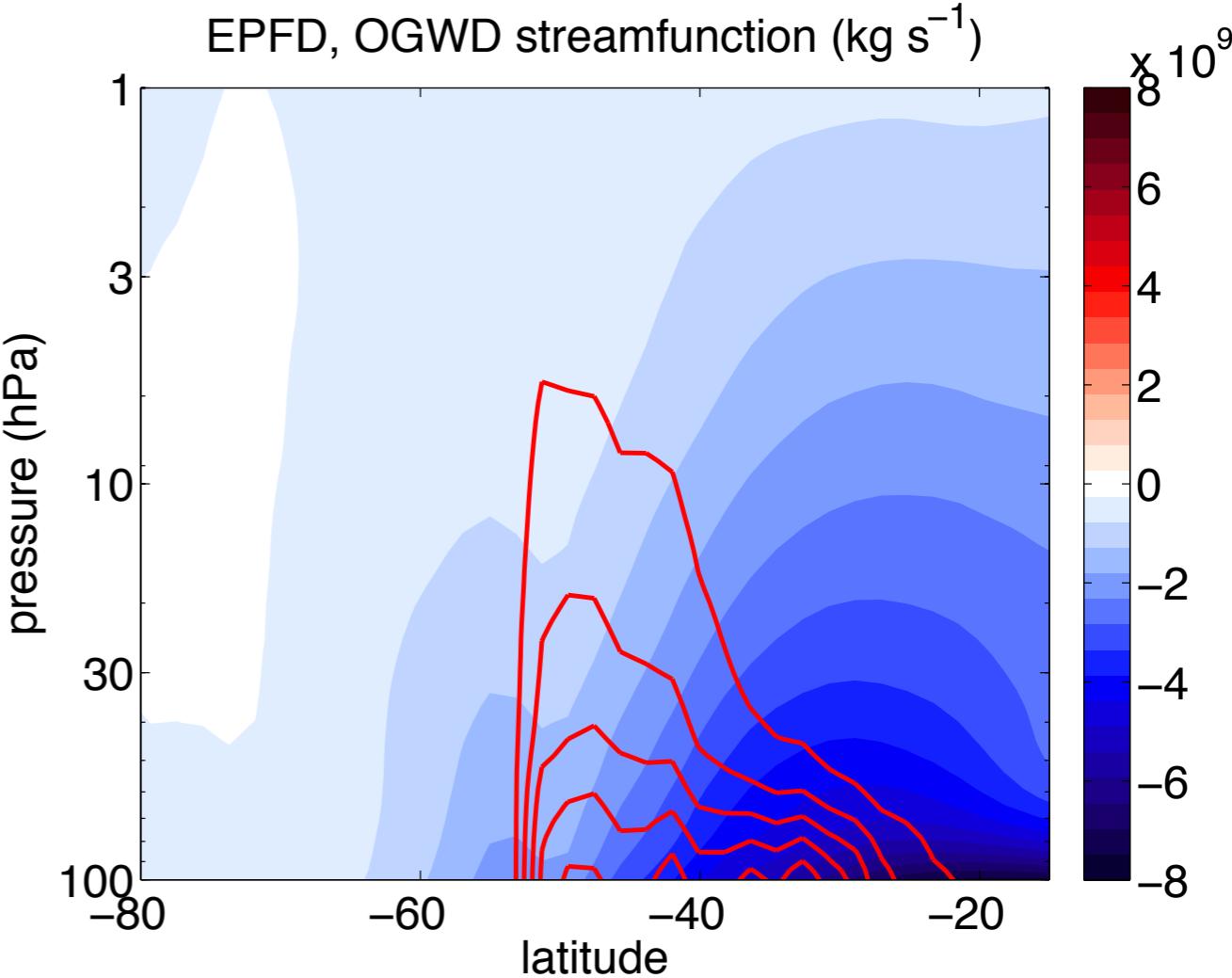
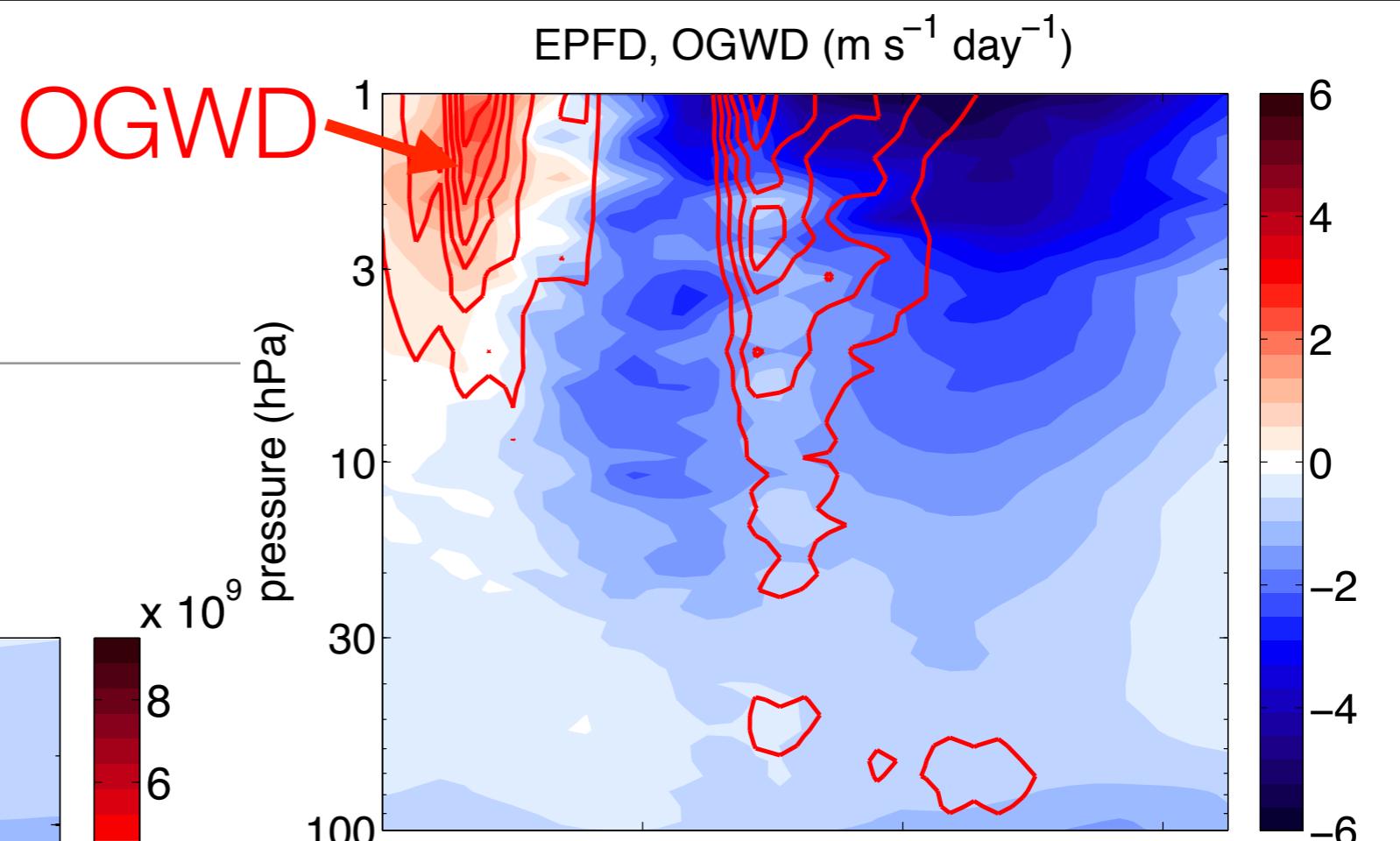
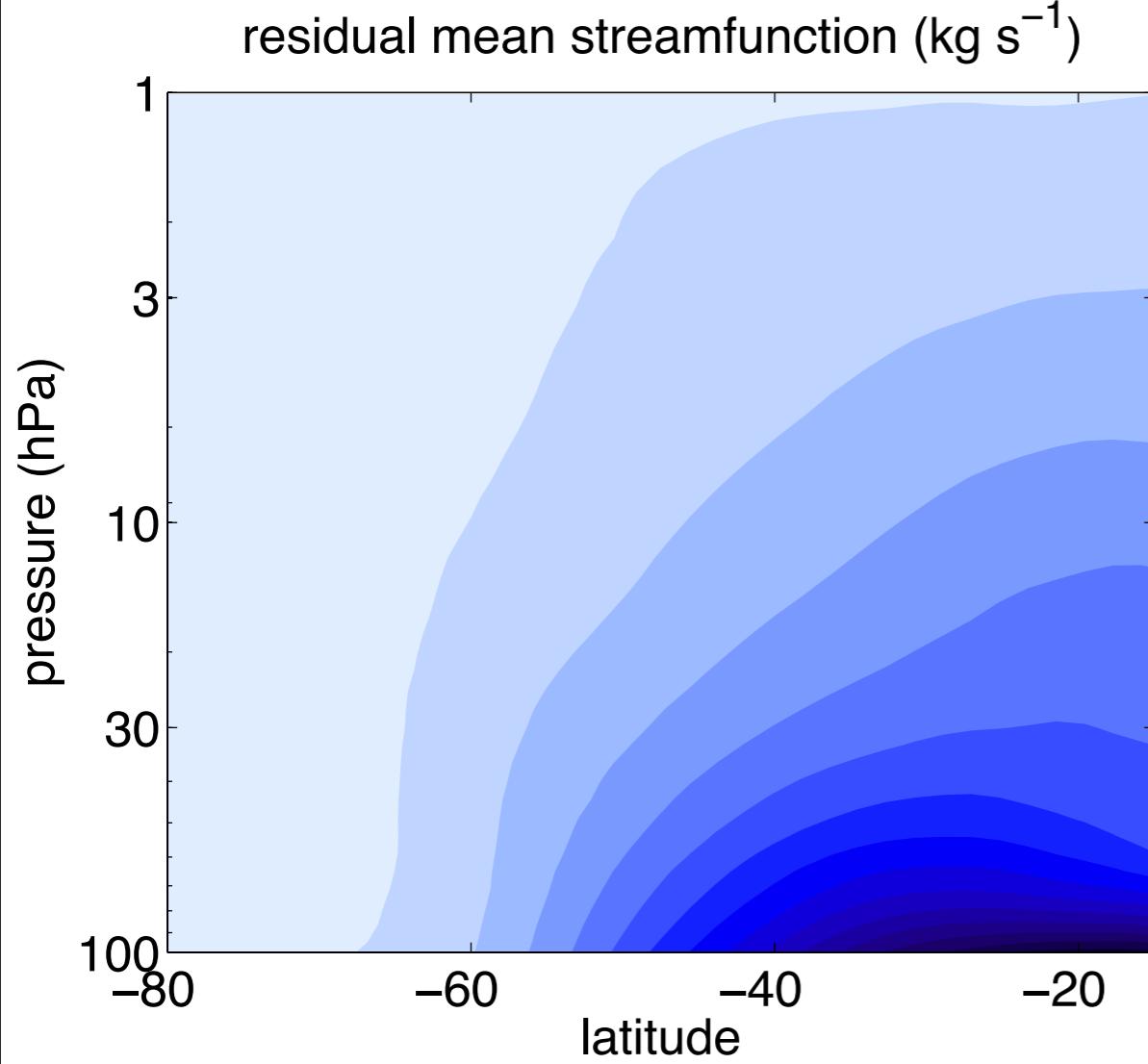
# Test the prediction



narrow  
↓  
wide



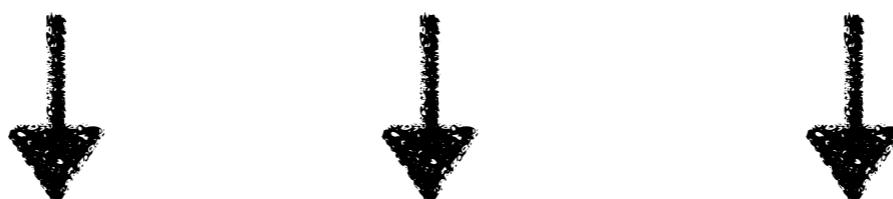
# Breaking down the streamfunction



Interaction between wave driving suggest that the “forcings” are somewhat fungible.

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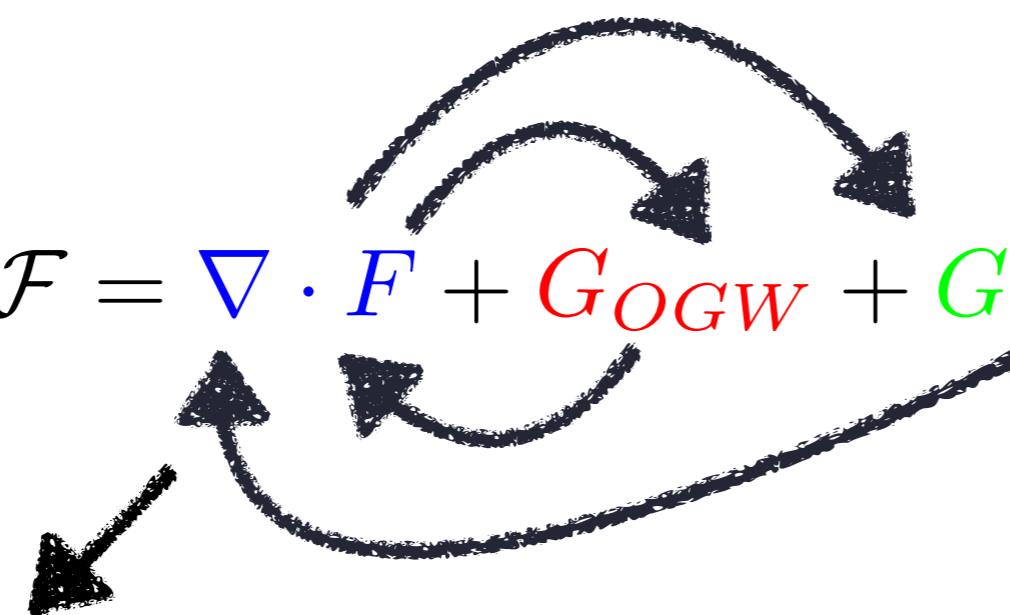
$$\mathcal{F} = \nabla \cdot F + G_{OGW} + G_{NOGW}$$



$$\psi = \psi_{EPFD} + \psi_{OGW} + \psi_{NOGW}$$

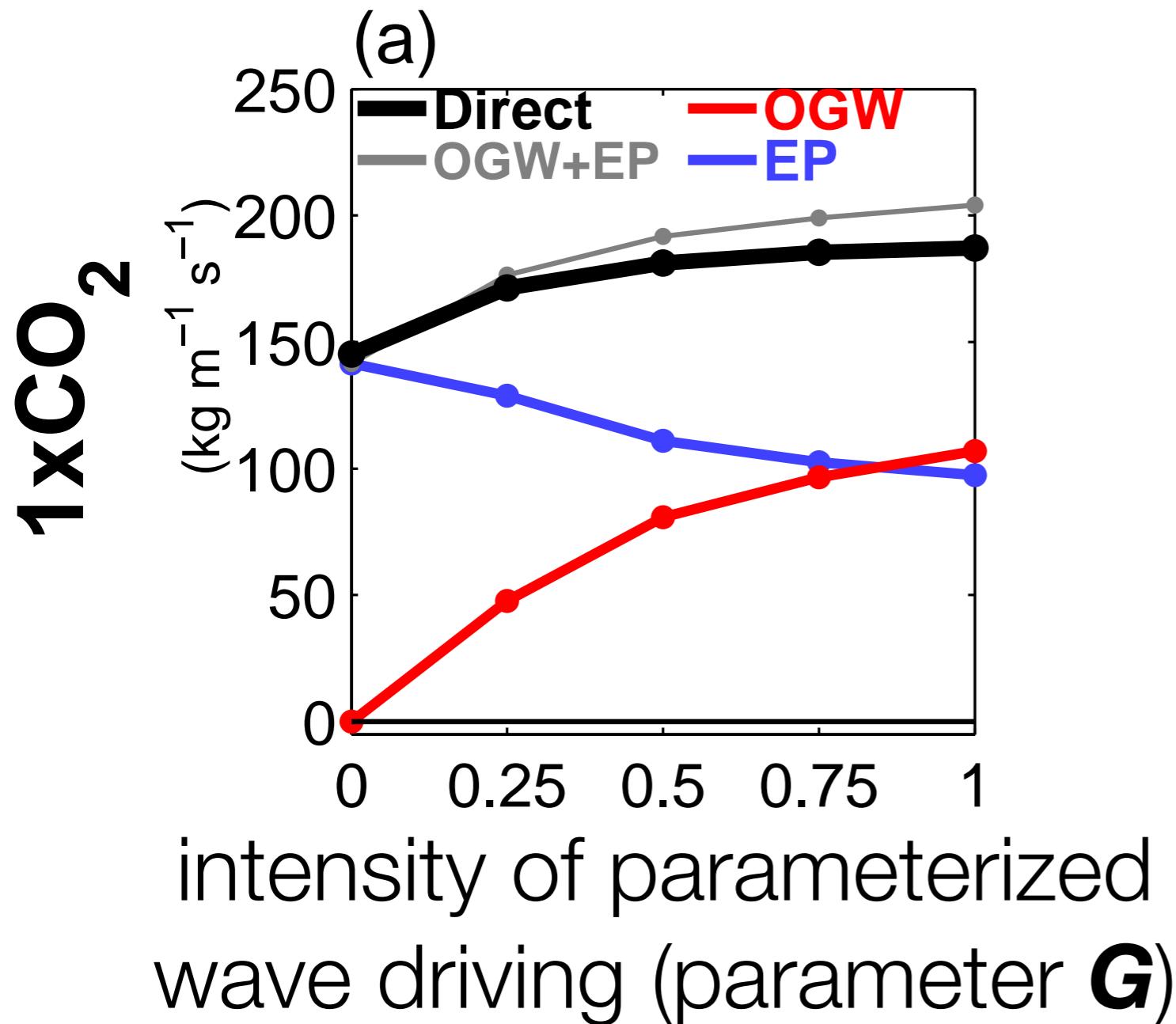
Interaction between wave driving suggest that the “forcings” are somewhat fungible.

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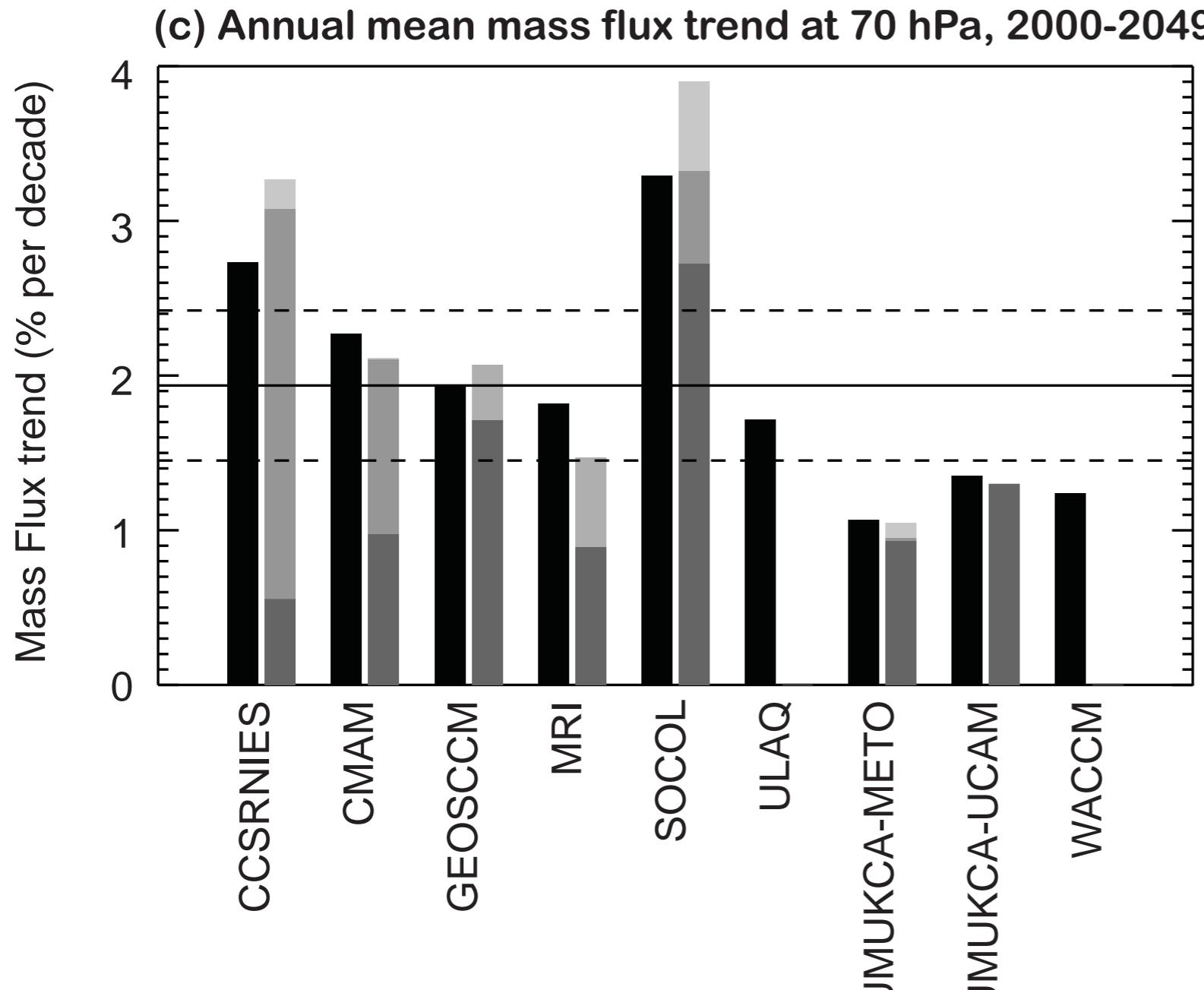

$$\mathcal{F} = \nabla \cdot F + G_{OGW} + G_{NOGW}$$
$$\psi = \psi_{EPFD} + \psi_{OGW} + \psi_{NOGW}$$

Compensation makes total circulation more robust than components [Sigmond and Shepherd, 2014]

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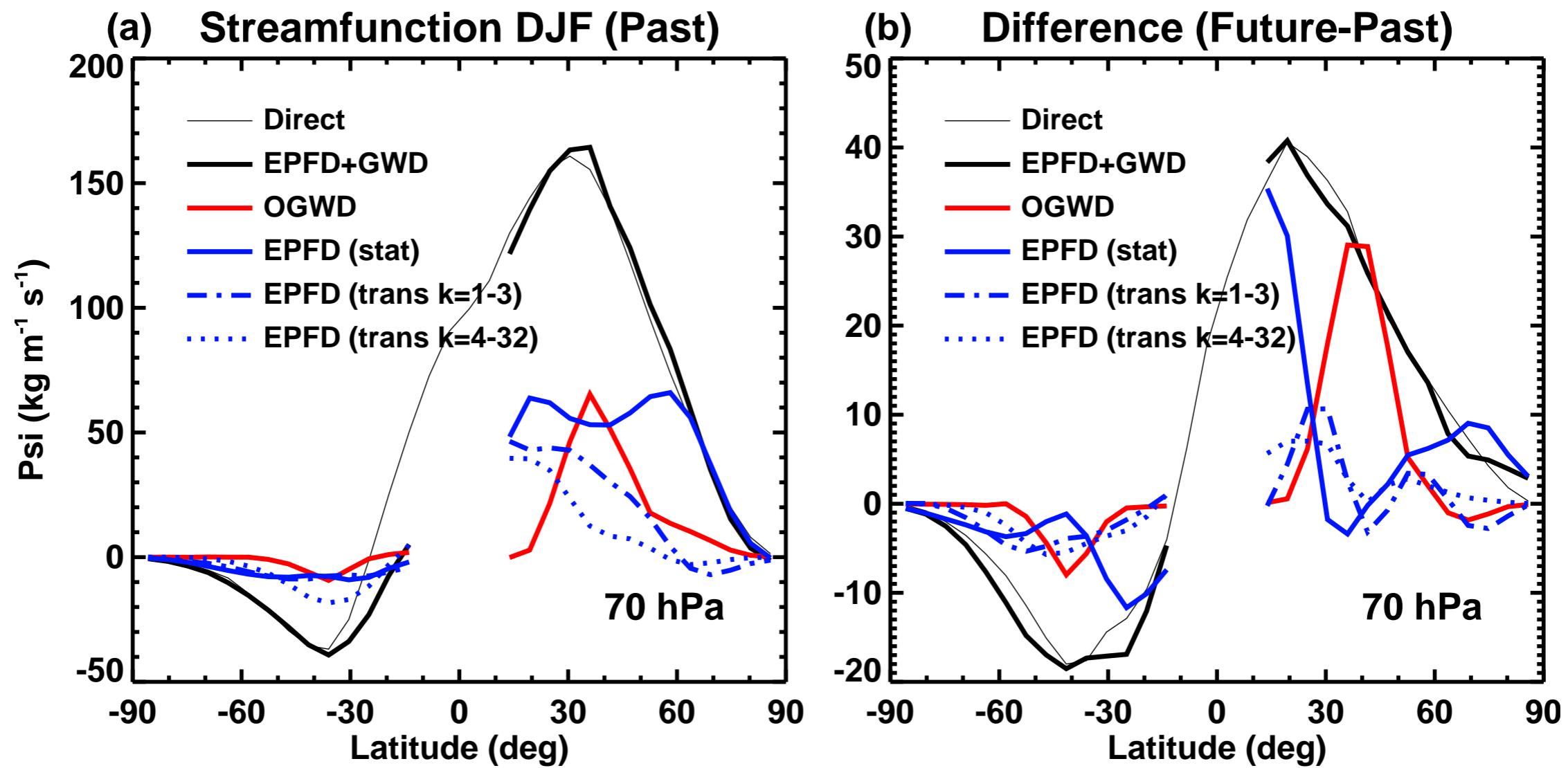
# Uncertainty in “forcing” increases with future trends



total  
resolved waves  
orographic GW  
non-orographic GW

... but compensation may affect response to CO<sub>2</sub>

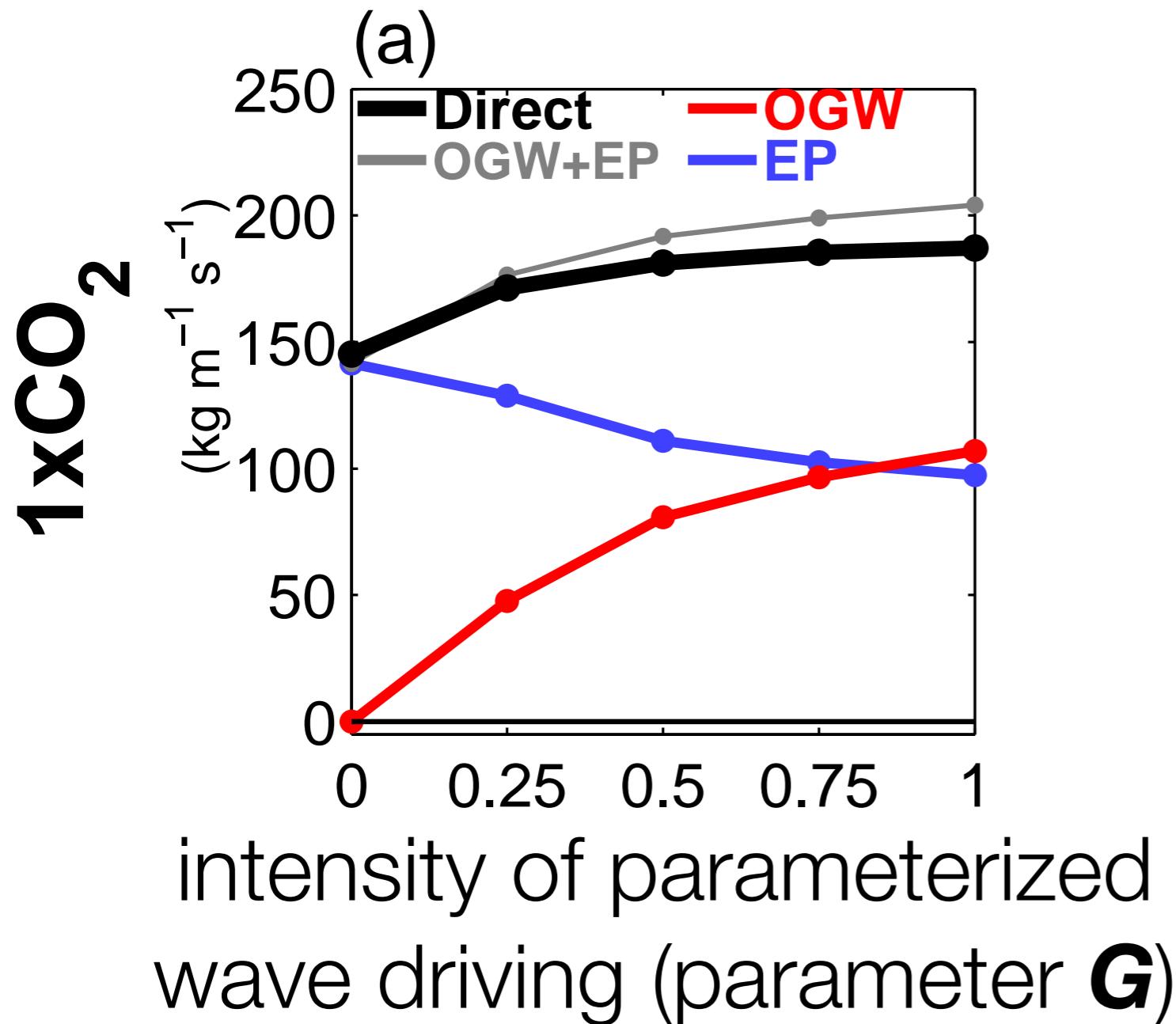
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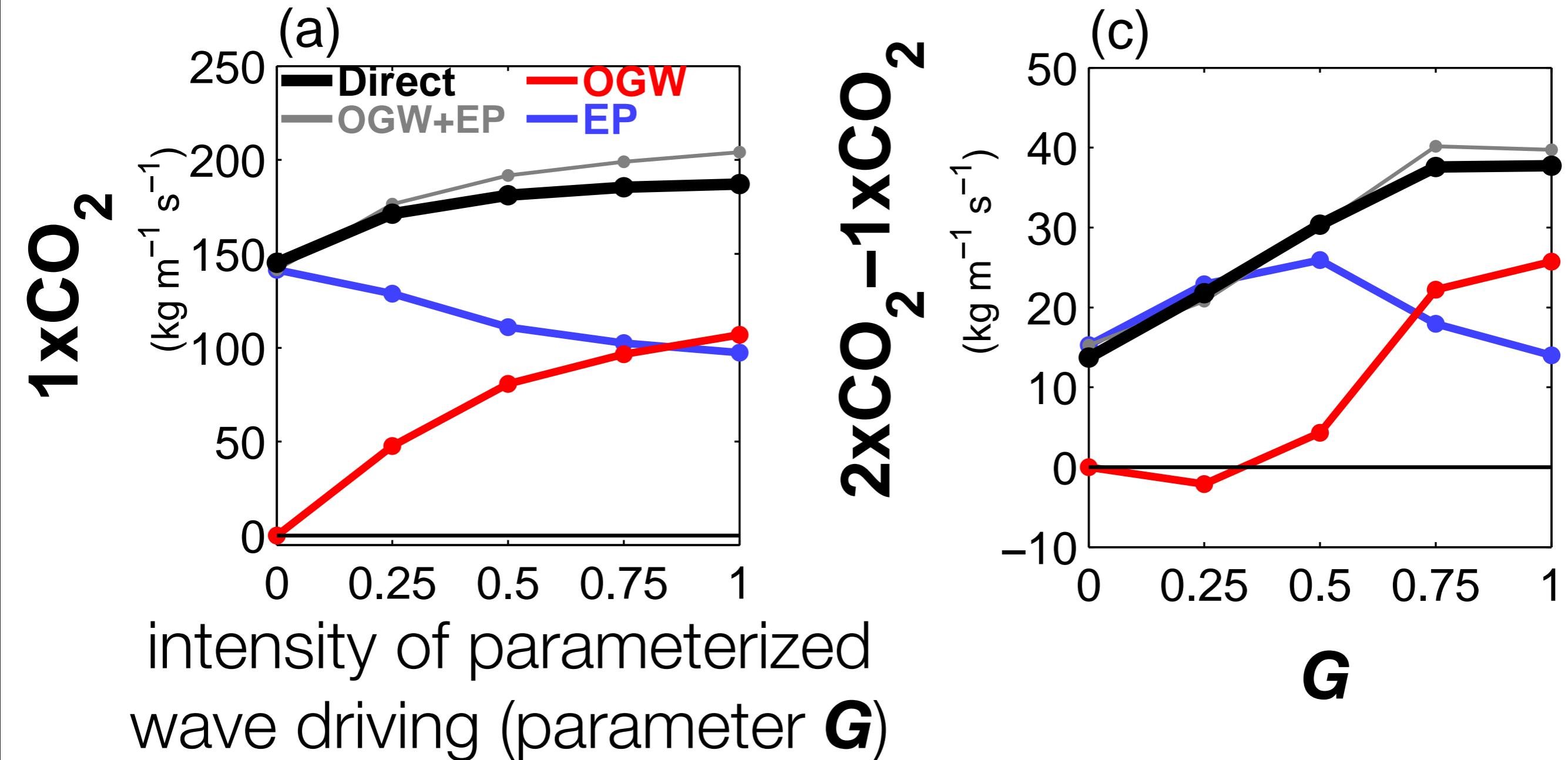
[Shepherd and McLandress 2011]

Impact of GW depends on basic state of the model  
[Sigmond and Shepherd, 2014]

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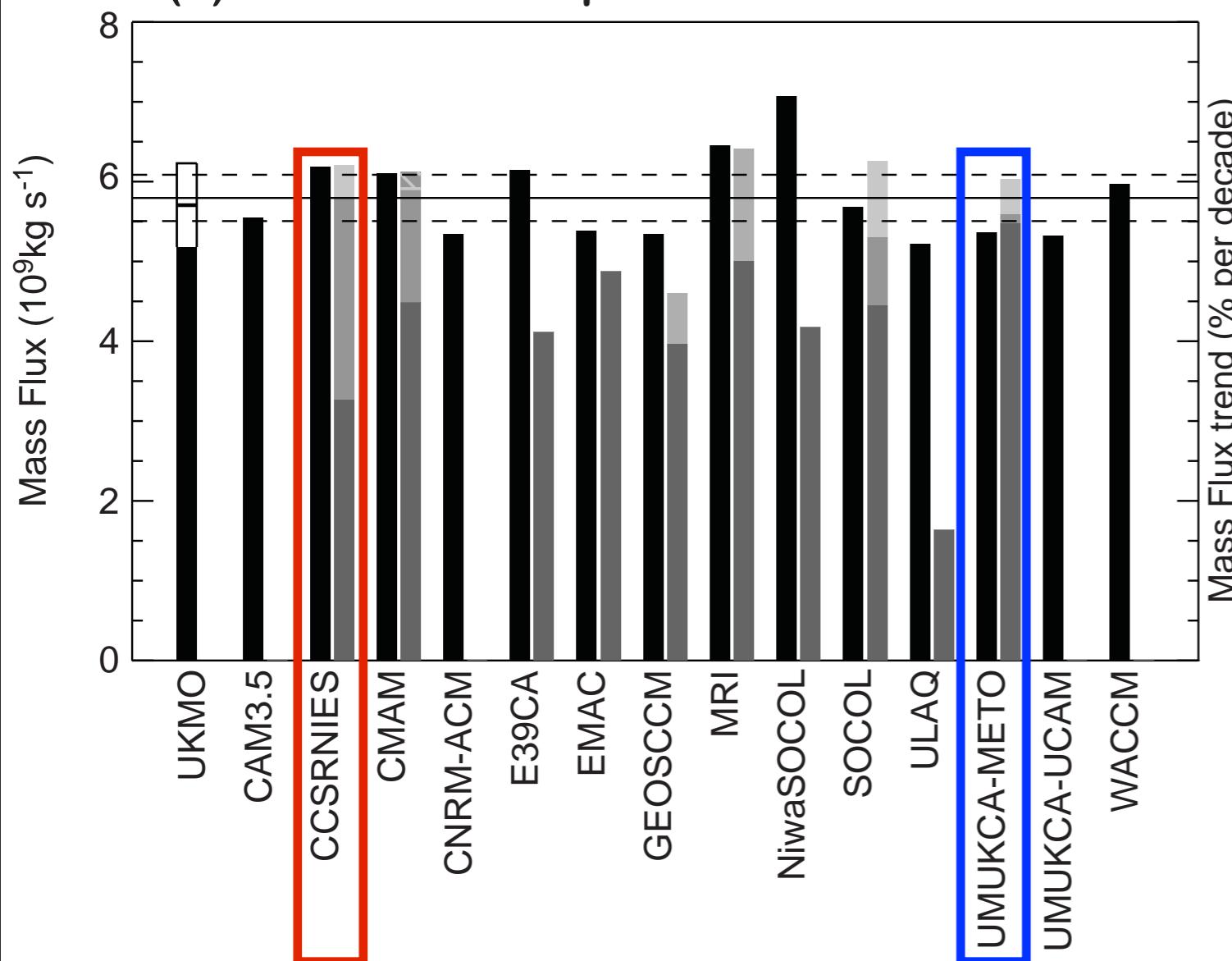


Impact of GW depends on basic state of the model  
[Sigmond and Shepherd, 2014]

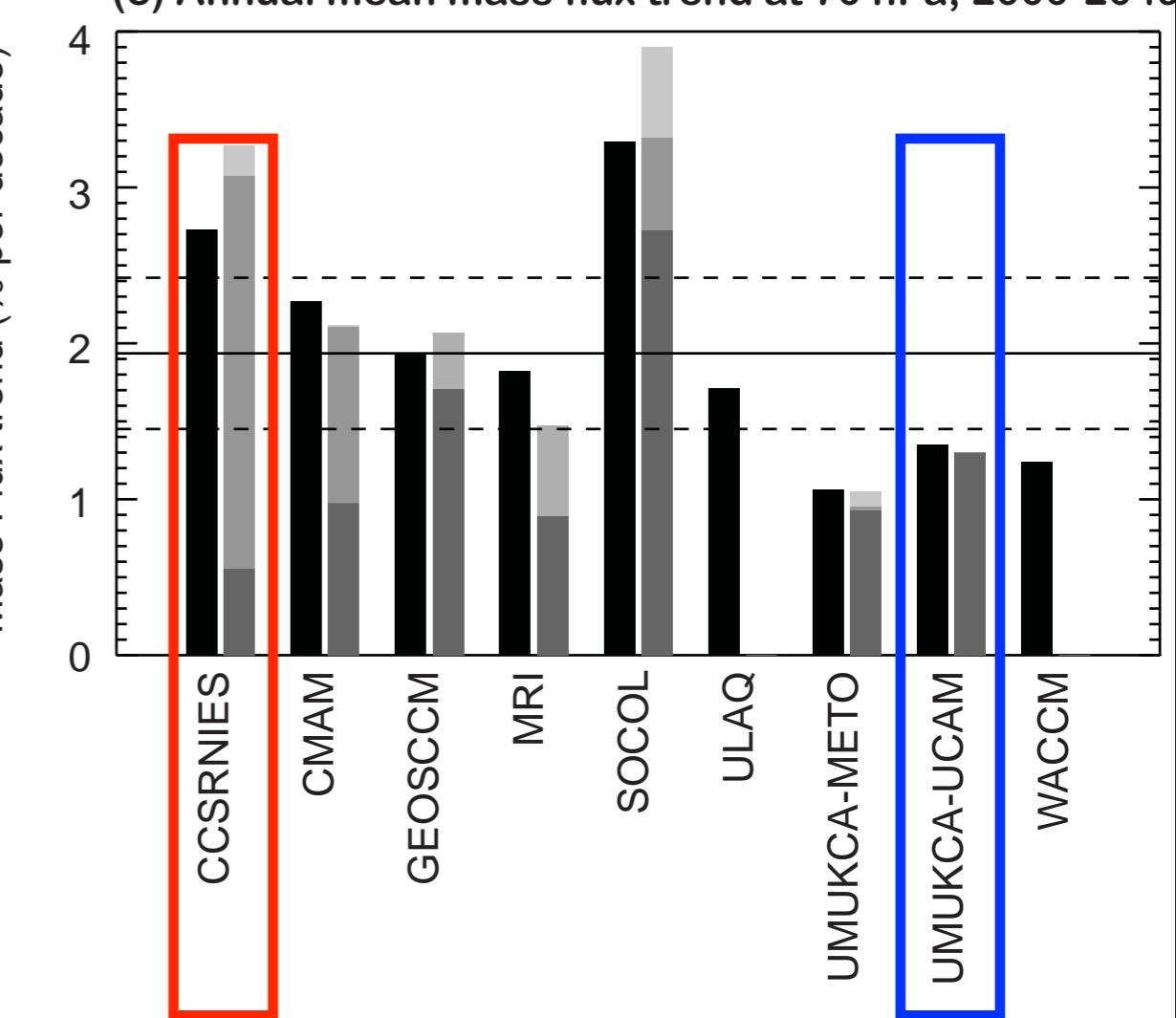


# Tuning of the basic state influences the relative role of wave forcings in climate response

(a) Annual mean upward mass flux at 70 hPa

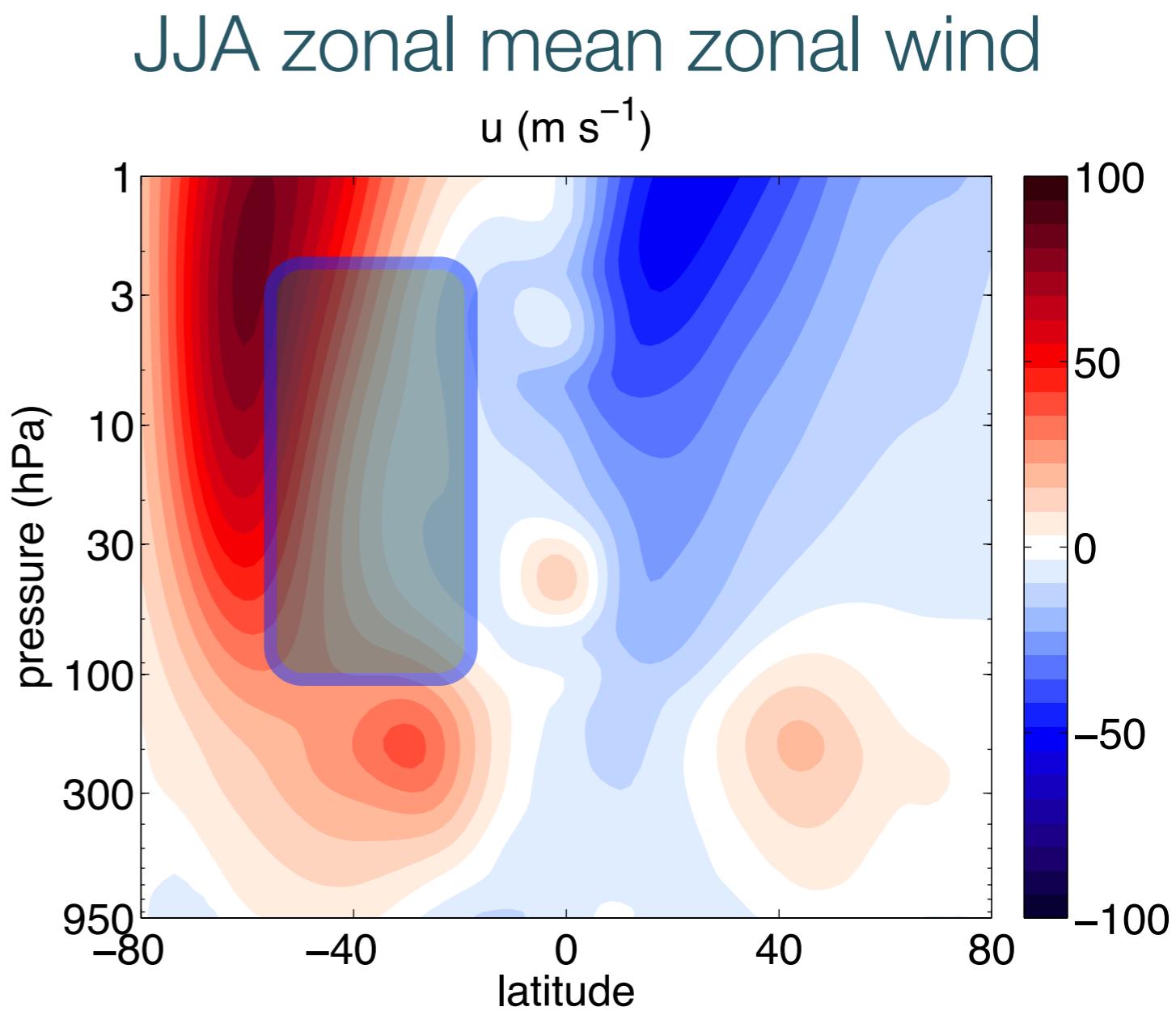


(c) Annual mean mass flux trend at 70 hPa, 2000-2049



# A potential vorticity, surf zone perspective

Action of Rossby waves is to mix potential vorticity in the surf zone between the polar vortex and tropical stratosphere.

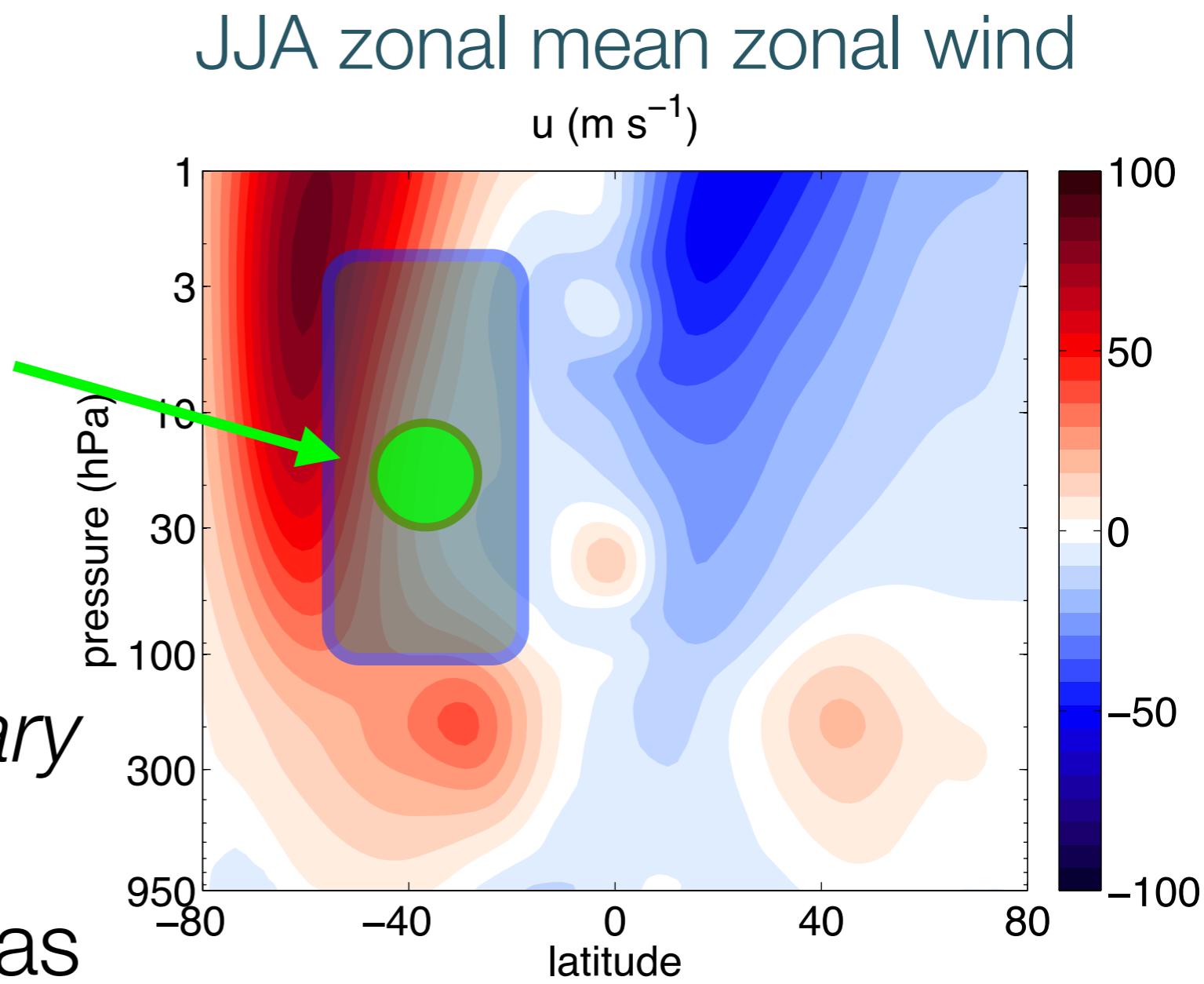


*[McIntyre and Palmer, 1983]*

# A potential vorticity, surf zone perspective

Gravity wave driving  
inside surf zone will  
have limited impact  
on the BDC.

More likely for *stationary*  
OGW, which break  
at same critical levels as  
stationary Rossby waves

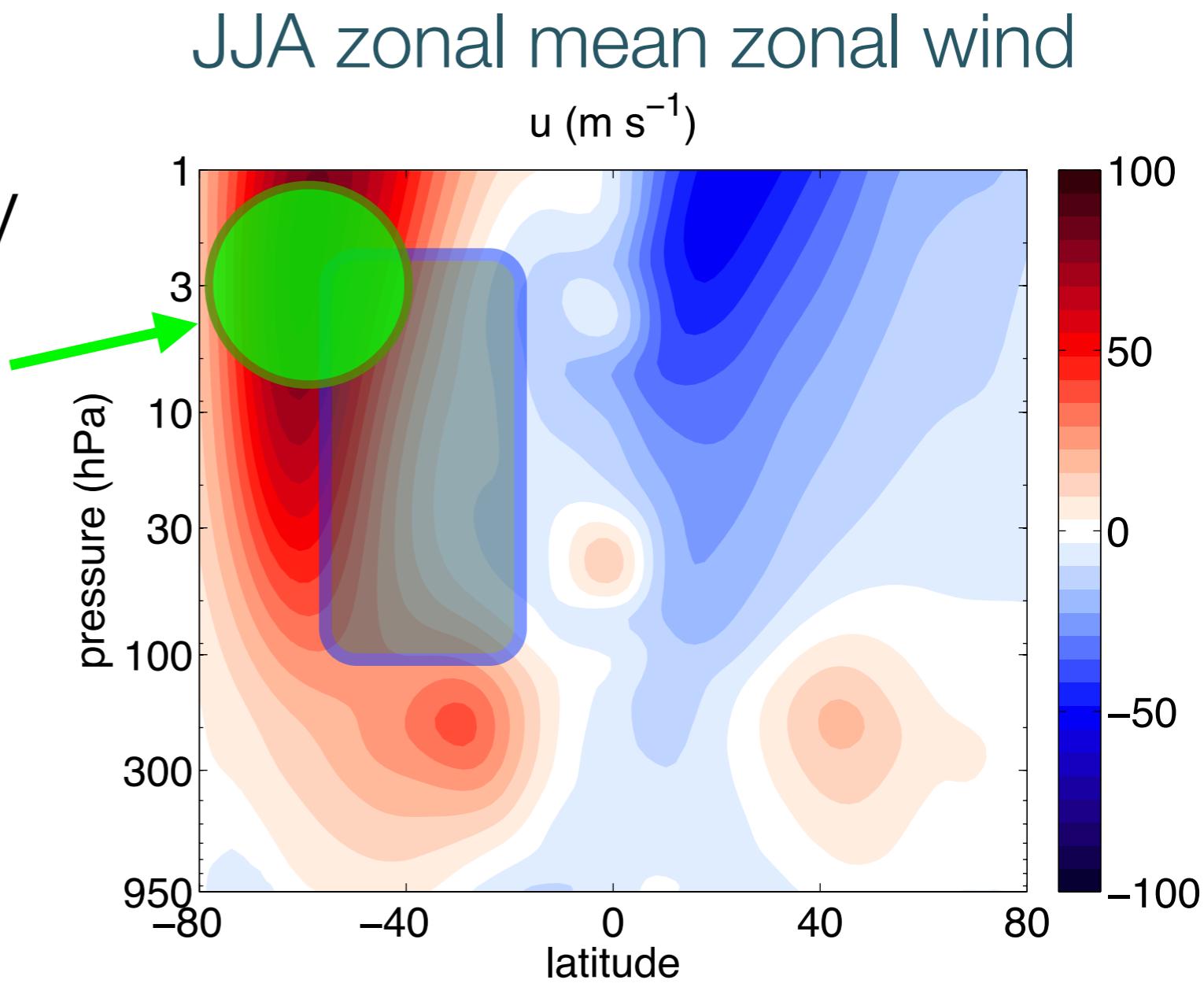


[Cohen et al. 2014]

# A potential vorticity, surf zone perspective

Gravity wave driving outside surf zone likely to have large impact on the BDC.

More likely for NOGW, which can modify polar vortex.



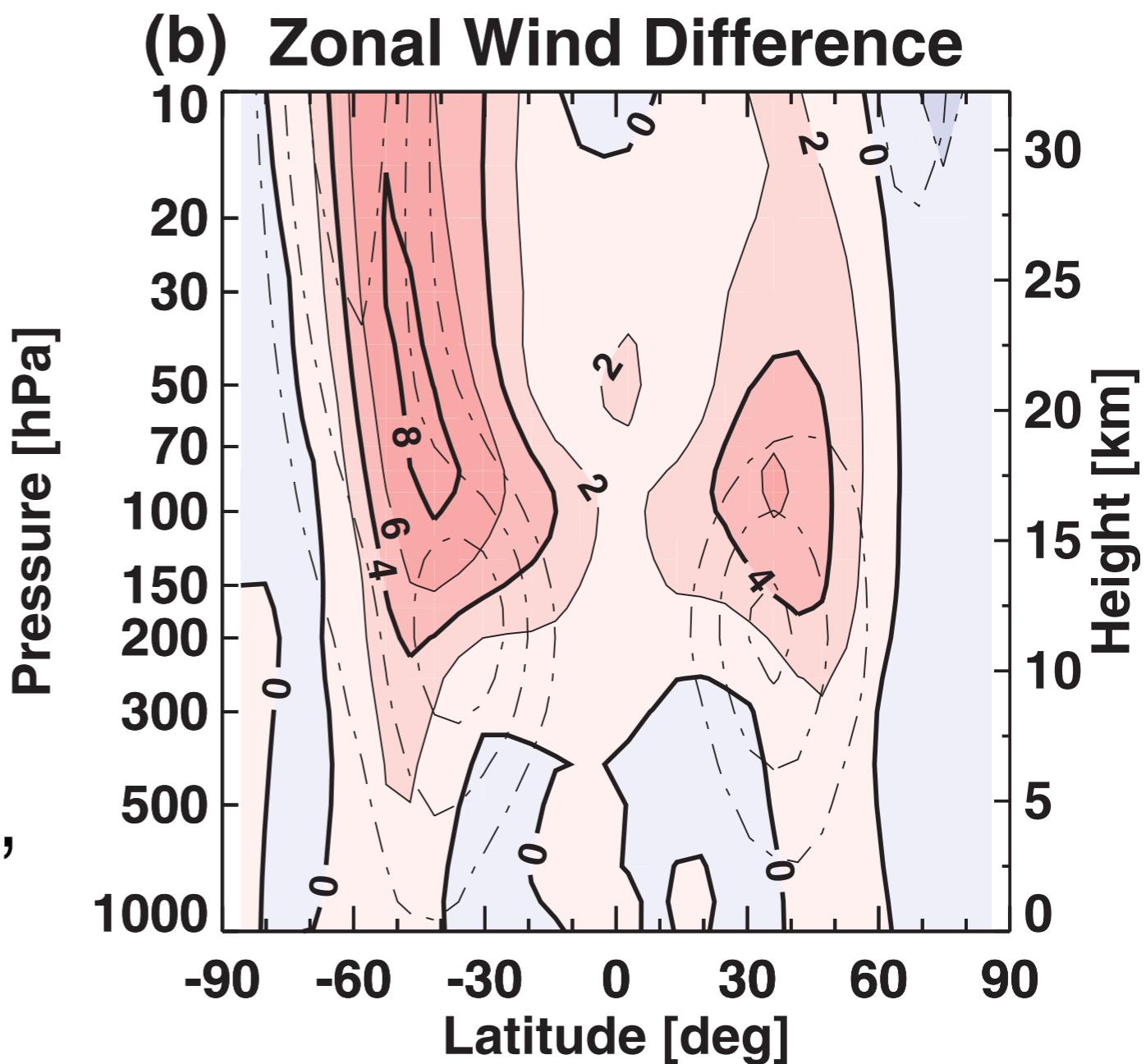
[Cohen et al. 2014]

# Anthropogenic forcing modifies surf zone

[Shepherd and McLandress 2011]

Expansion of subtropical jets raises critical level for wave breaking.

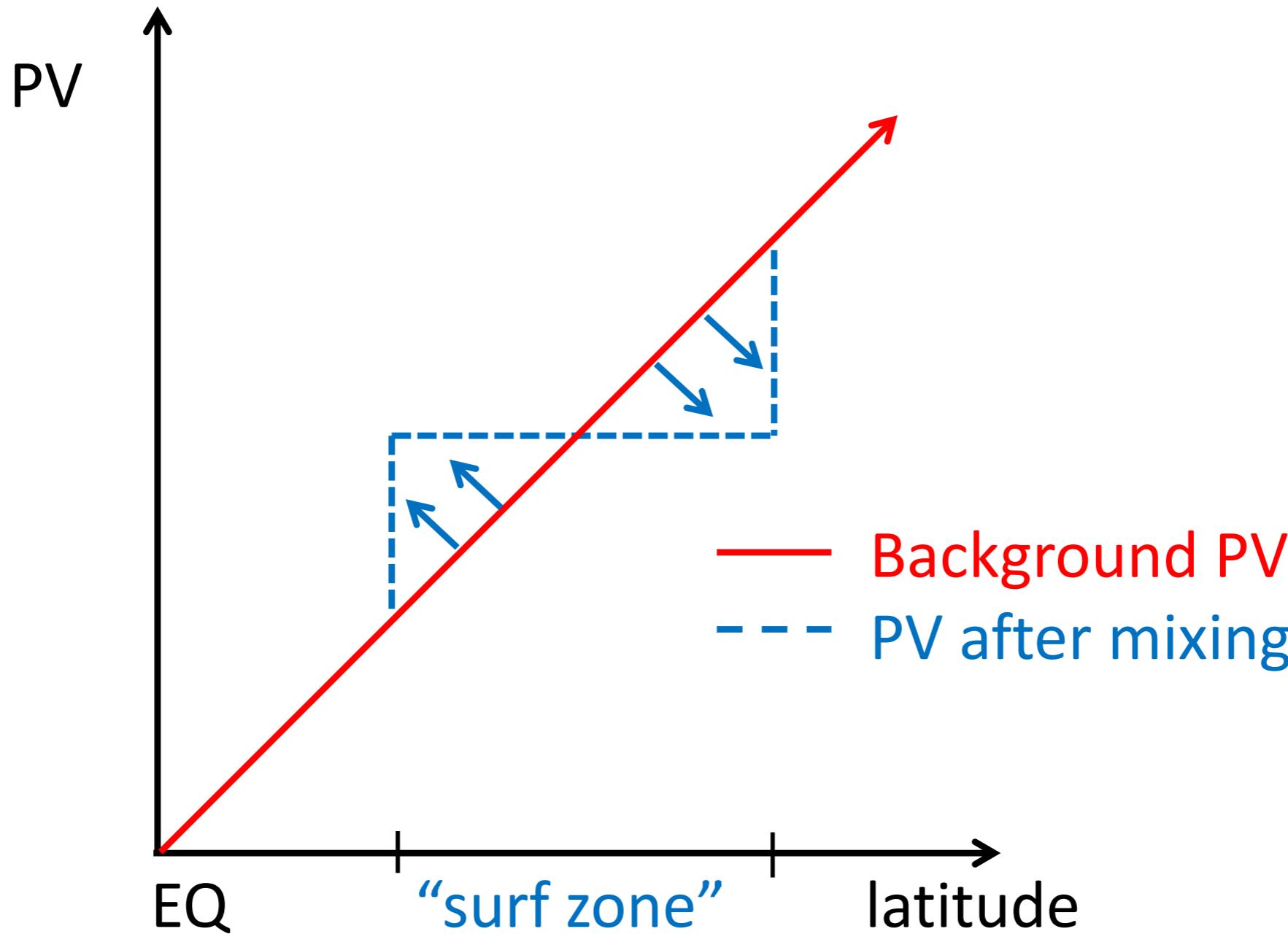
Stratosphere is shrinking, lifting the surf zone!



# Conclusions

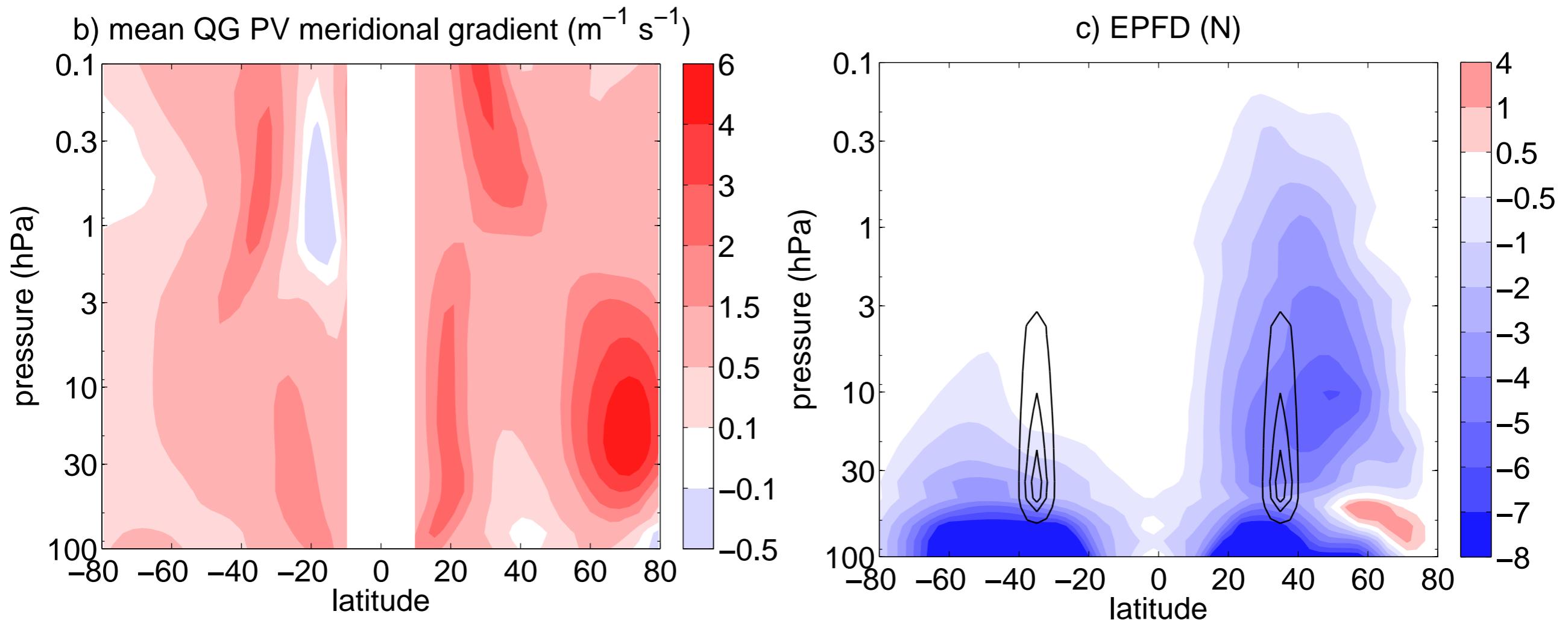
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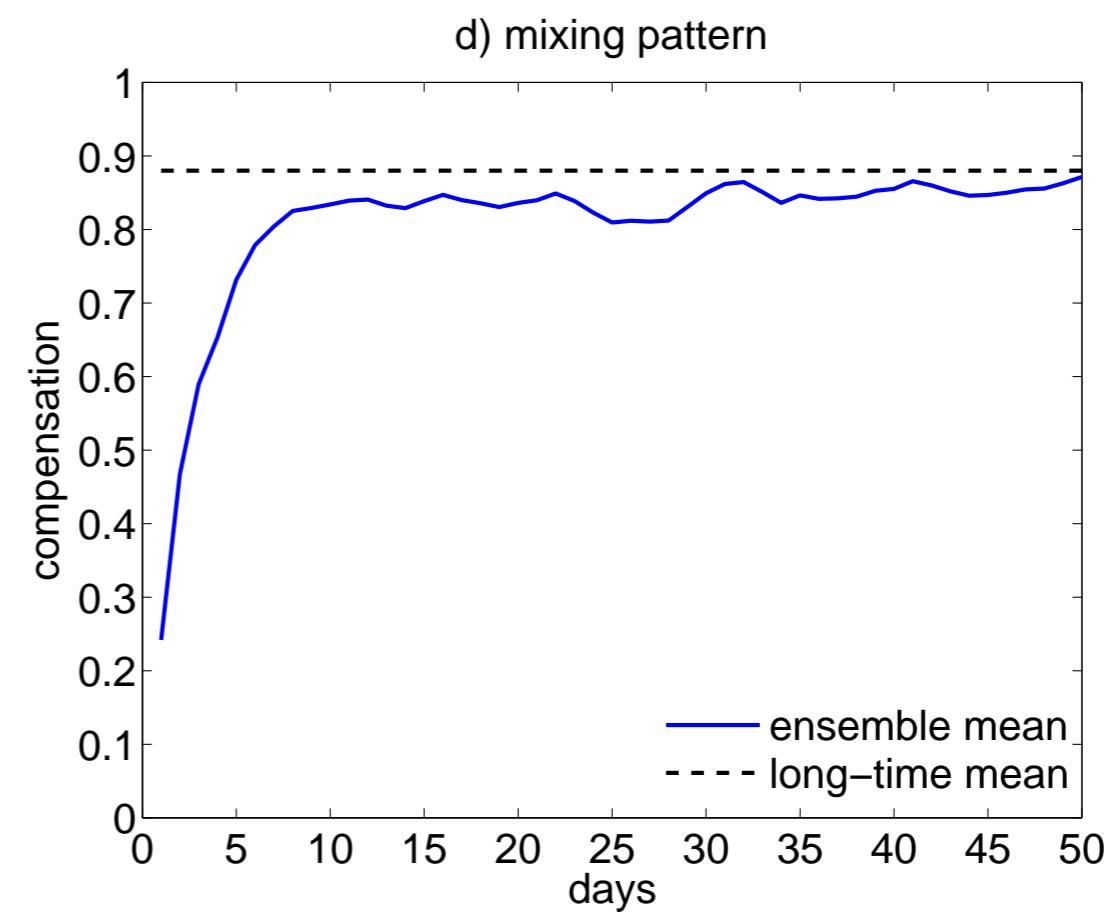
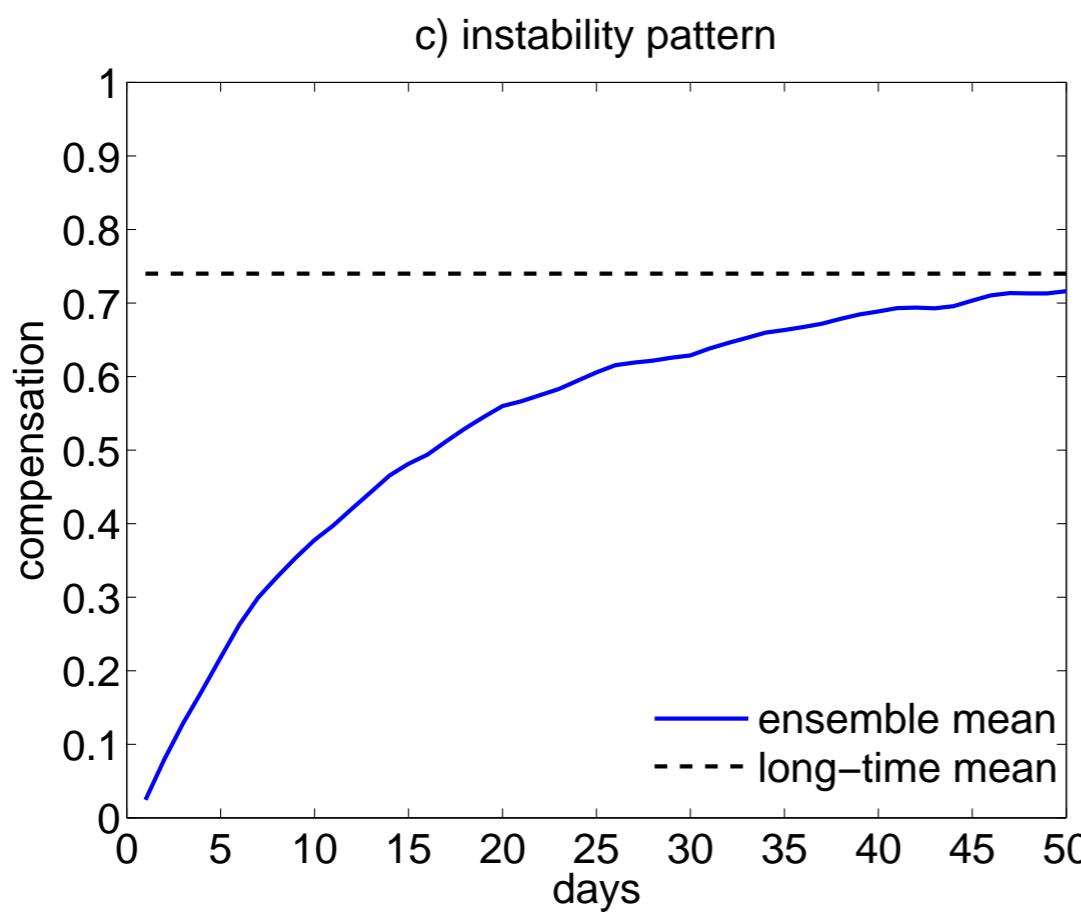
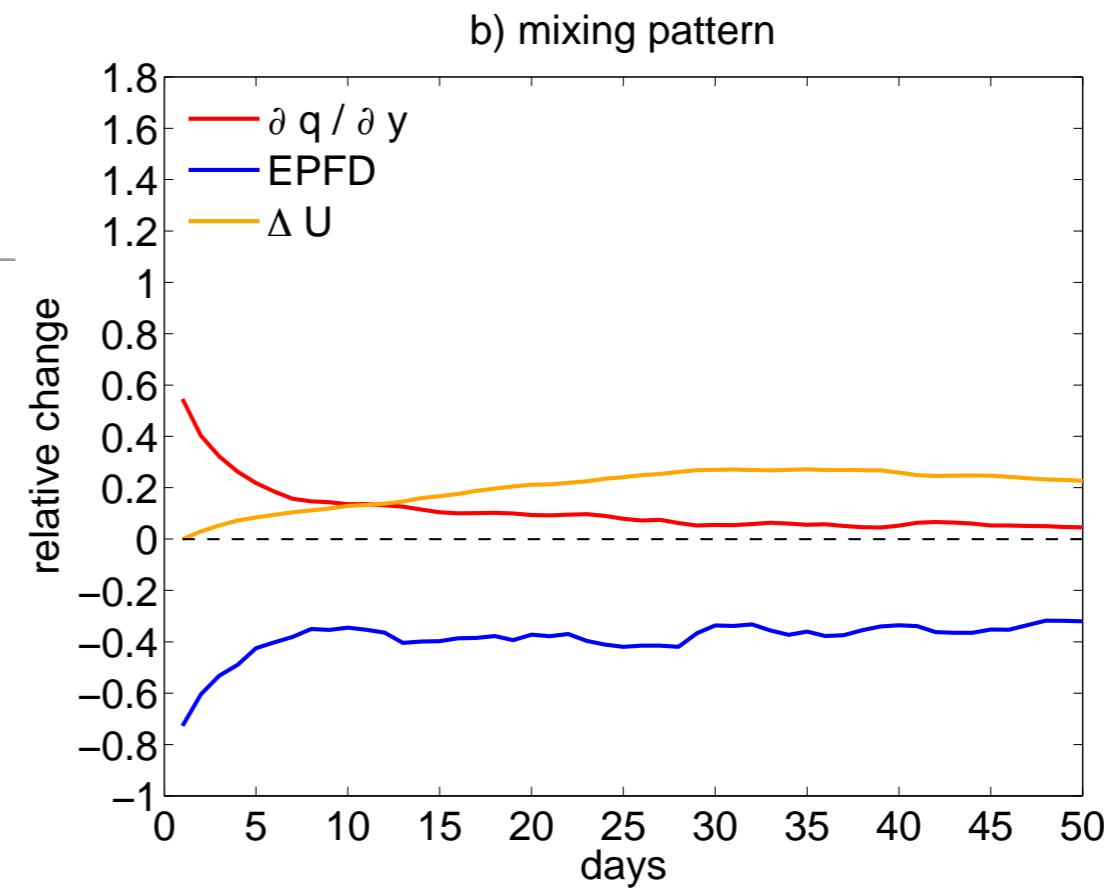
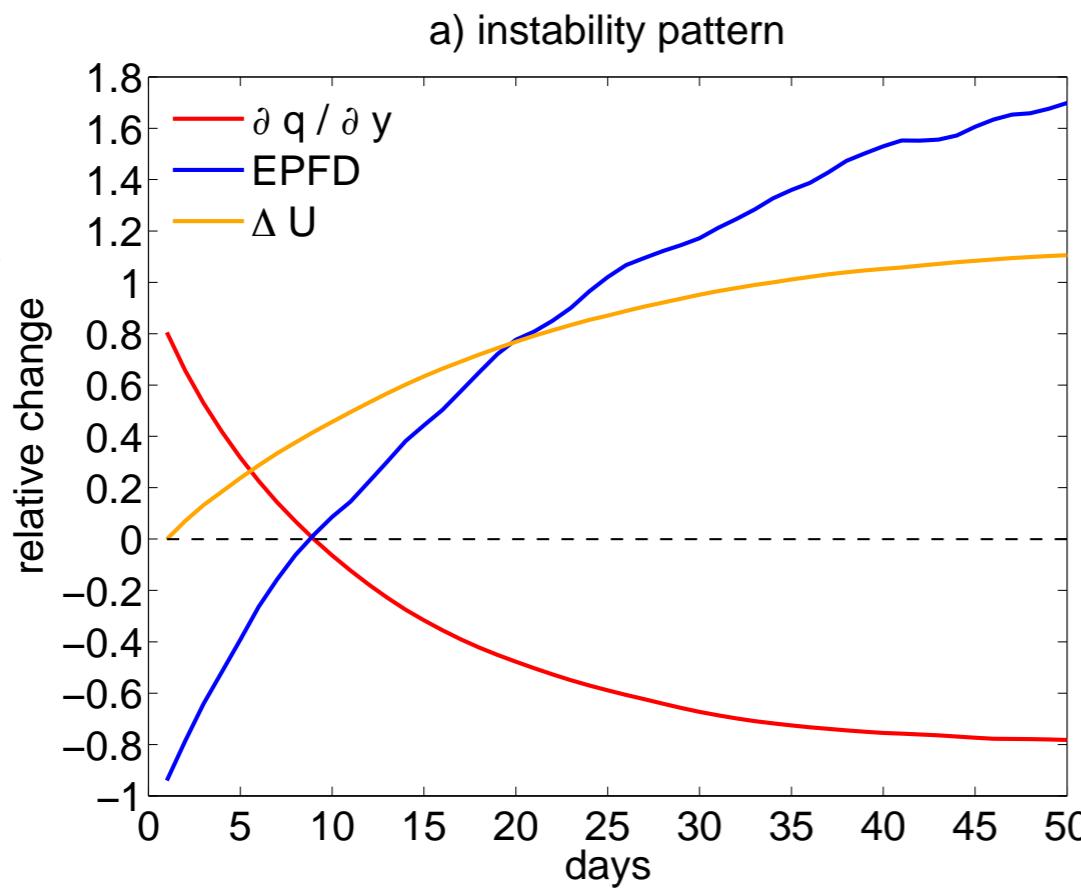
- The Brewer-Dobson Circulation is wave driven, but defining the precise role of Rossby vs. gravity waves is problematic, given their interactions.
  - resolved waves clearly dominant in the stratosphere: mixing PV
  - impact of gravity waves, particularly non-orographic waves, may largely be indirect, by shaping the Rossby wave forcing
  - intermodel differences in wave driving likely reflect tuning, not fundamental limitations in our understanding
- Models accurately simulate the current BDC (albeit with tuning), and robustly predict an increase in the future
  - differences in role of GW vs. resolved waves may be a red herring
  - Mechanism of rising critical latitudes (i.e. a shrinking of the stratosphere) is robust
- Idealized GCMs provide a bridge to connect theoretical insights with the observed and modeled Brewer-Dobson Circulation



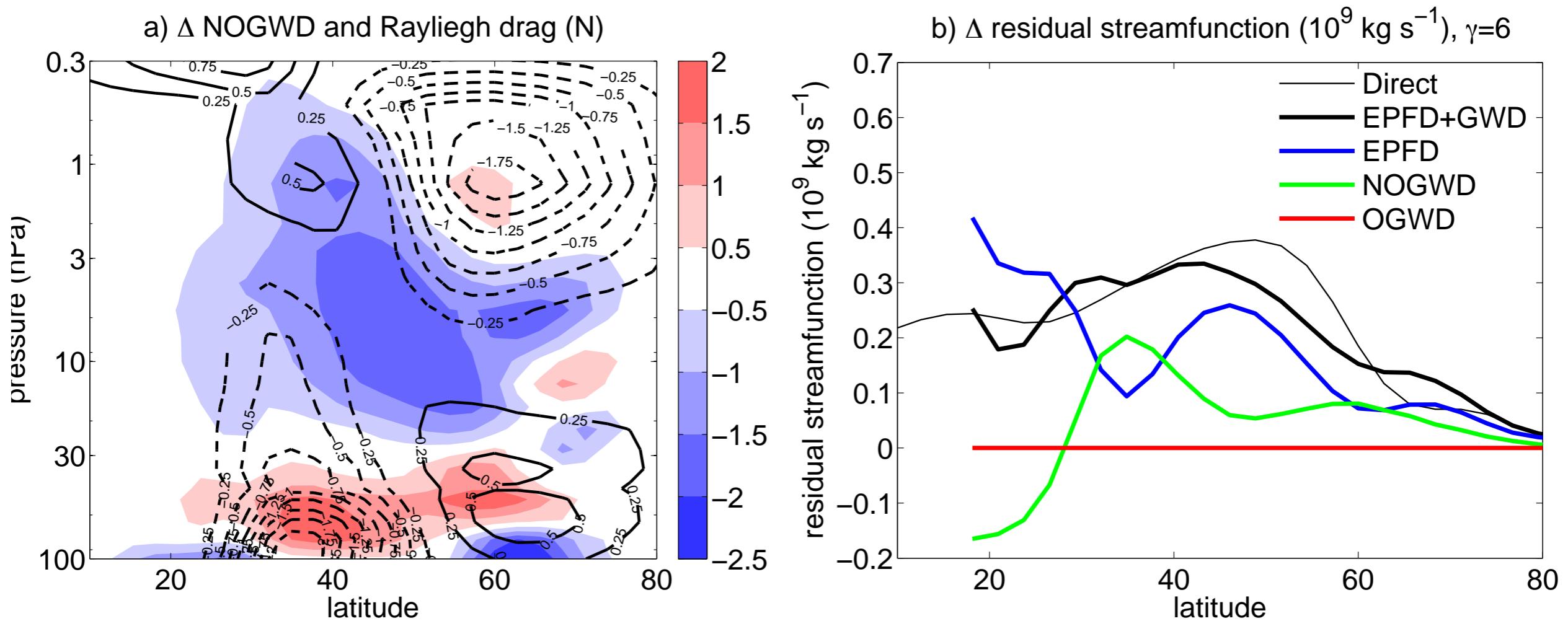
# Experiment to separate mixing and instability pathways towards compensation

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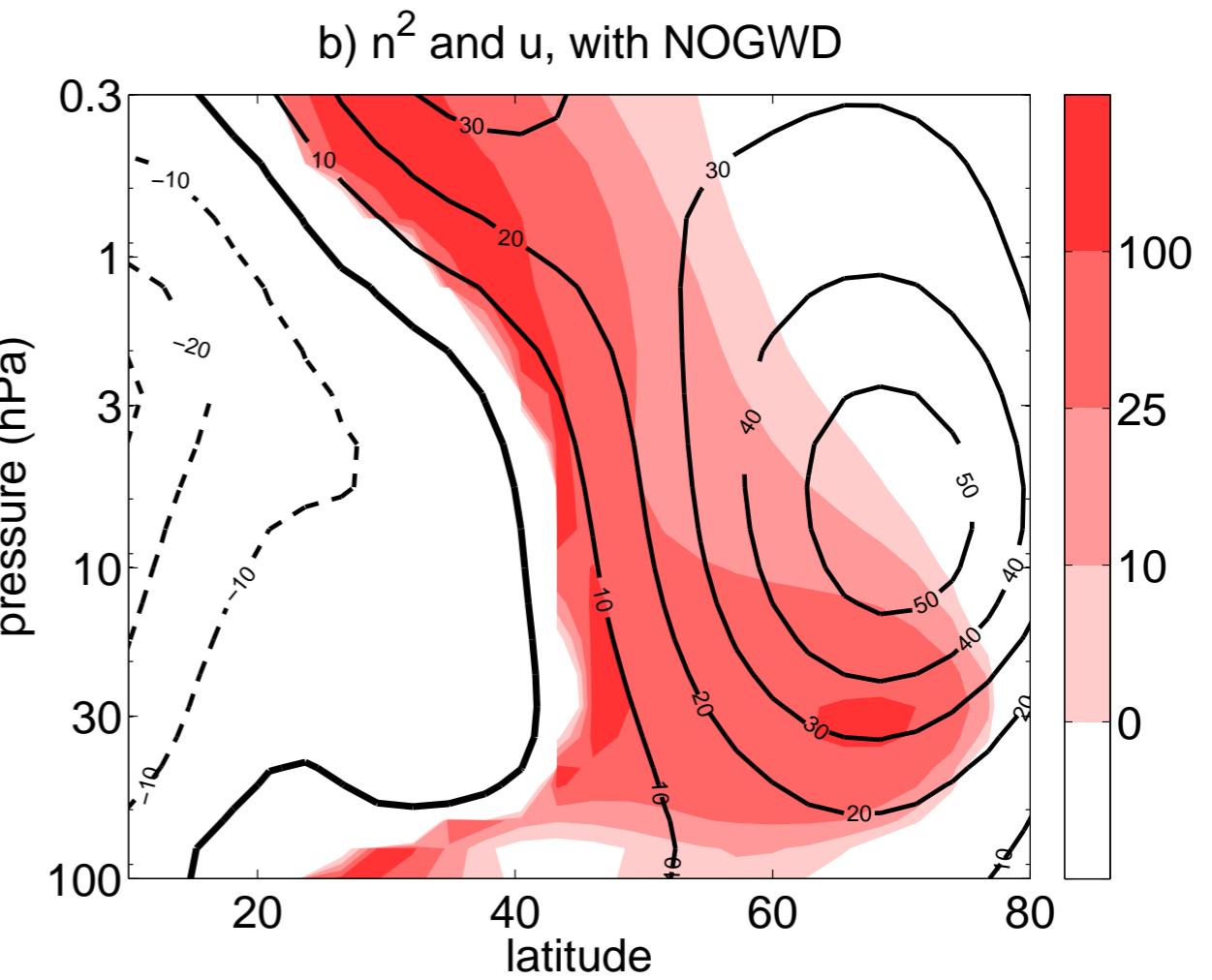
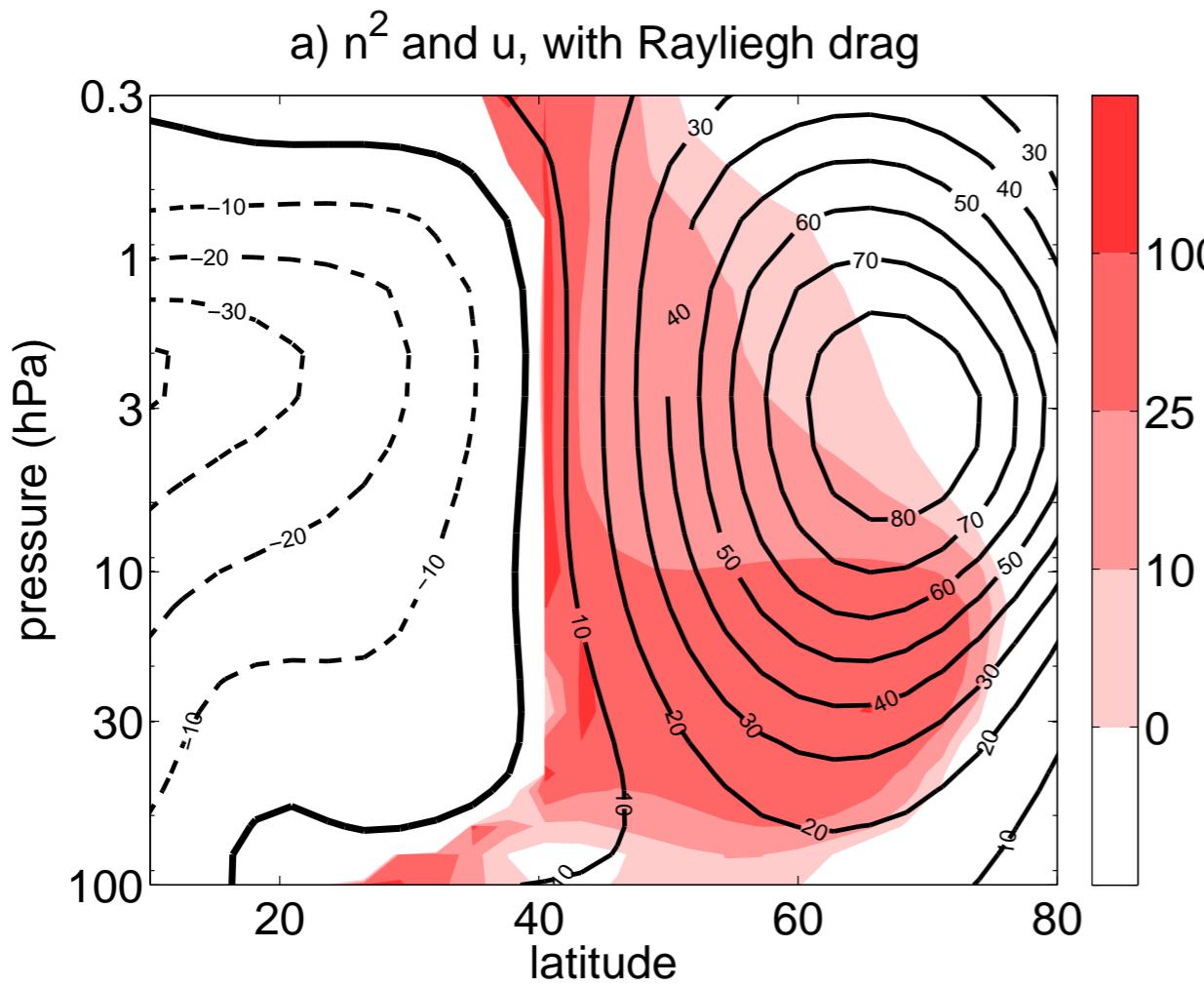


# Amplifying effect of NOGW



# Impact on index of refraction

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# The wave forcings in ECHAM6

