

# A satellite perspective of the influence of aerosol on cloud systems

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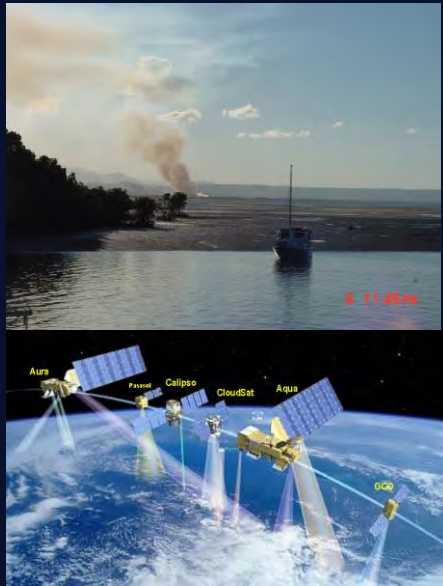
Colorado State University<sup>2</sup>

California Institute of Technology<sup>3</sup>

Picture taken by the Apollo-Soyuz crew  
(first joint U.S. /Soviet space flight) July  
16<sup>th</sup> 1976 at an Altitude: 174 km; source,  
Porch et al. (1990)

22:20 GMT  
16 July 1976





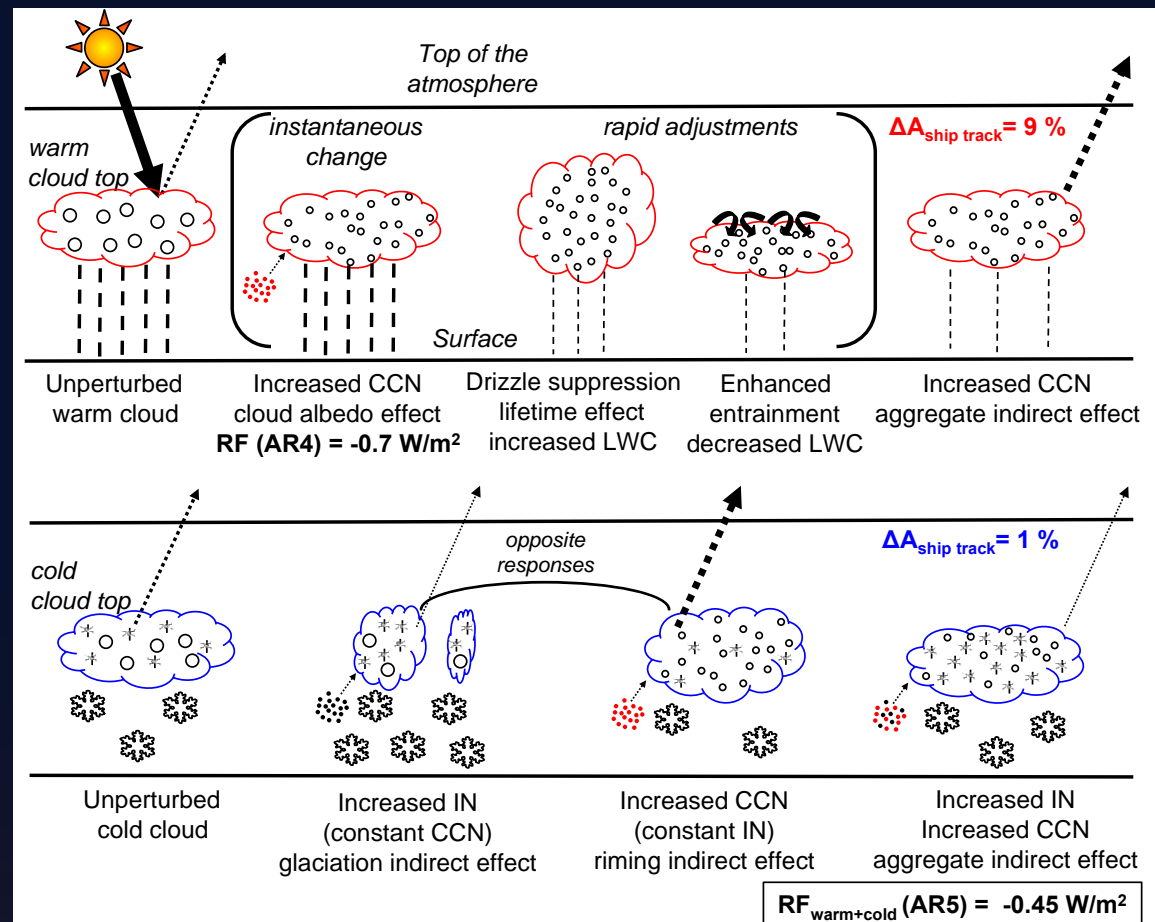
# Outline

## 1) Warm Clouds

- What is observed
- How effects are studied
- A more evolved process view

## 2) More Speculative

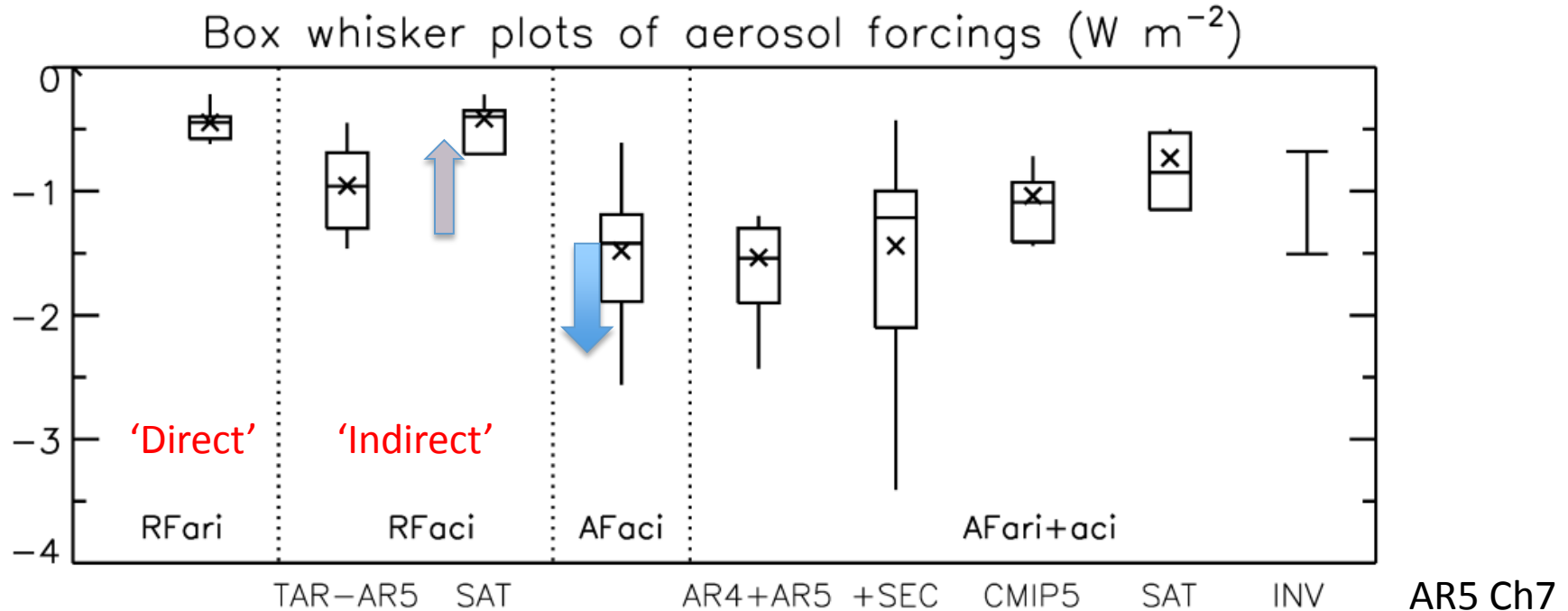
- Cold clouds
- Deep Convection



Take home messages:

- The cloud albedo response to aerosol is the result of an aggregation of processes that tend to buffer each other
- the net effect is more directly determined by the response of the water budget of clouds to aerosol.

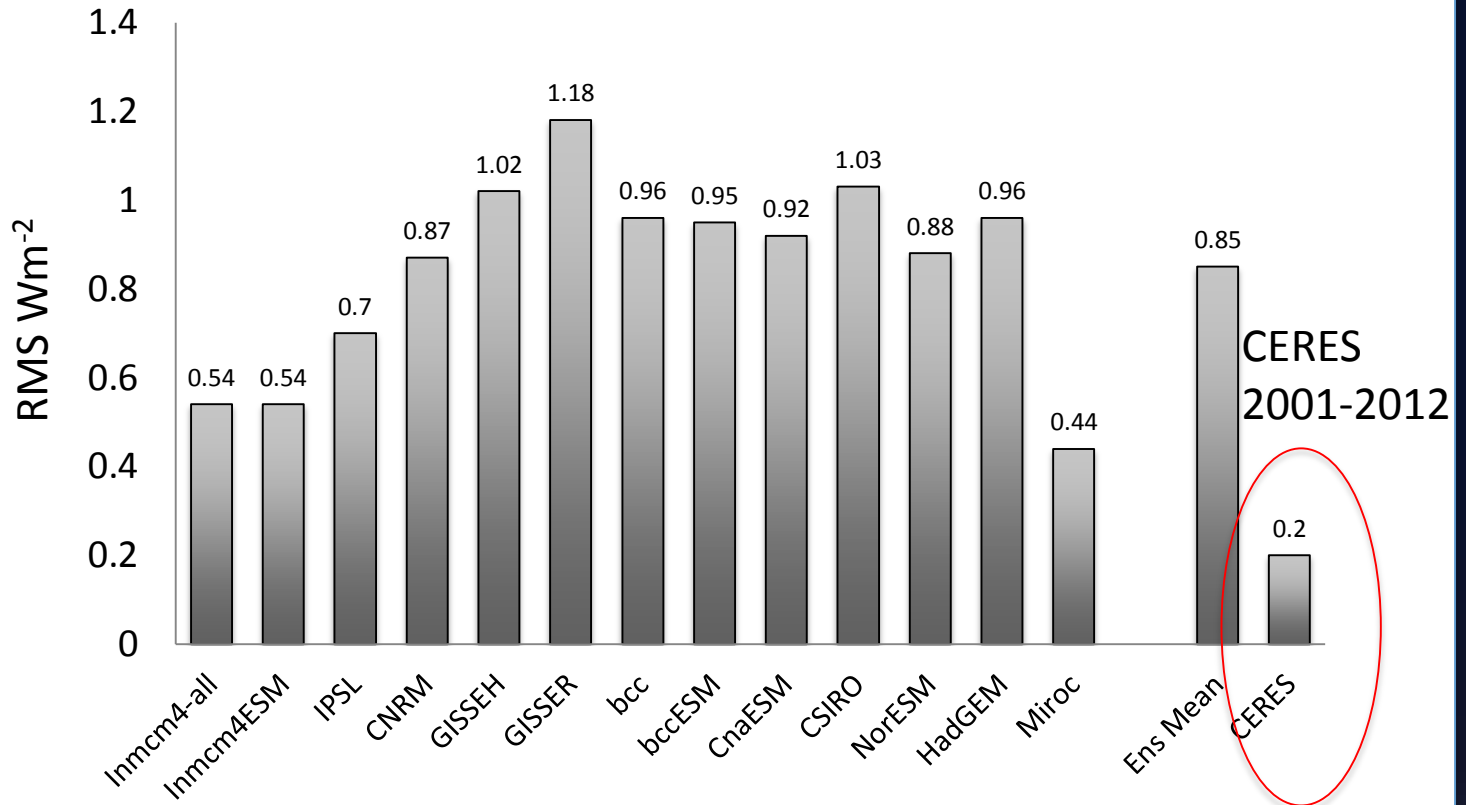
# Albedo and Climate forcings



The adjustments of models enhance the initial “Twomey” effect

The adjustments as observed by satellites reduce the effect

# Interannual variability of reflected energy

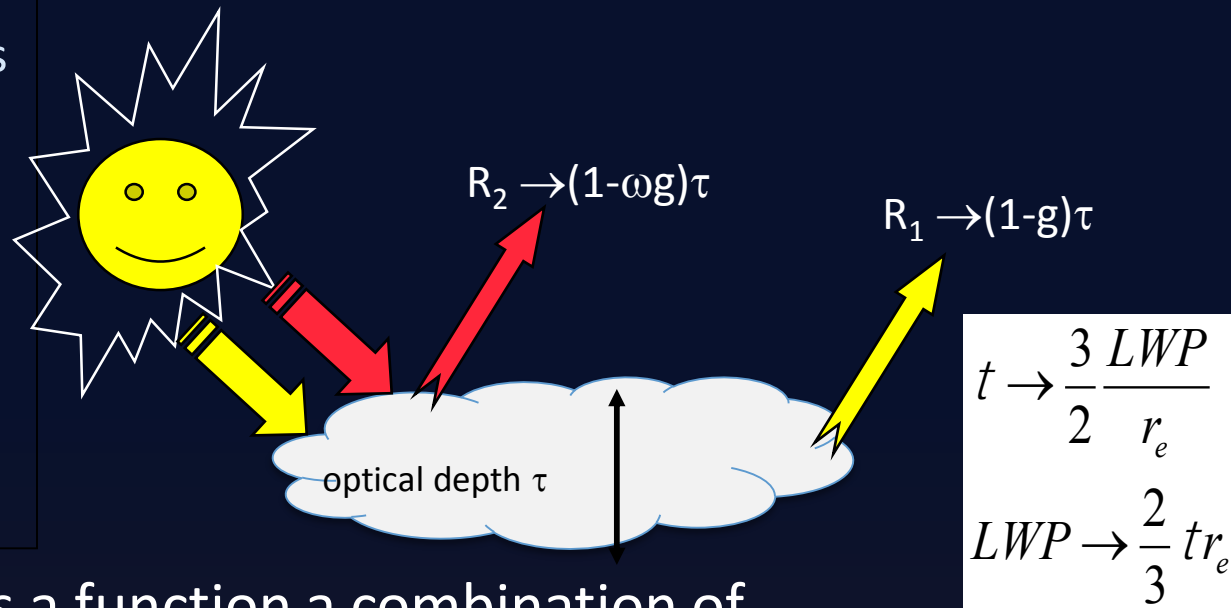


Modeled inter-annual variability of globally averaged fluxes is (on average) 4 times the observed global variability, Source Stephens et al., *'The albedo of Earth', Rev Geophys, 2014*

# 1) Warm cloud microphysics from satellite

Implicit,  $r > \lambda$

- Twomey, 1969; 'Theory'
- Twomey & Cocks, 1982's first demonstration from aircraft
- Nakajima & King, 1990; streamlined LUT algorithm
- Han et al., 1994; first global maps

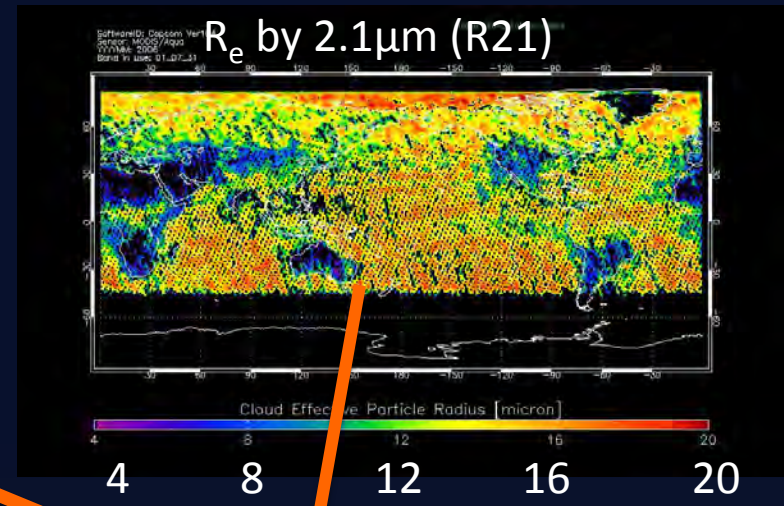
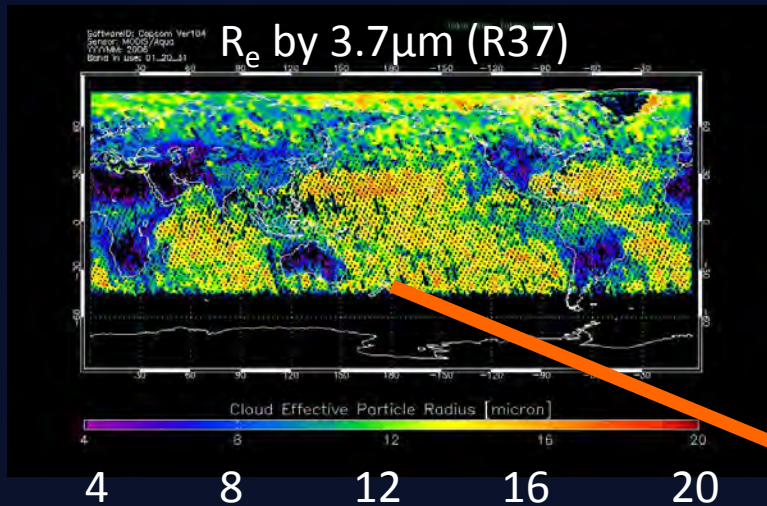


Visible reflectance ( $R_1$ ) is a function a combination of parameters, i.e.  $R \rightarrow (1 - g)\tau$

Near-IR reflection ( $R_2$ ) is a function of optical depth  $\tau$  and the scattering albedo  $\omega$ - the latter is a function of particle size  $r_e$ .

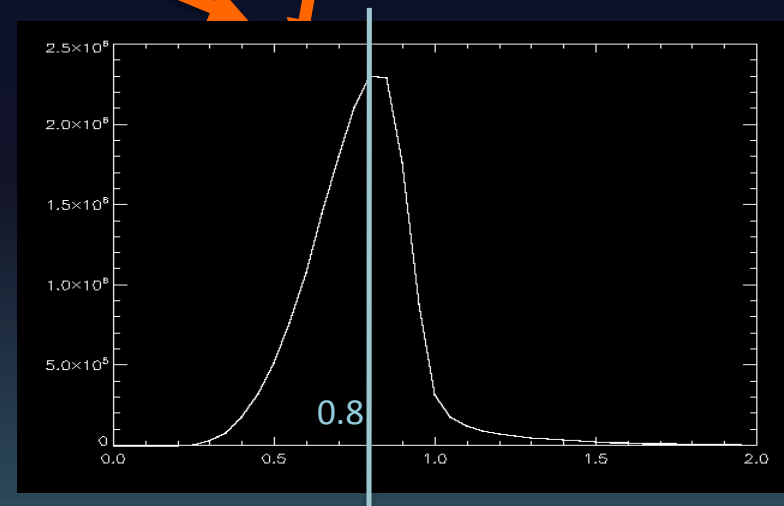
Measurements of reflection at two wavelengths returns  $\tau$  and  $r_e$  assuming  $g$

$$\frac{Da}{a} \mu \frac{Dt}{t} = \frac{DLWP}{LWP} - \frac{Dr_e}{r_e}$$



20+ year conundrum

These satellite estimates have variable biases when compared to aircraft measurements



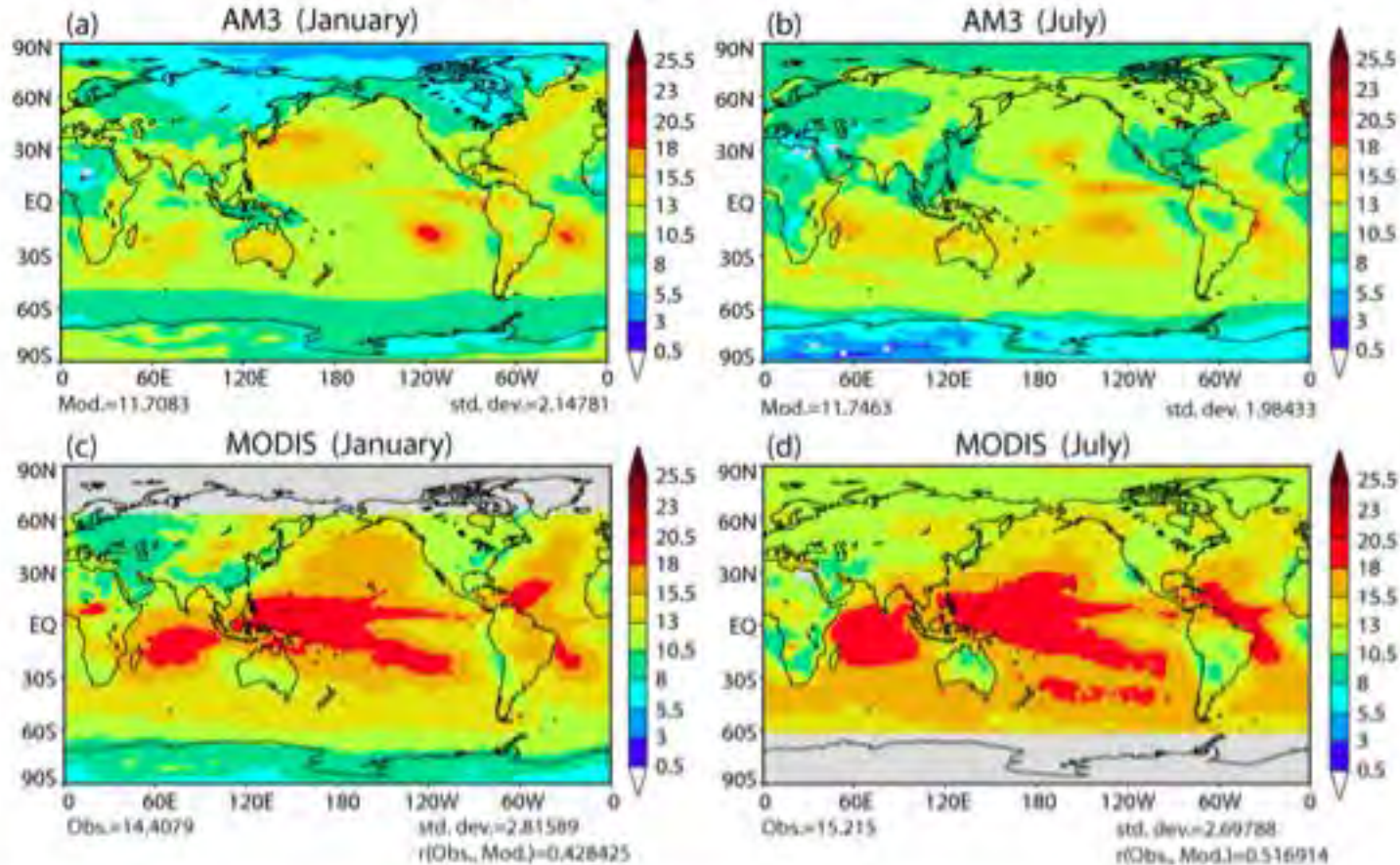
R37/R21 Histogram (Jul. 2006 one month)



# Model and observations

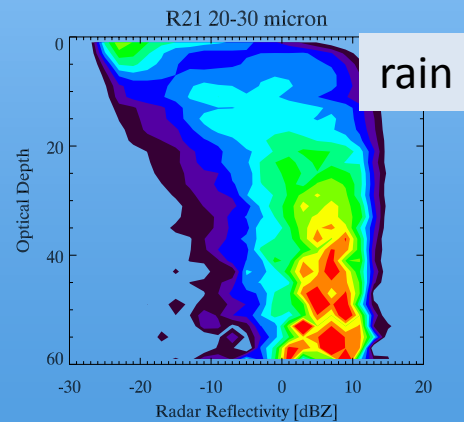
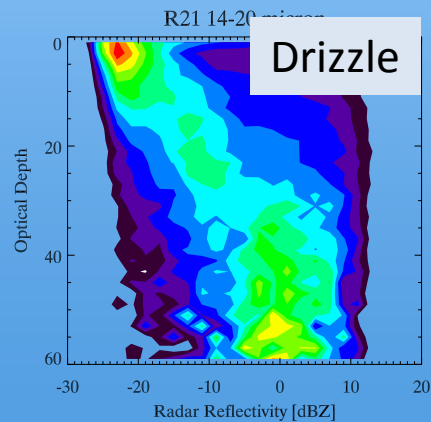
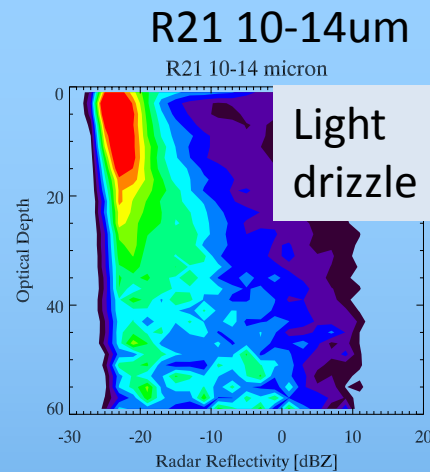
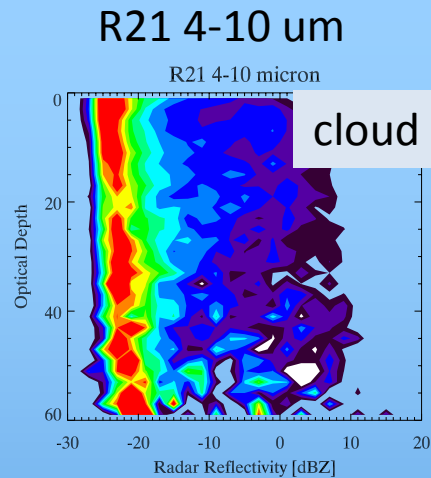
Cloud - Drop Radius ( $\mu\text{m}$ )

Donner et al., 2011



This matters because the strategy for testing aerosol-cloud indirect effects in global models has largely been framed around introducing particle size changes

# Conundrum resolved when particle size and radar reflectivity matched



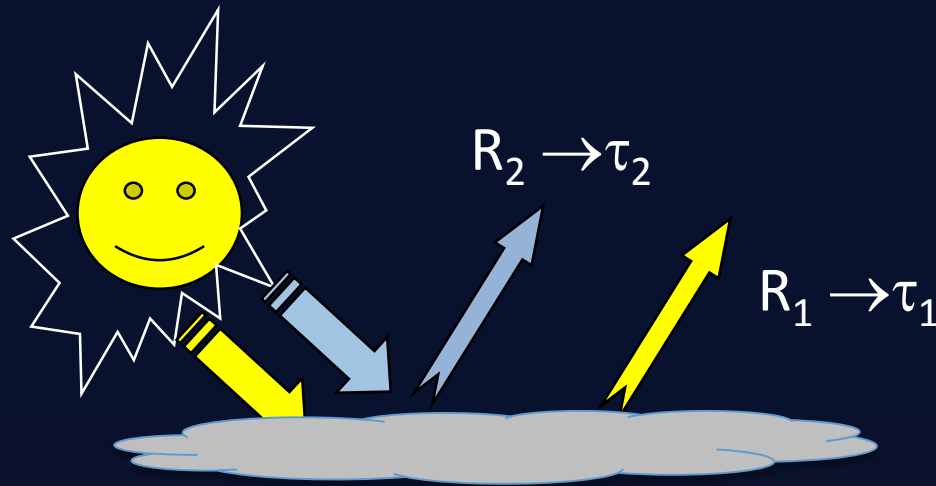
The evolution from cloud water to drizzle to rain evident in the radar profiles also reflected in the MODIS particle sizes @2.1 but not 3.7

The conundrum and its solution is discussed in Nakajima et al., 2010a,b

.....or so we claim!!!!



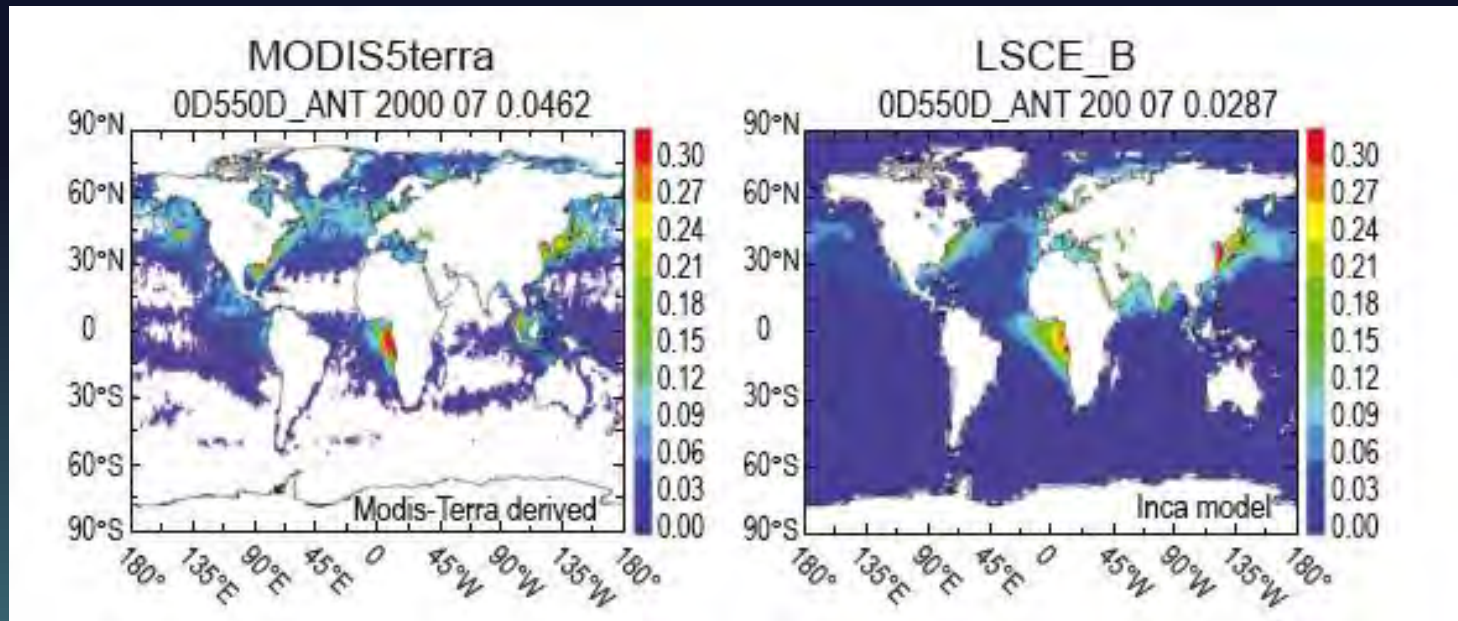
# Aerosol from satellite - Implicit, $r \sim \lambda$

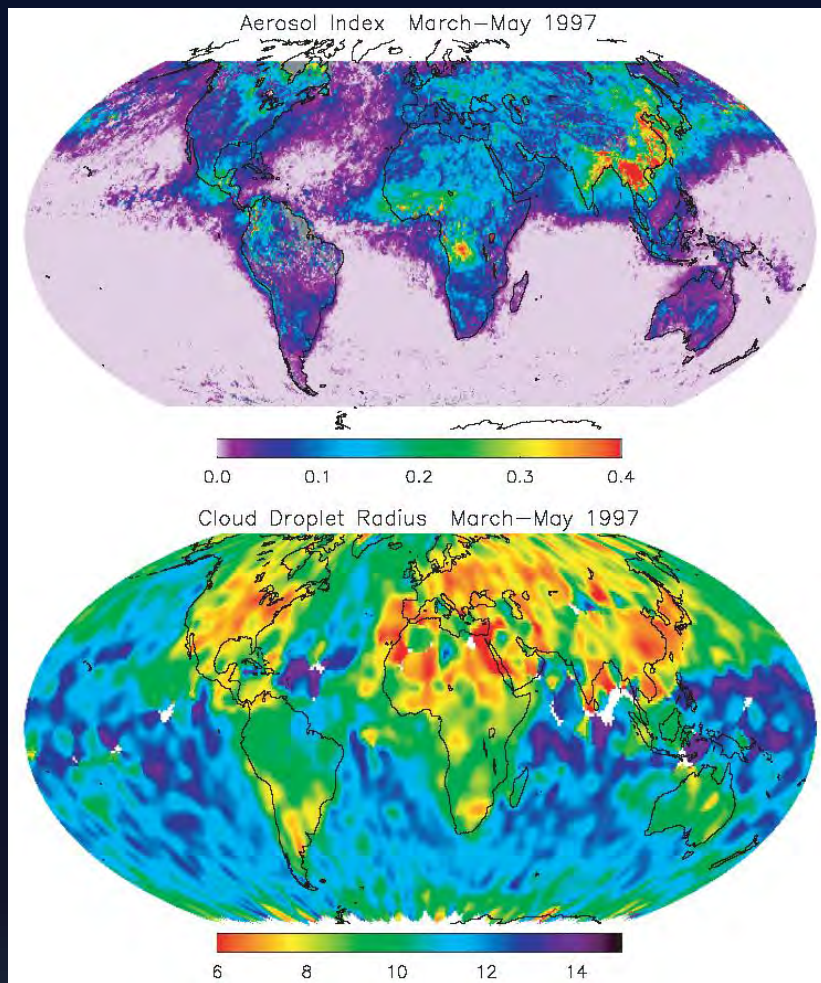


The  $\tau$  wavelength differential provides bulk information on aerosol size –

- ‘Fine mode’- MODIS, Kaufman, 2005
- Aerosol exponent ( turbidity)  $\propto$   
 $AI = \tau \times \propto$  (Nakajima et al., 2001)

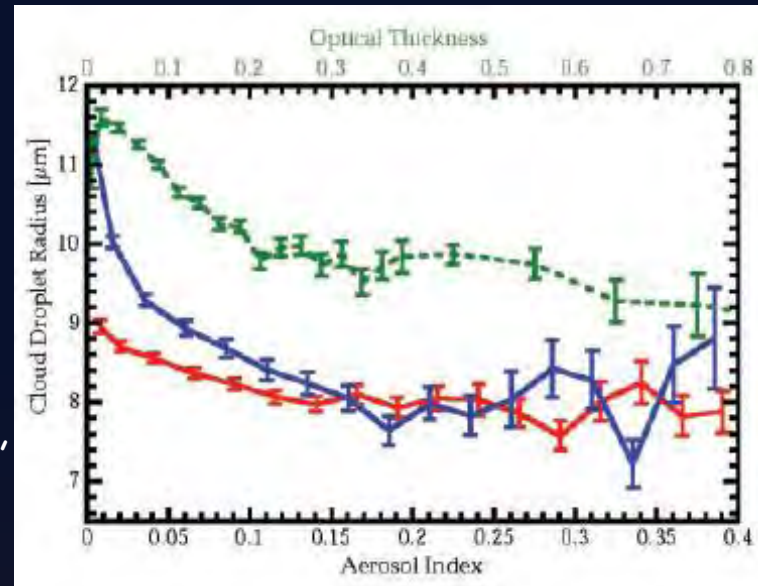
Alternatively - use of assimilated aerosol data in place of satellite data – L’Ecuyer et al., 2010, Chen et al., 2013.



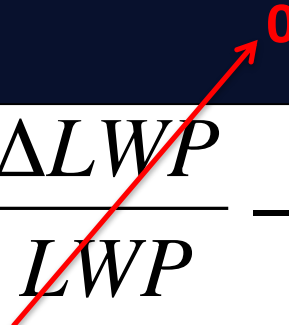


**POLDER**

Breon et  
al. (2002),  
*Science*



$r_e$  reduction with  
increasing aerosol =  
'Twomey' effect

$$\frac{\Delta\tau}{\tau} = \frac{\Delta LWP}{LWP} - \frac{\Delta R_e}{R_e} \propto \frac{\Delta\alpha}{\alpha}$$


NO

Almost all studies of this type are merely correlations, failing to isolate the Twomey effect (fixed LWP) from other effects. Almost all studies of this type, as well as field experiments supposedly aimed at addressing indirect effects, provide no information about albedo and its change



# A-Train Ship Track Database

CALIOP - Lidar cloud top heights

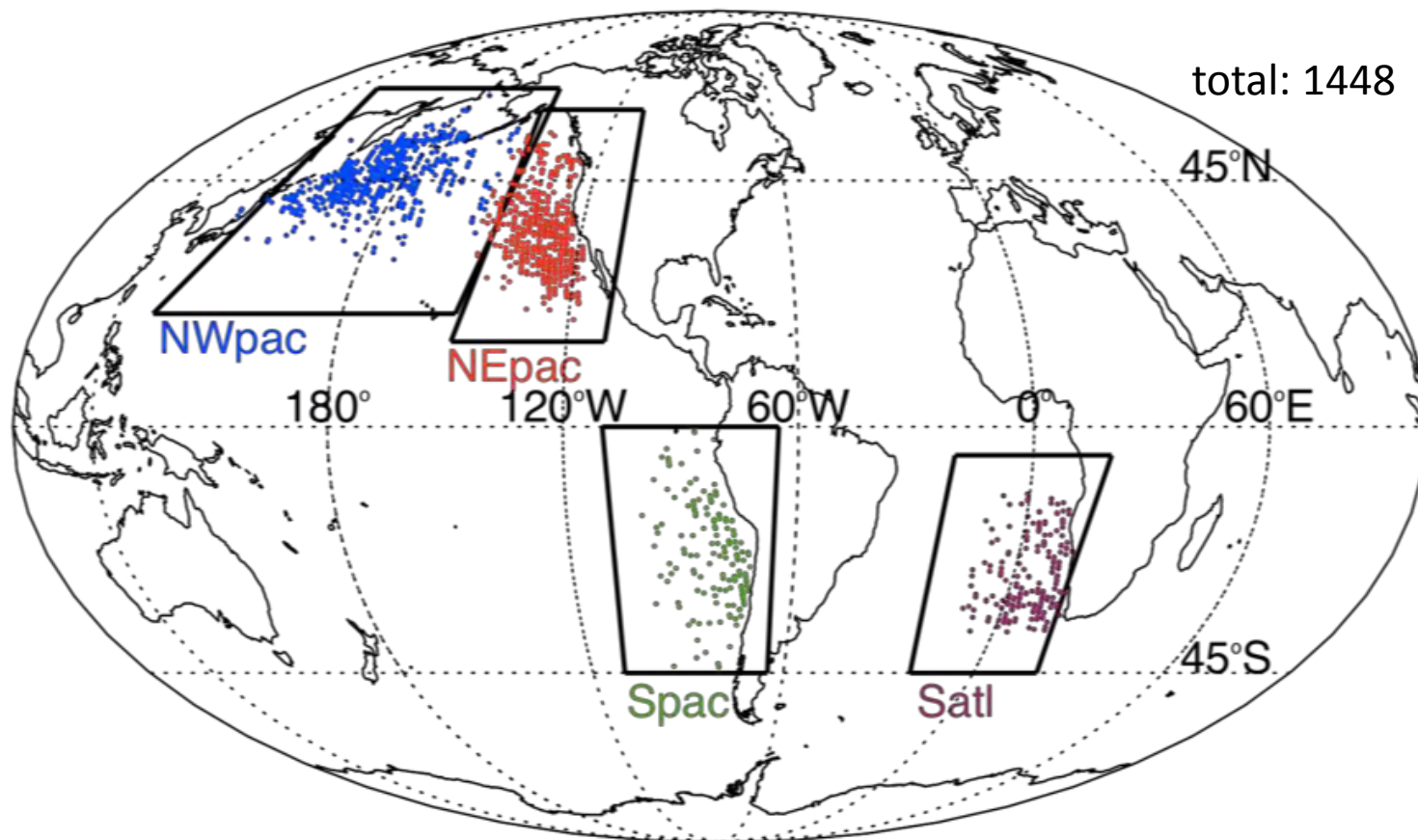
CloudSat Radar- precipitation occurrence, reflectivity

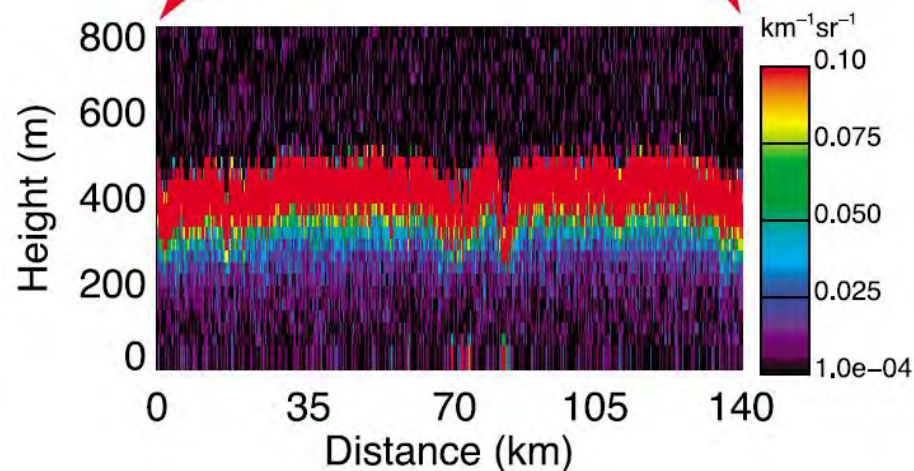
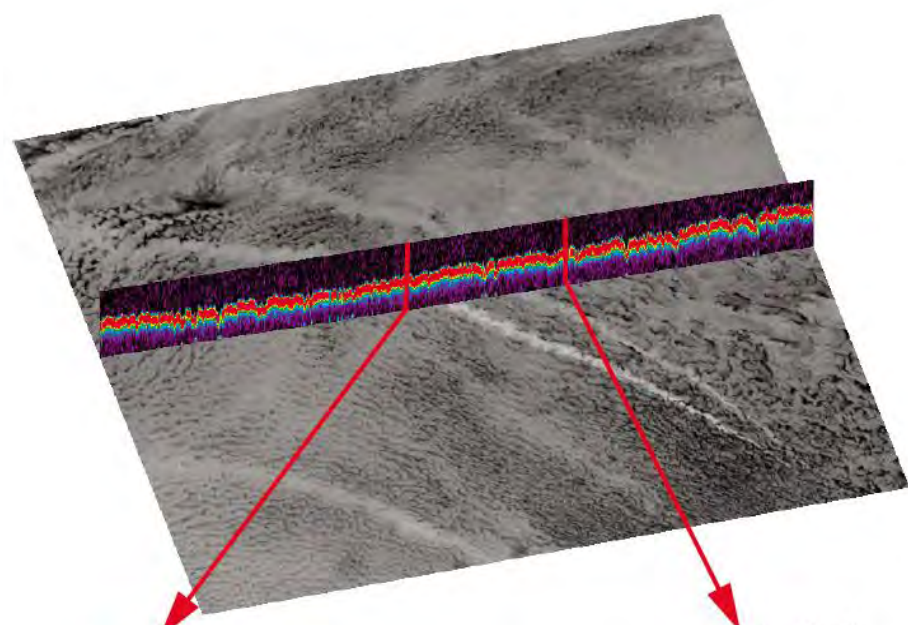
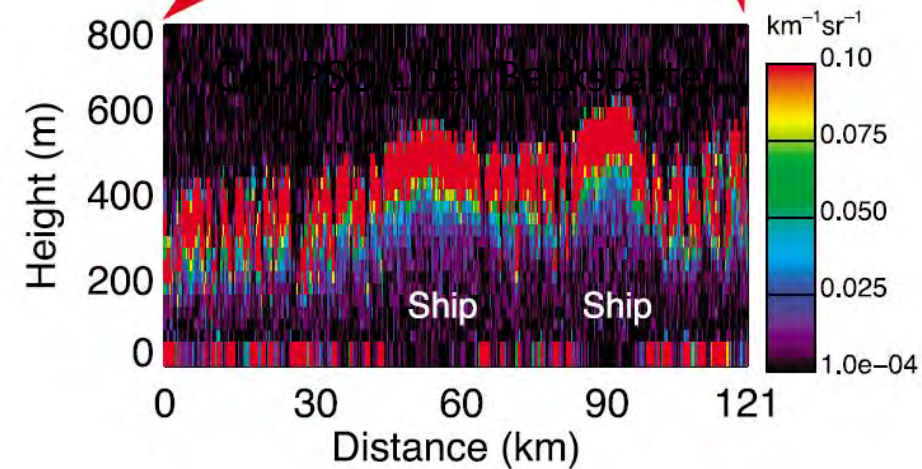
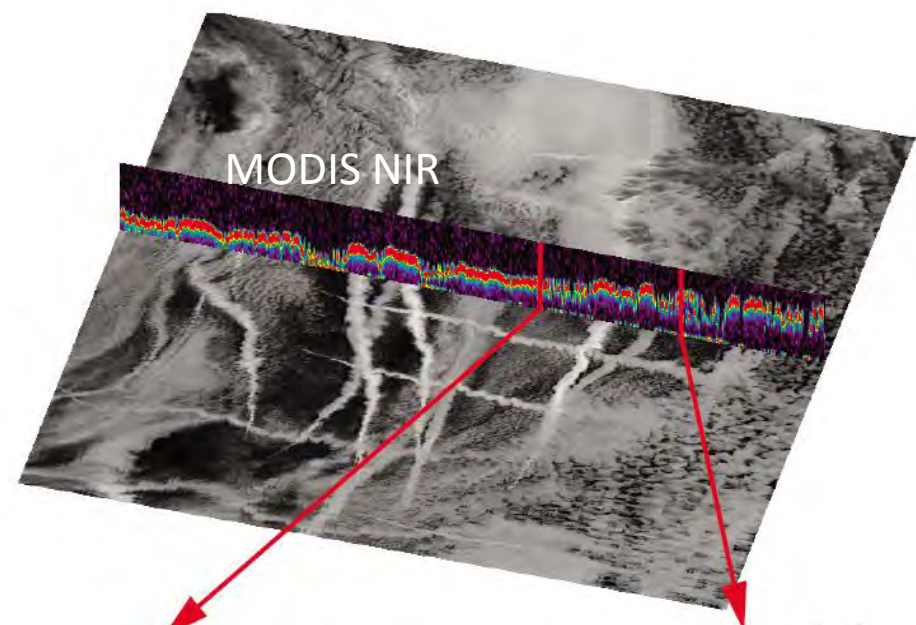
MODIS particle sizes, LWP, AI

AMSRE LWP

CERES albedo

Period: June 2006 – December 2009

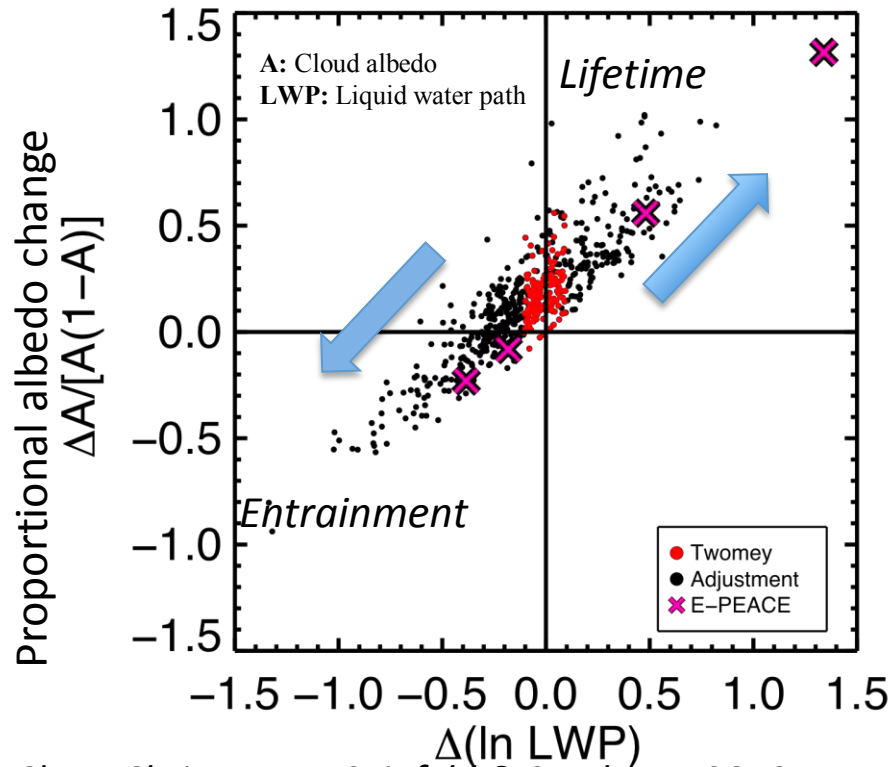




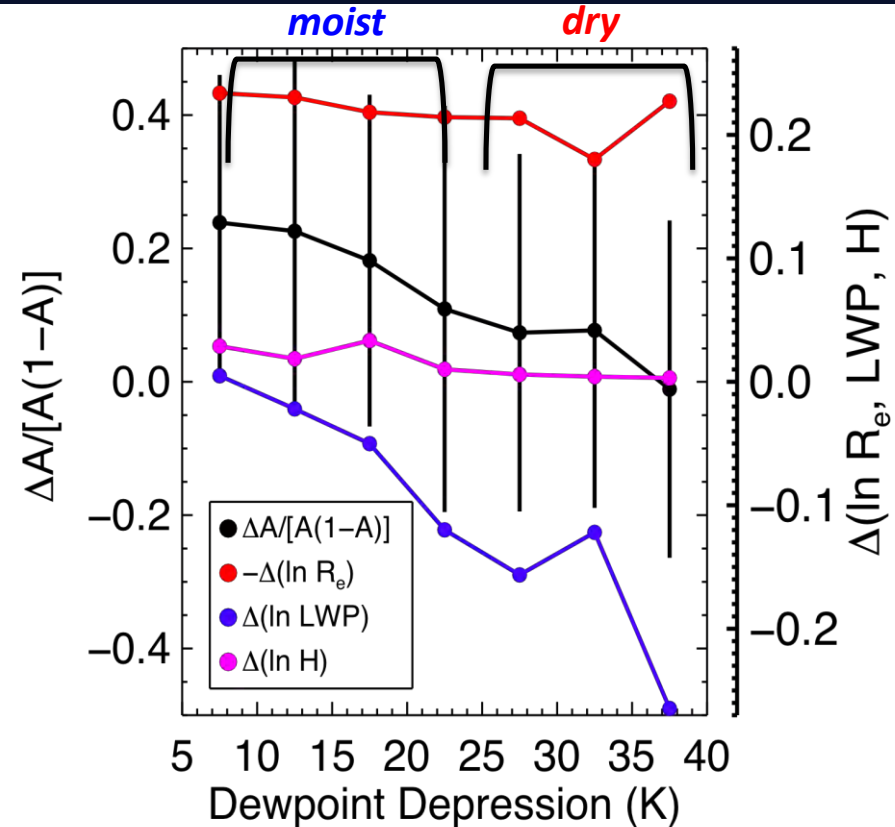
Open cells: 16% increase in cloud top height,  
large changes in LWP

Closed cells: no change in cloud top height,  
modest decreases in LWP

# The buffering of cloud albedo

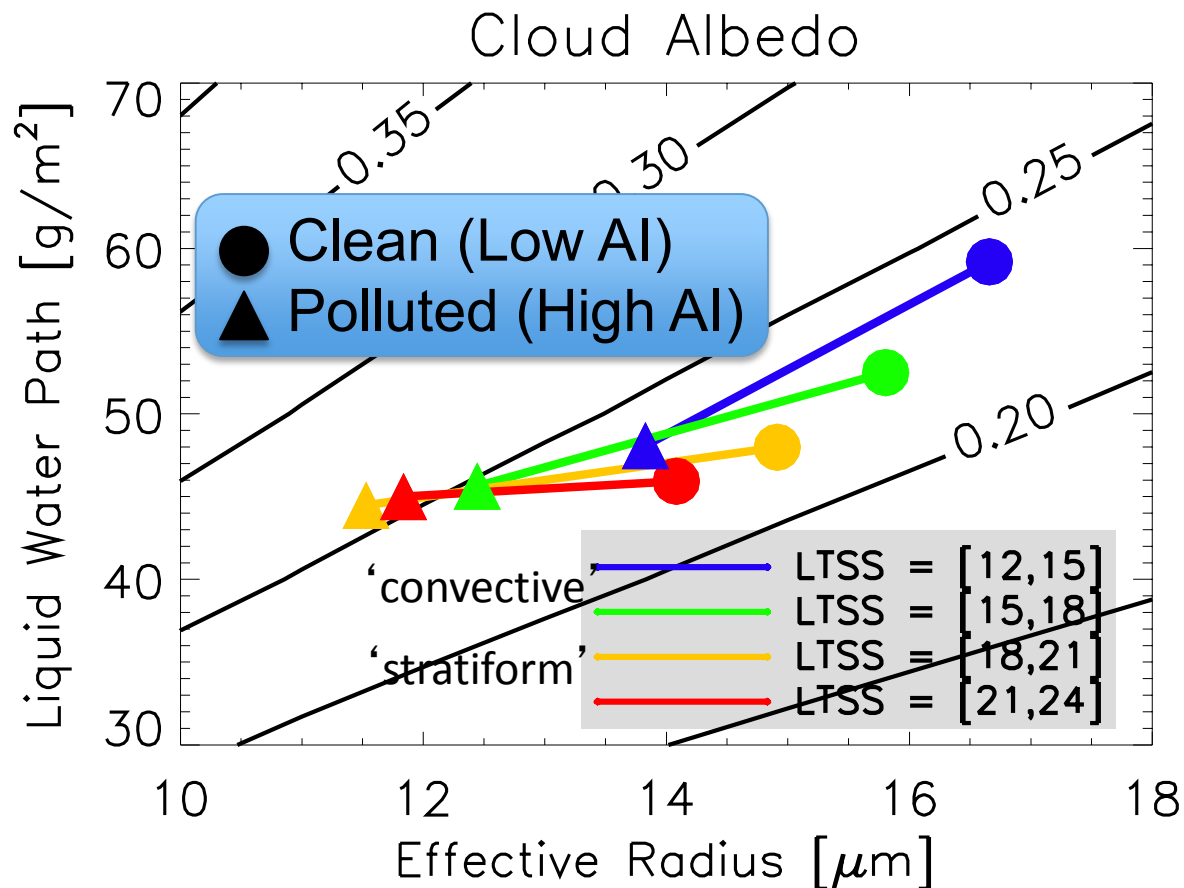


Chen, Christensen, Seinfeld & Stephens, 2012



- Differences in liquid water path primarily determine the sign and strength of the cloud albedo response.
- Humidity above cloud tops is responsible for the differences in LWP.
- E-PEACE results are in good agreement with A-train observations.





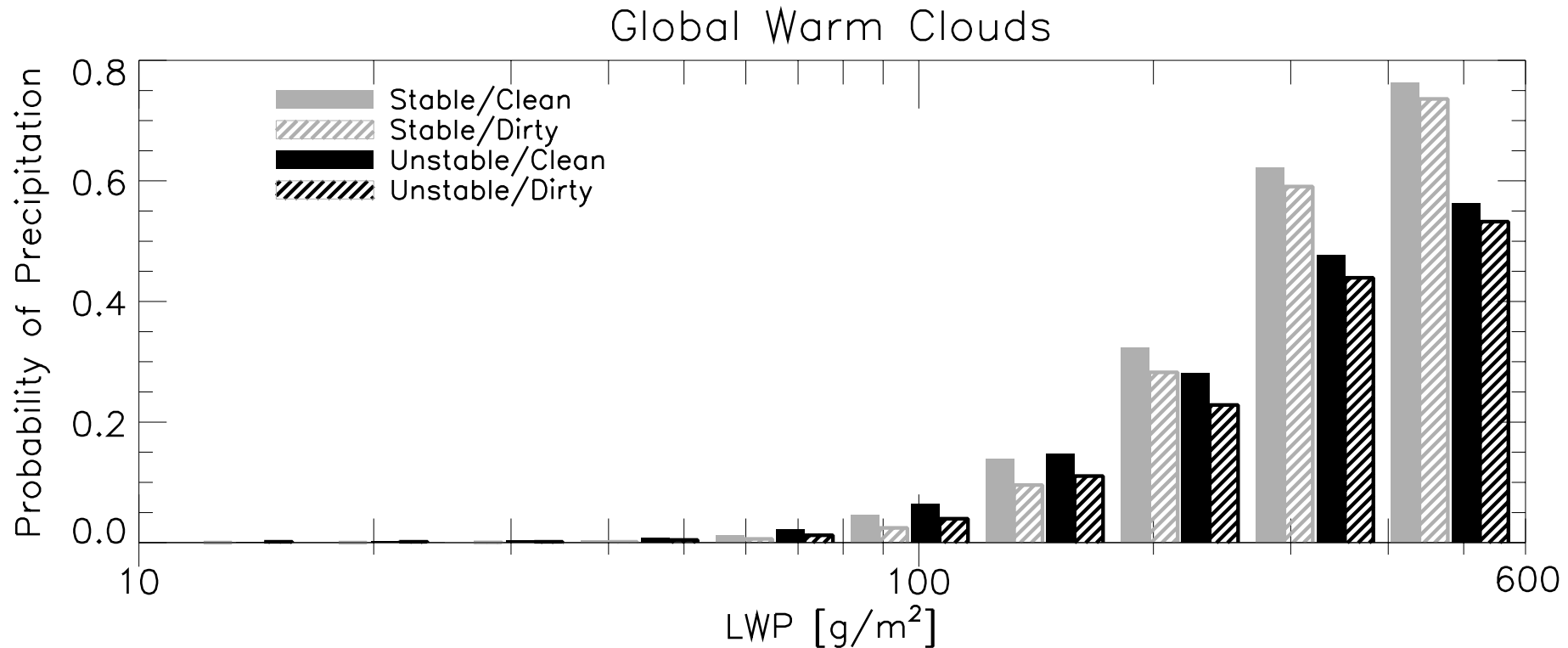
Lebsock et al 2008 find a similar behavior in warm non precipitating clouds globally

Both AMSR-E and MODIS exhibit the same behaviour

The sensitivity of LWP to AI is a function of stability regime

- Stable regimes  $\rightarrow$  insensitive (slight decreases in LWP)
- Unstable regimes  $\rightarrow$  increasing sensitivity
- The stability dependent LWP response of clouds should be included in GCM parameterization schemes

# Probability of Precipitation and Water Path



1.  $\tau_{cld}$  and albedo response in precipitating clouds is dominated by the water path effect
2. POP decreased by  $\sim 5\%$  in dirty air regardless of LWP

# A further look at warm rain

2) Condensational Growth

$$S=g(w,N_c)$$

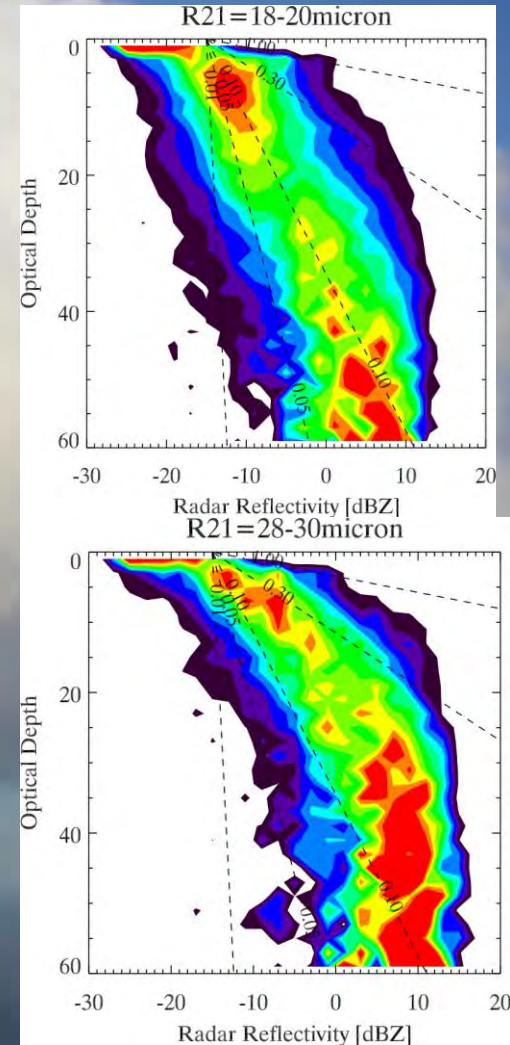
3) Efficacy of Coalescence

$$E_c=E_c(r,R)$$

1) Nucleation

$$N_c=f(N_a,species,w)$$

$$\frac{d \ln Z_e}{dt} \gg \frac{1}{6} E_c$$



Suzuki *et al.* (JAS 2010)

Photo courtesy Bjorn Stevens



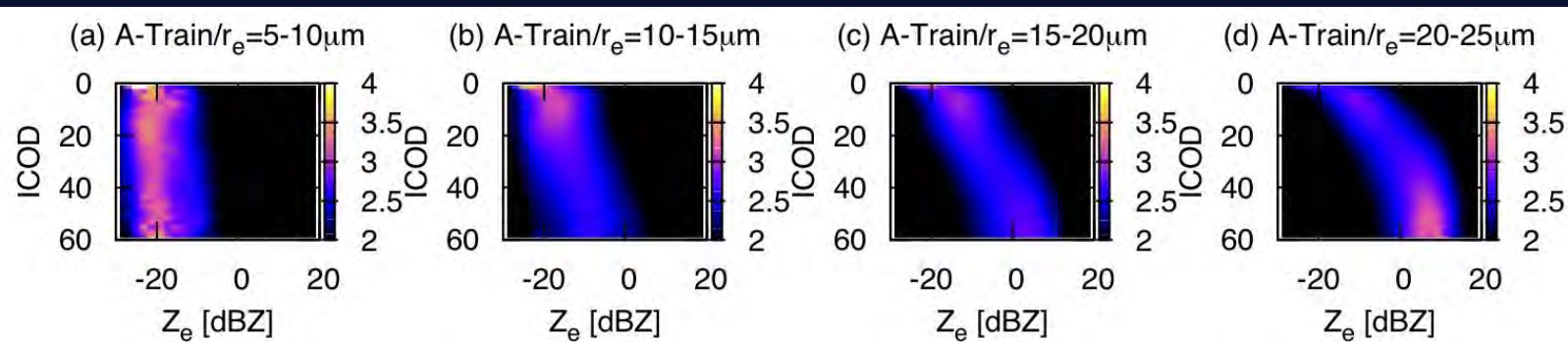
A-Train

$R_e=5-10\mu\text{m}$

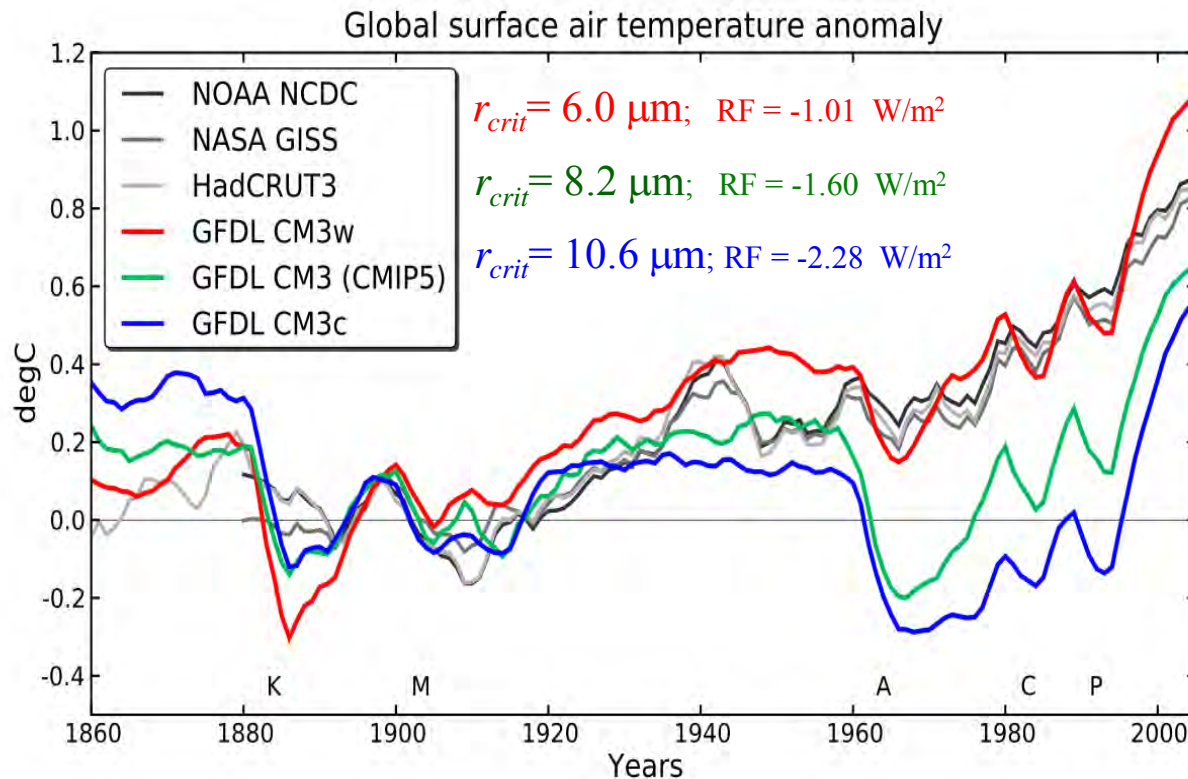
$R_e=10-15\mu\text{m}$

$R_e=15-20\mu\text{m}$

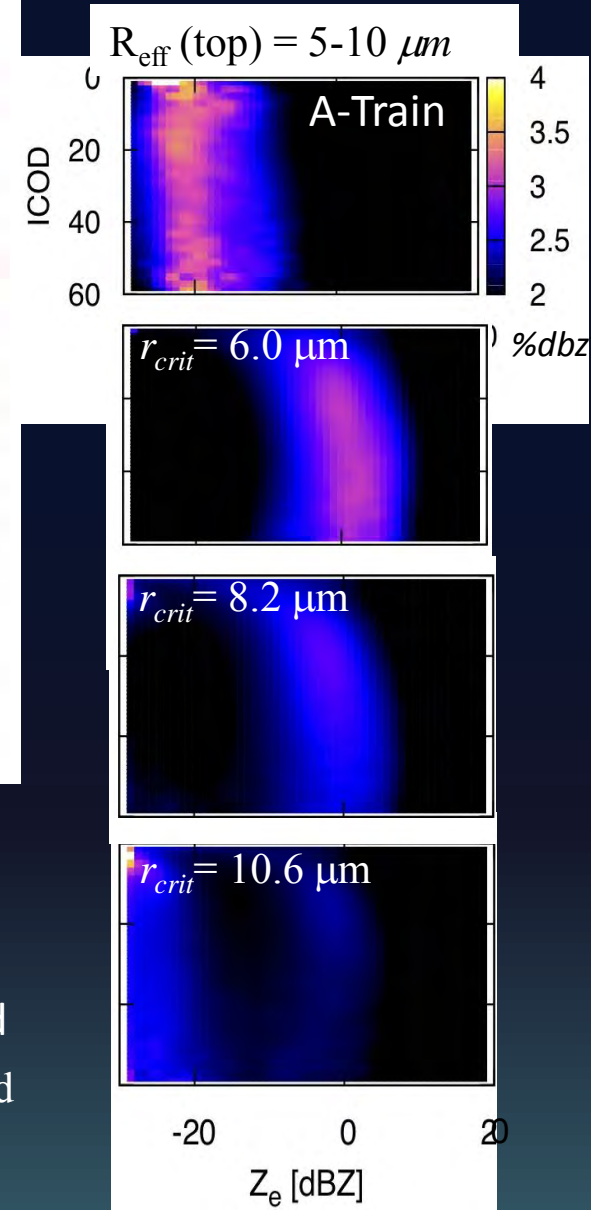
$R_e=20-25\mu\text{m}$



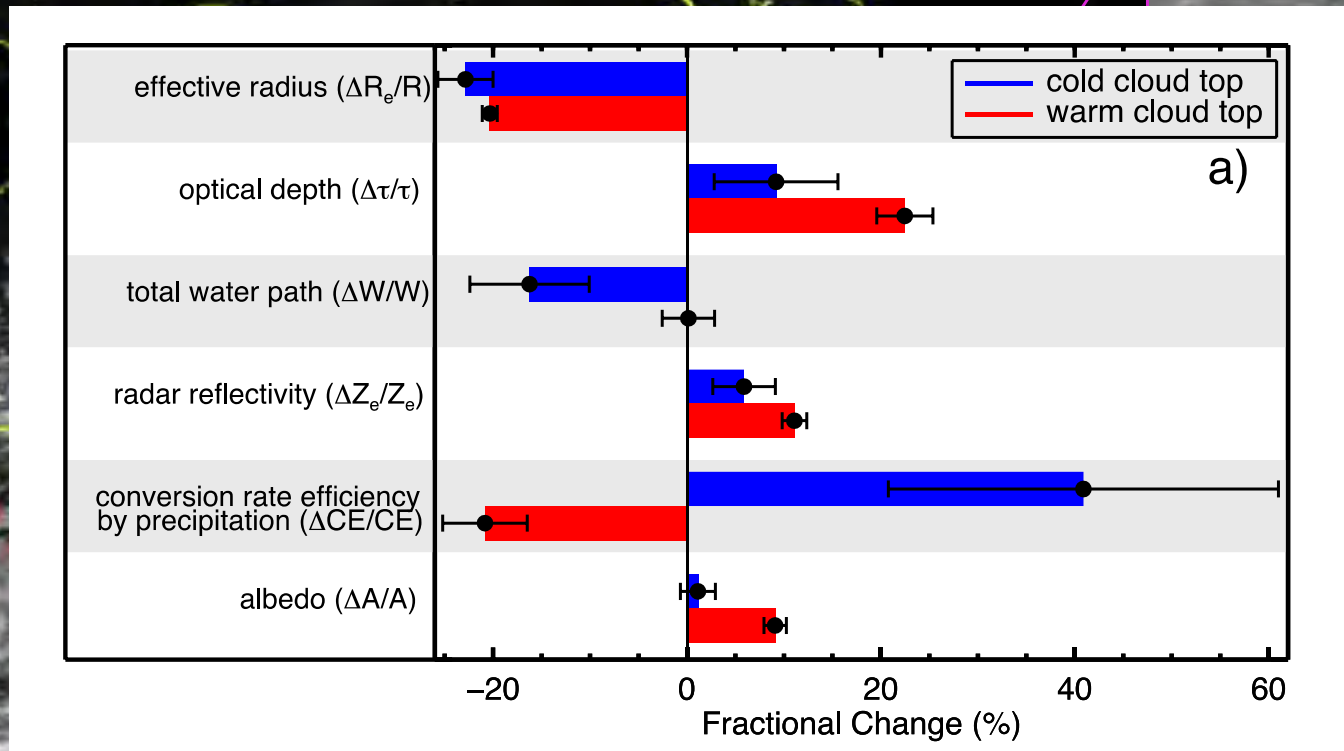
# Evaluation of cloud tuning: Implication for climate prediction



- Autoconversion radius threshold ( $r_{crit}$ ) strongly modulates the indirect effect.
- Larger  $r_{crit}$  produces less drizzle and more cloud water.
- A-Train observations indicate a larger value of  $r_{crit}$  than used
  - Causes aerosol indirect effect to be excessively large compared to A-train observations [e.g., Lebsock et al. (2008), Quaas et al. (2008)].



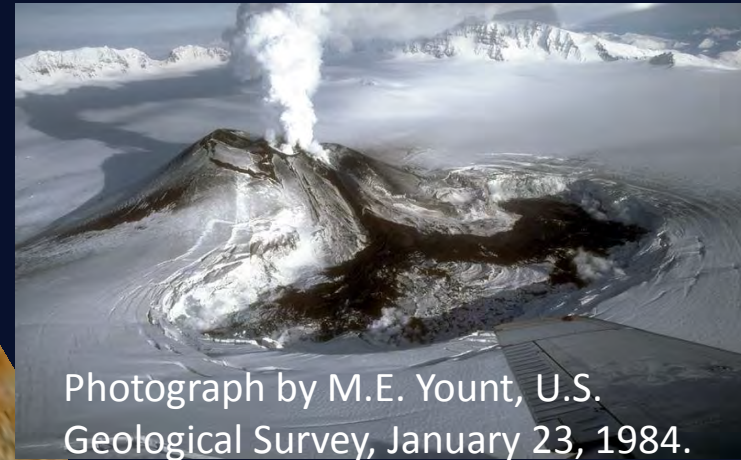
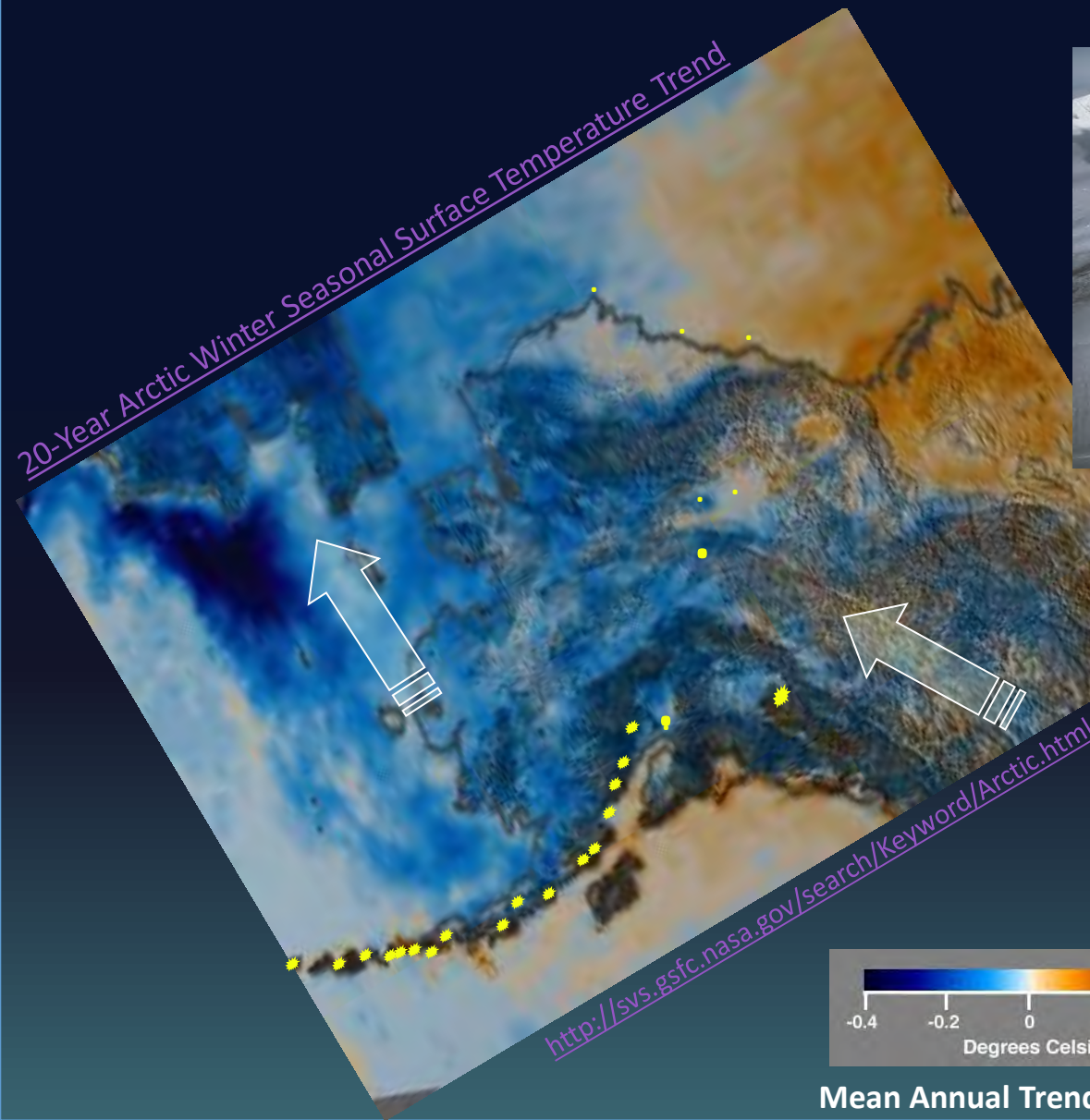
# Speculative:1 Mixed phase and Ice clouds





# Aerosol-precipitation effects and the wintertime Arctic Temperature

## Sulphur Sources and AVHRR Arctic (Wintertime)



Active Aleutian volcanoes emit large amount of sulphur in the lower troposphere. This is a strong indication that  $\text{SO}_2 - \text{SO}_4$  sources are affecting surface temperatures trends shown in AVHRR.



Mean Annual Trend °C / yr

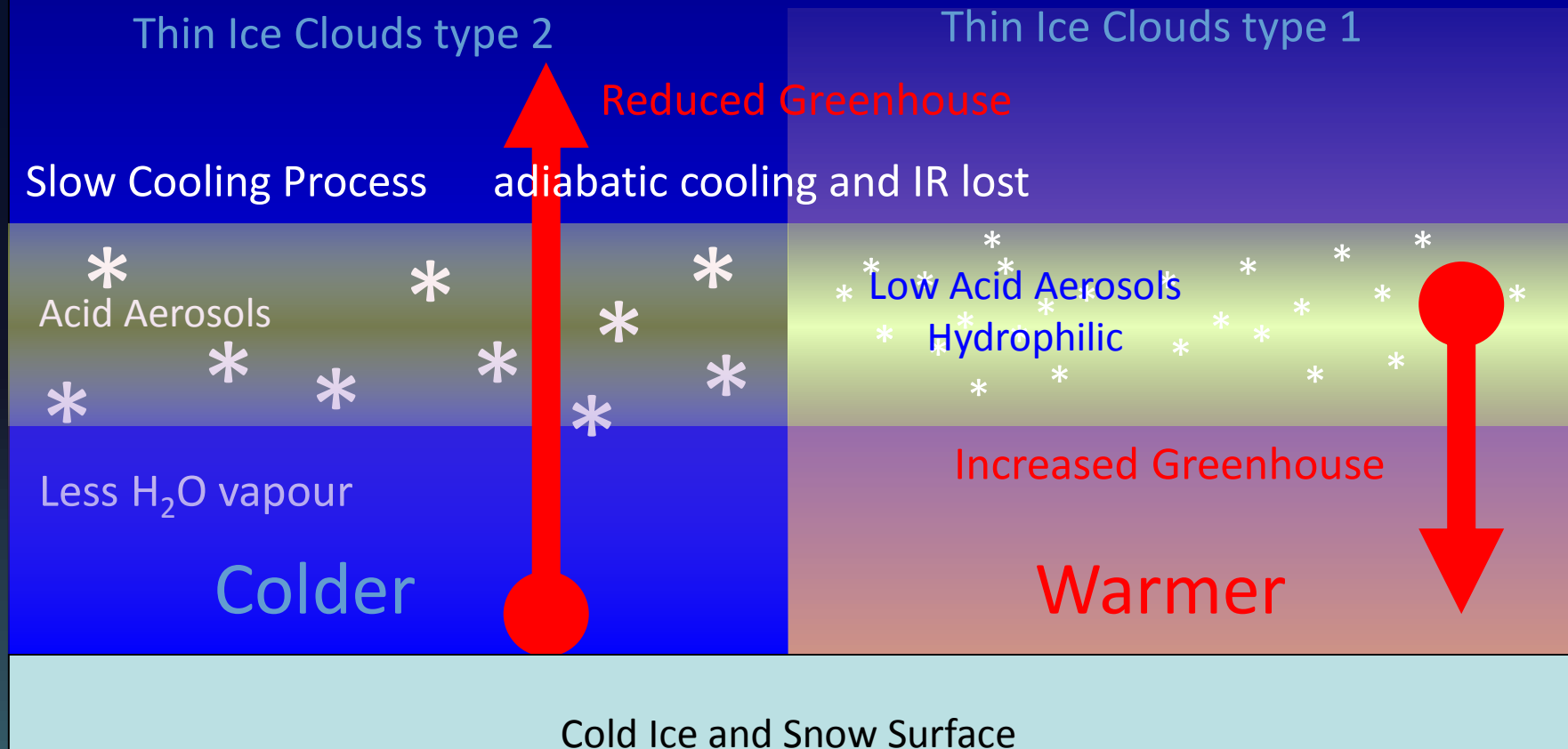
Blanchet et al., 2010

[http://nationalatlas.gov/dynamic/dyn\\_vol-ak.html](http://nationalatlas.gov/dynamic/dyn_vol-ak.html)



# Dehydration-(reverse)Greenhouse Feedback (DGF)

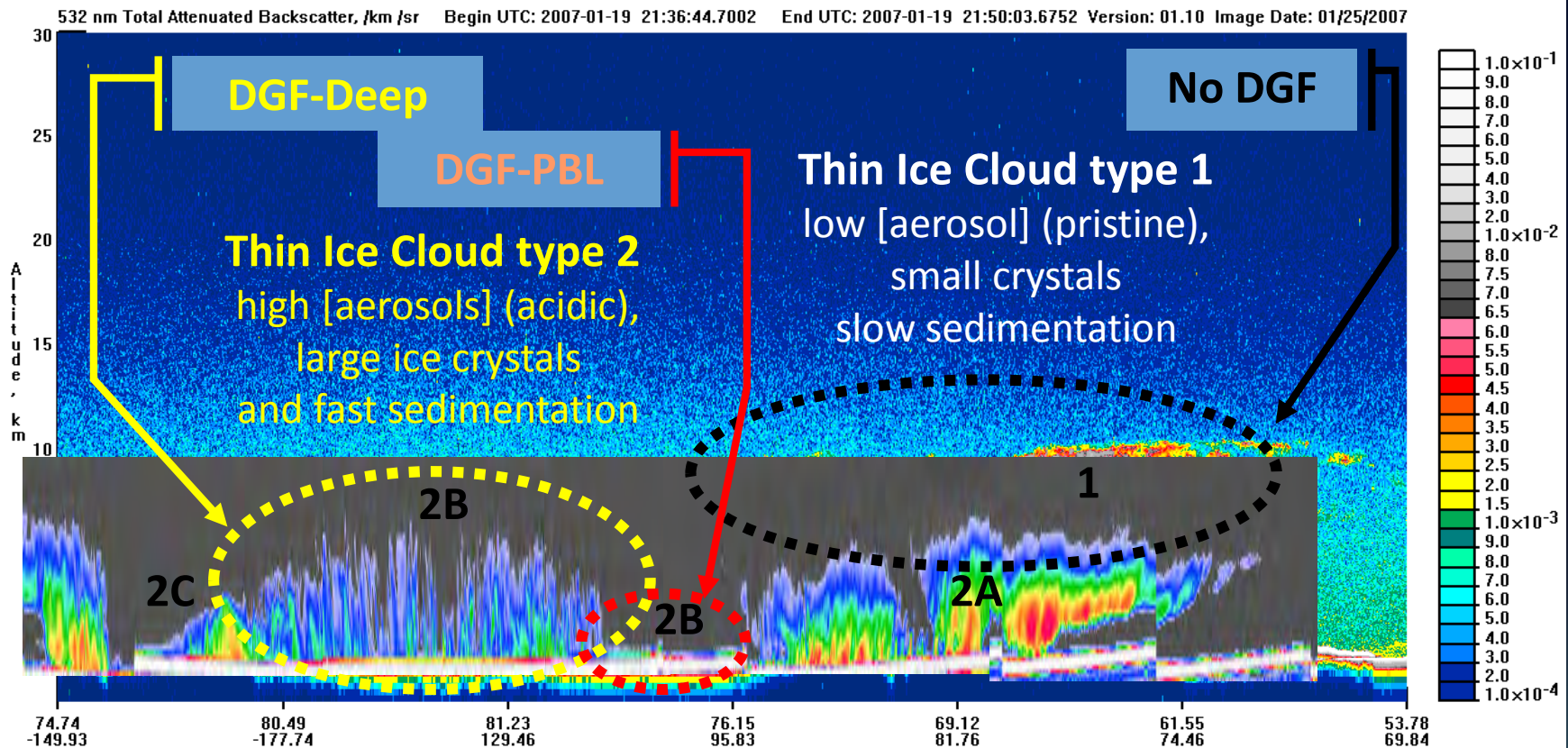
Clouds forming on acidic ice nuclei precipitate more effectively, dehydrate the air, reduce greenhouse effect and cool the surface



# In this environment clouds look different

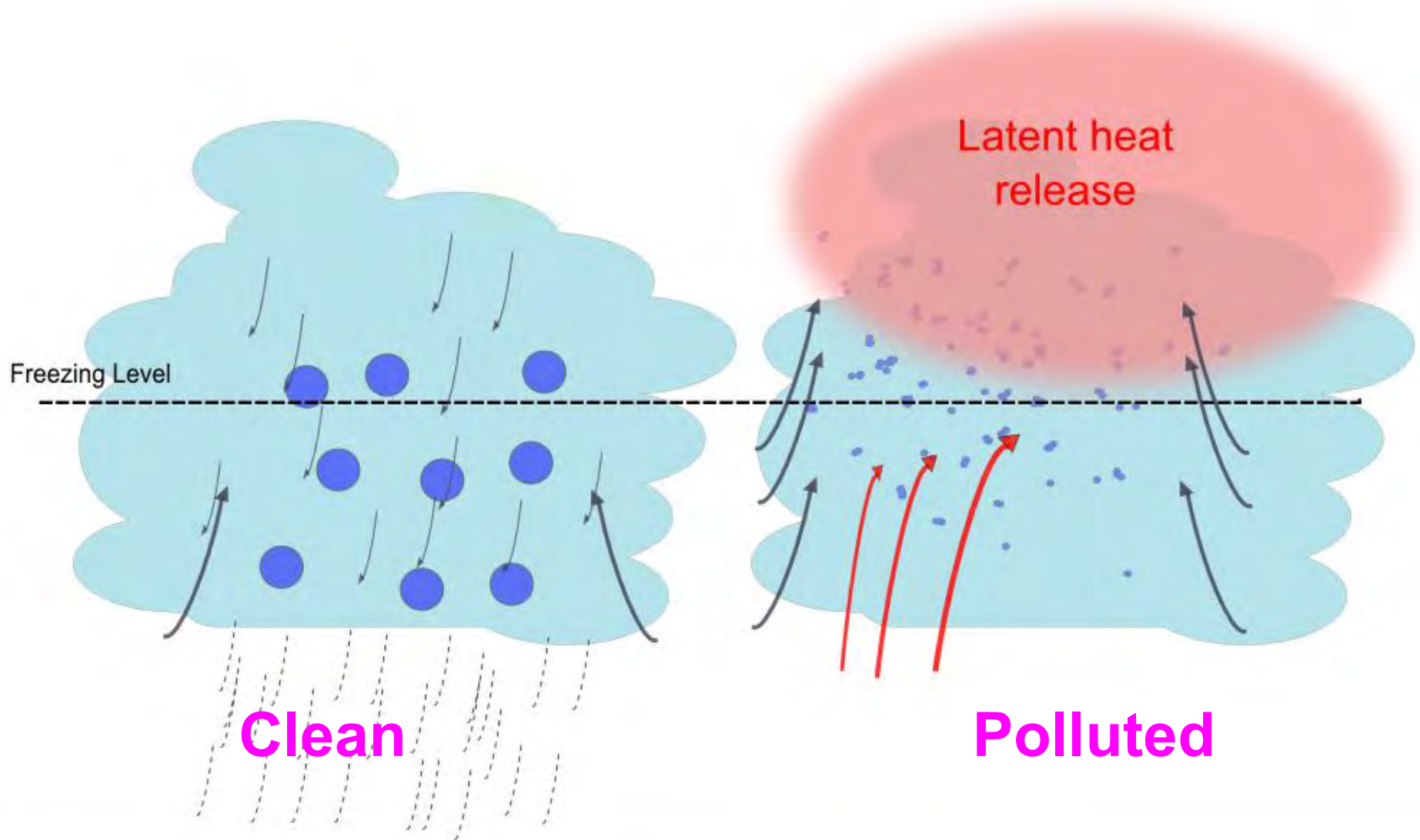
January 19, 2007

## Radar – Lidar DGF Signature

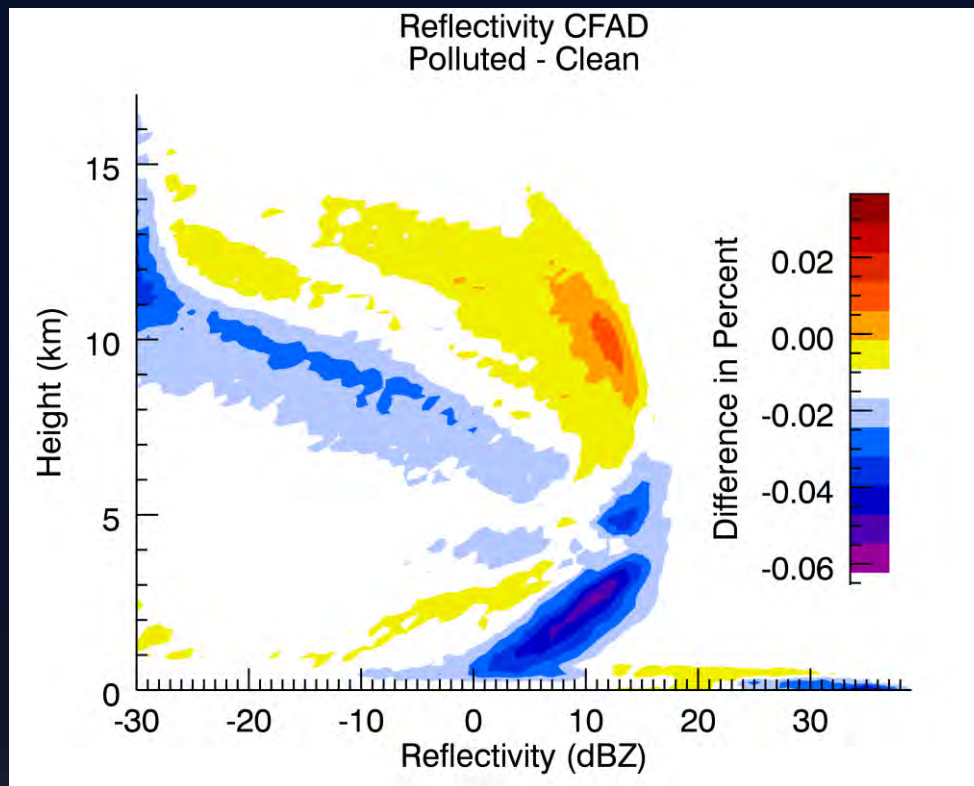


Blanchet, 2010

### Speculative 3) Convective storm invigoration by aerosol



More aerosol => Suppressed drop collection => more cloud water lofted => more freezing and release of latent heat and eventually more precipitation



Multi-year  
analysis of  
Convection over  
tropical Atlantic ,  
Storer et a., 2013

CloudSat married with assimilated aerosol data from GEMS shows evidence for convective invigoration. The Polluted – clean reflectivity differences indicate storms reach higher, and possess more ice mass (higher reflectivity values) and produce heavier precipitation.



# Summary

- Unprecedented satellite capabilities offer glimpses of the complex buffering processes inherent in the aerosol-cloud system.
- Observed indirect radiative effects are typically weaker than modeled effects due to buffering by precipitation and the environment. These effects in the net are determined by net changes to water budgets of clouds systems
- GCM aerosol indirect effects in warm clouds appear to be too sensitive to autoconversion schemes used (at least in one model).
- Higher model resolution will not guarantee improved representation of aerosol effects .
- Aerosol effects in cold clouds is not understood & satellite observations are scant. Aerosol influences on wintertime polar clouds may significantly influence the water budget of the Arctic atmosphere
- Aerosol effects on convection remain speculative.
- Perhaps the more important influence of aerosol on clouds is on precipitation rather than cloud albedo

# A-Train results

## 1. CloudSat

- Precipitation Flag
- Cloud reflectivity

## 2. MODIS

- Cloud effective radius
- Cloud LWP
- Aerosol Index
- Cloud Fraction

## 3. AMSR-E

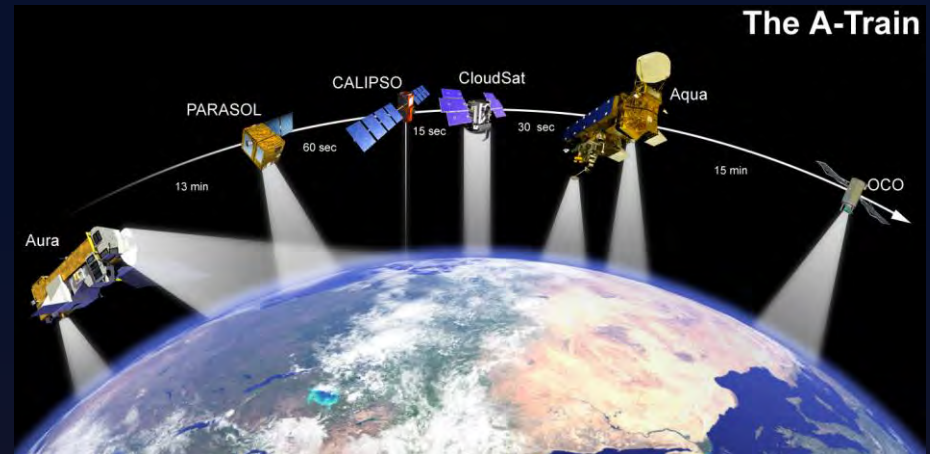
- Cloud LWP
- Water Vapor

## 4. CERES

- Cloud Albedo

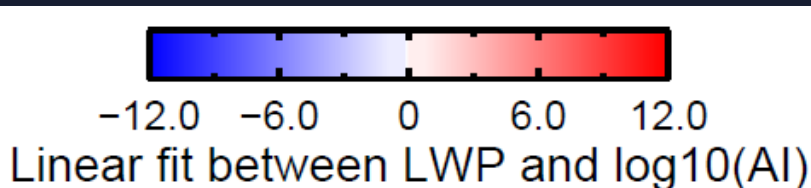
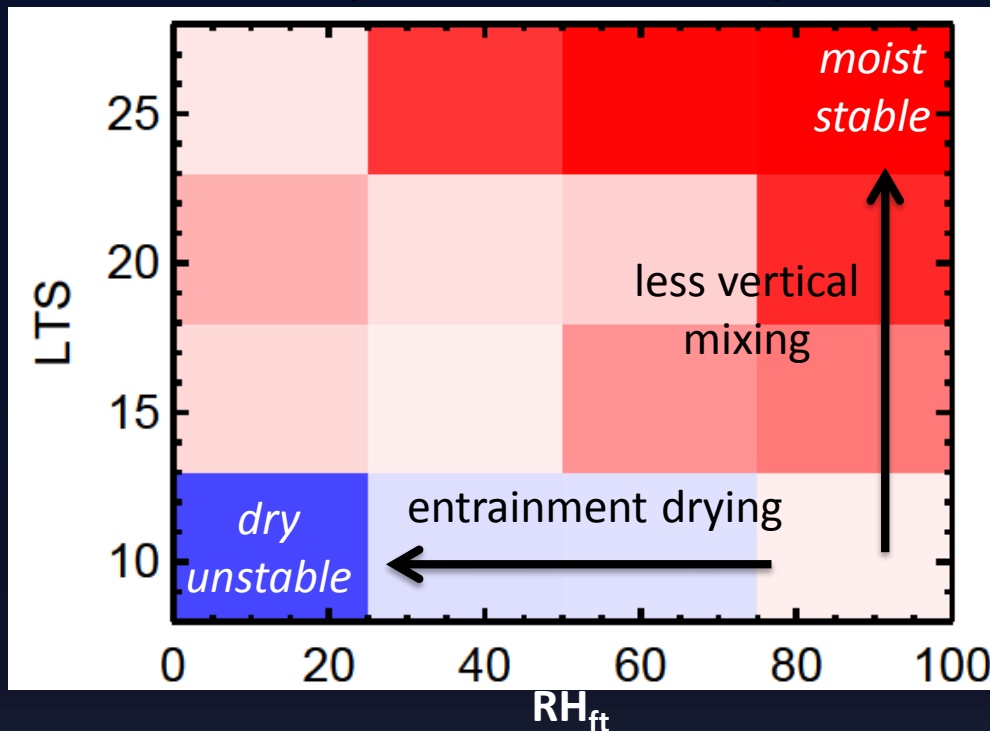
## 5. CALIPSO

- Cloud top height, CALIOP



# Buffering by the Environment

## Liquid Water Path Response



- 4 years of data
- Over 5 million carefully screened retrievals (single layer low-level warm phase cloud detected by CALIPSO, CloudSat, and MODIS).
- Aerosol properties are averaged over 1° regions.
- Entrainment/drying effect is largest in dry and unstable conditions.
  - Consistent with ship track assessment and the LES simulations performed by Ackerman et al. (2004) & Chen et al. (2011).

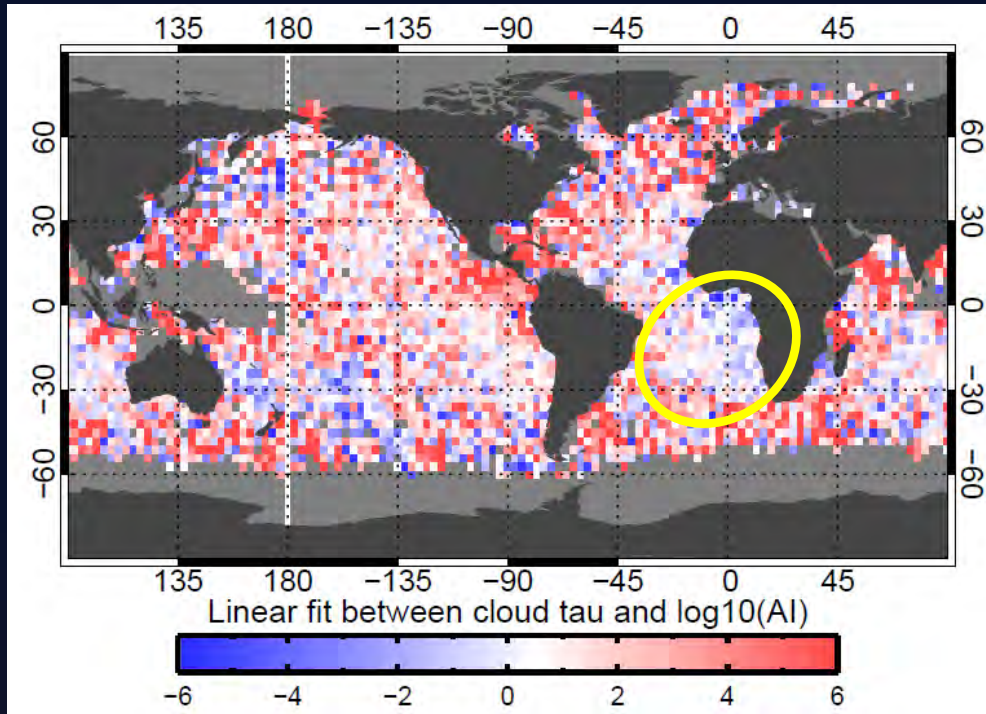
Co-variability of LTS and  $RH_{ft}$  *buffer* the liquid water path response to increasing aerosol concentration.

**LTS:** Lower Troposphere Stability ( $LTS = \Theta_{700mb} - \Theta_{surface}$ )  
 **$RH_{ft}$ :** Free-troposphere Humidity (relative humidity above cloud top)  
**LWP:** Liquid Water Path (MODIS)  
**AI:** Aerosol Index (MODIS)

**Where on Earth  
do we see this effect?**

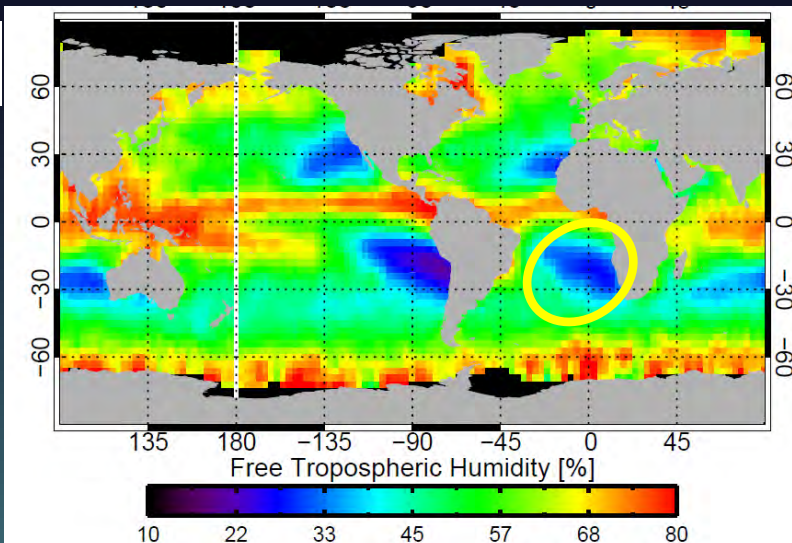


# Cloud Optical Depth Response

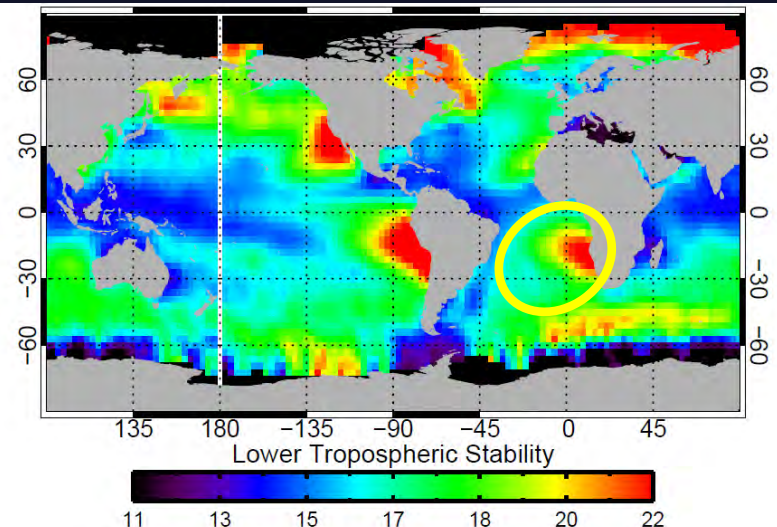


- Predominant regions of Sc exist in dry and stable airmasses.
- Optical depth response in these regions is weakly negative
- Effect of buffering precludes strong indirect effects in these regions.
- Implications for geoengineering
- Chen et al., 2013.

$\text{RH}_{\text{ft}}$



LTS

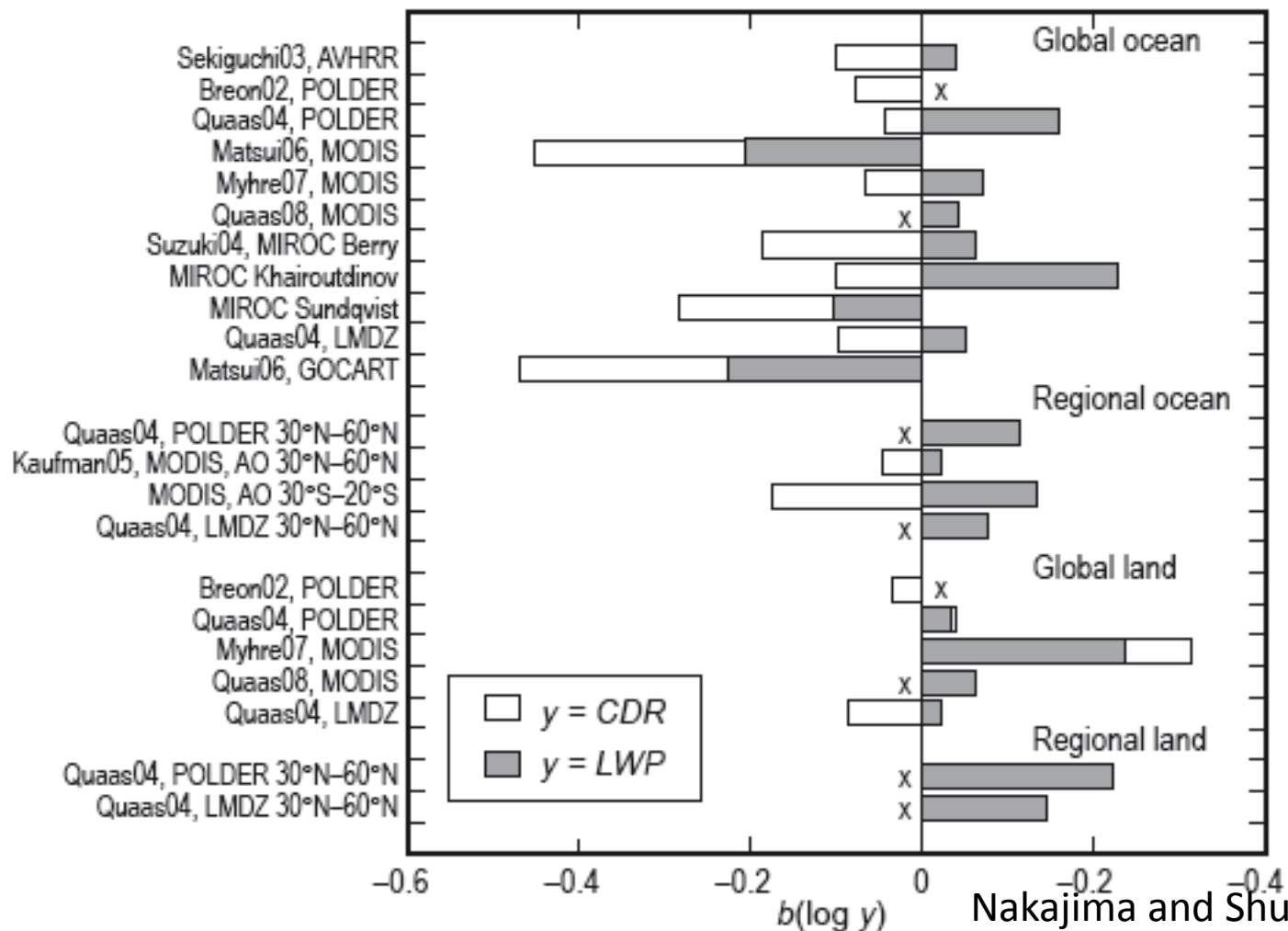




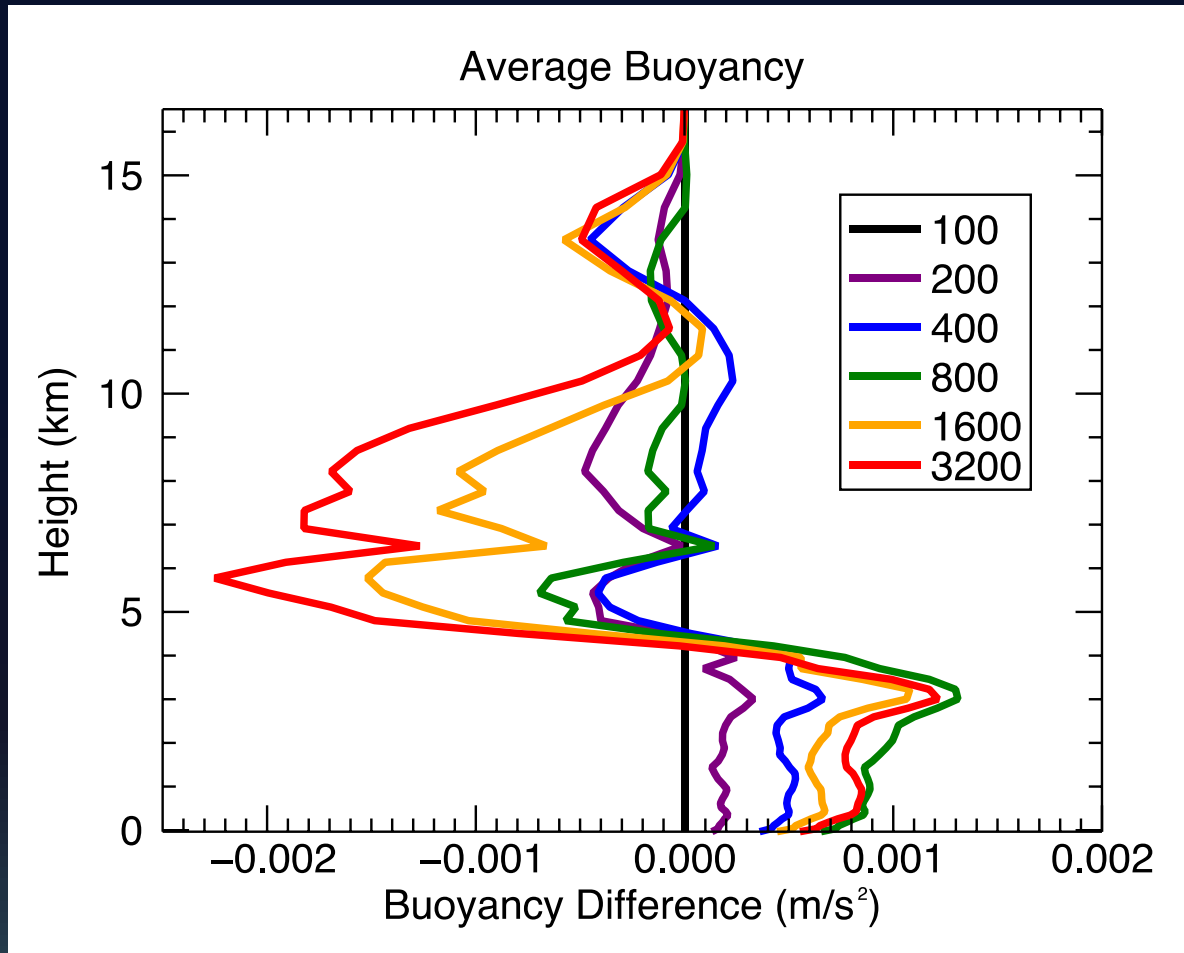
$$\frac{D t}{t} = \frac{DLWP}{LWP} - \frac{Dr_e}{r_e} \mu \frac{Da}{a}$$

$$CDR = r_e$$

$$b = d \log (y) / d \log (Na)$$



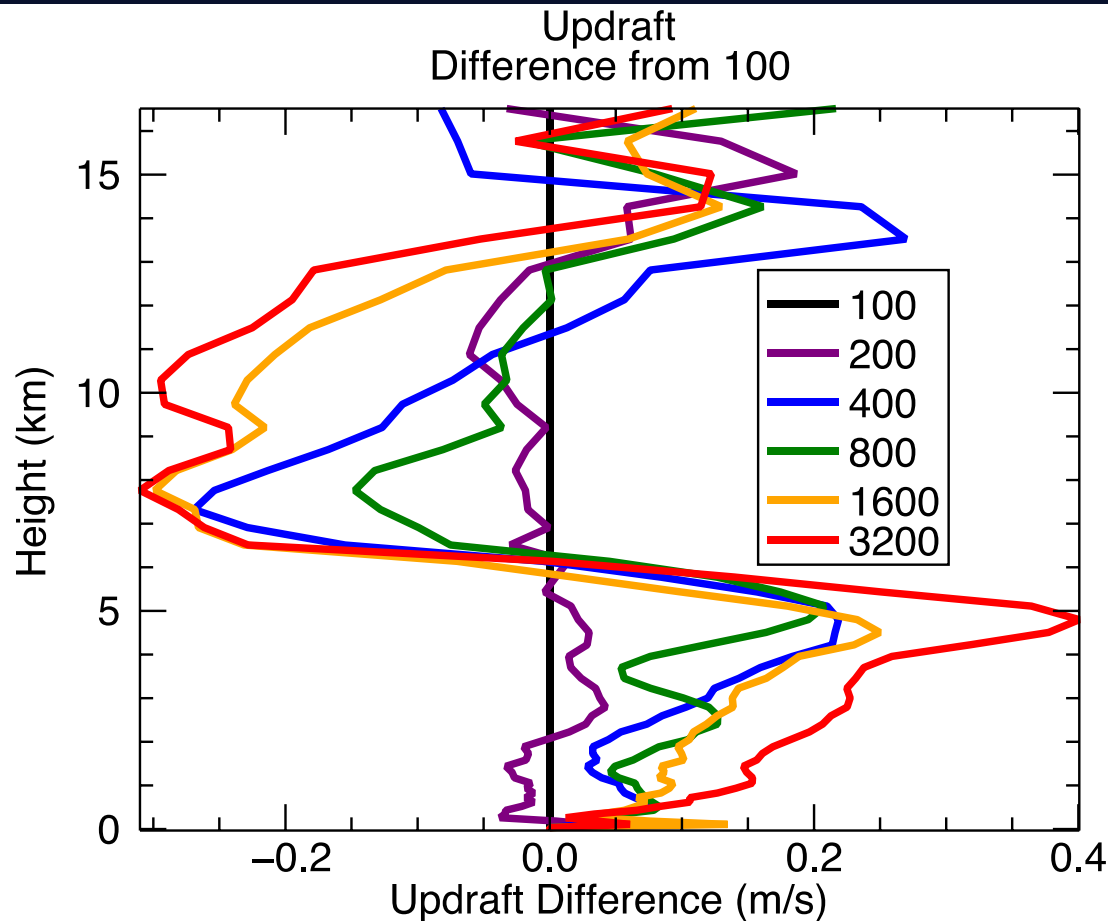
# Convective invigoration



$$B = g \left( \frac{\theta'}{\theta_0} \right) - gq_c$$

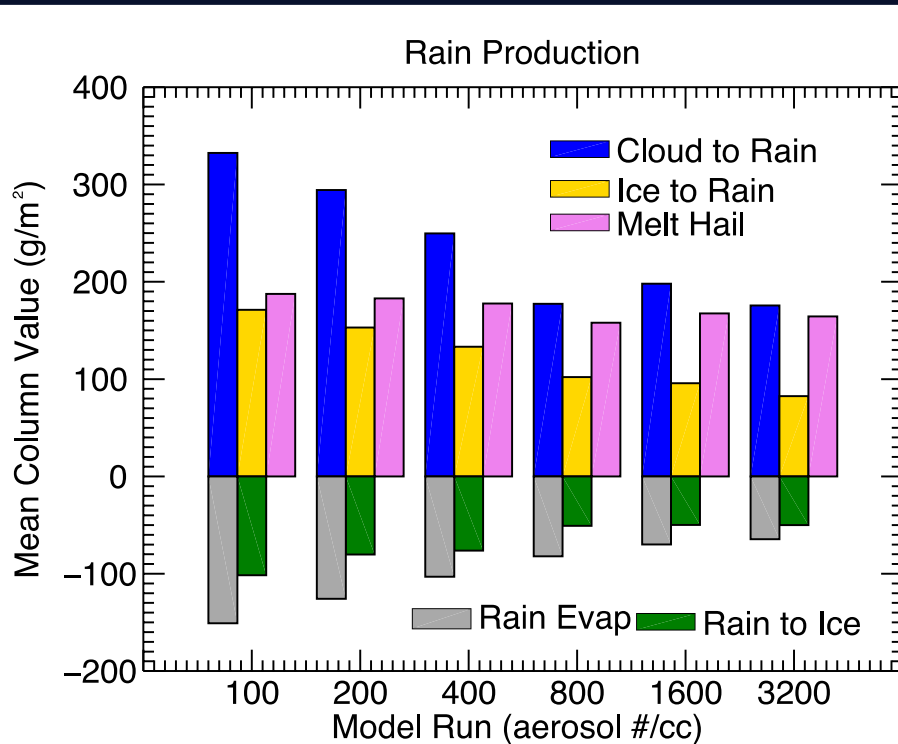
Total buoyancy term, plotted as a difference from the clean run, follows a similar trend as the mean updraft

# Convective invigoration



- Average updraft decreases through a large portion of the cloud depth
- Average updraft is determined by a balance between latent heating and condensate loading – both are affected by increased aerosol concentrations
- Average updraft increases in the lower levels due to both decreases in drag from condensate loading and increases in latent heat from changes in condensation and rain evaporation

# Production of rain



In polluted DCCs:

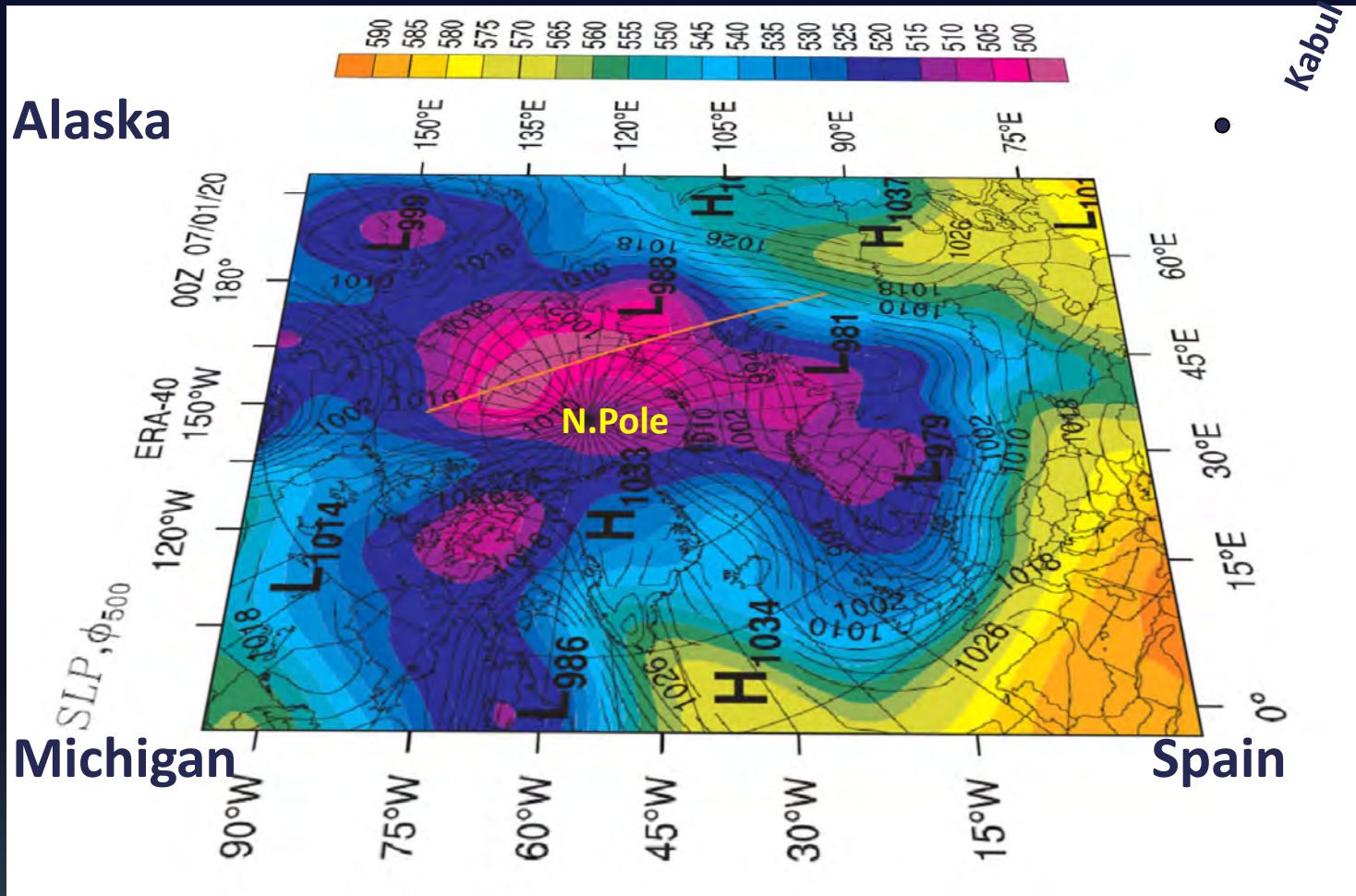
- Warm rain production decreases dramatically
- Melting of hail doesn't change significantly
- Ice collection by rain decreases
- Total rain production decreases
- Decrease is dominated by change in warm rain production
- Ice phase production of rain becomes more important
- Sinks of rain also decrease because there are fewer and larger rain drops





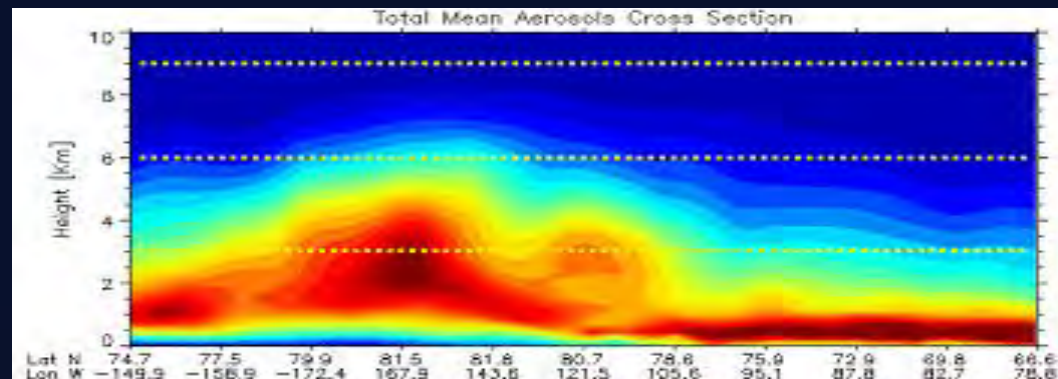
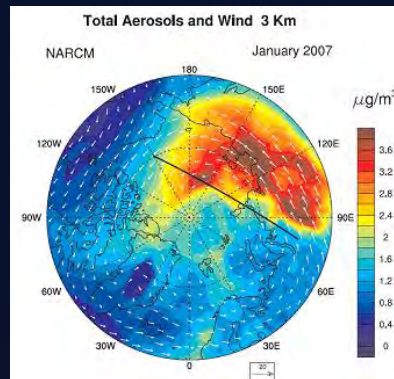
# Backup

## i) Wintertime storms

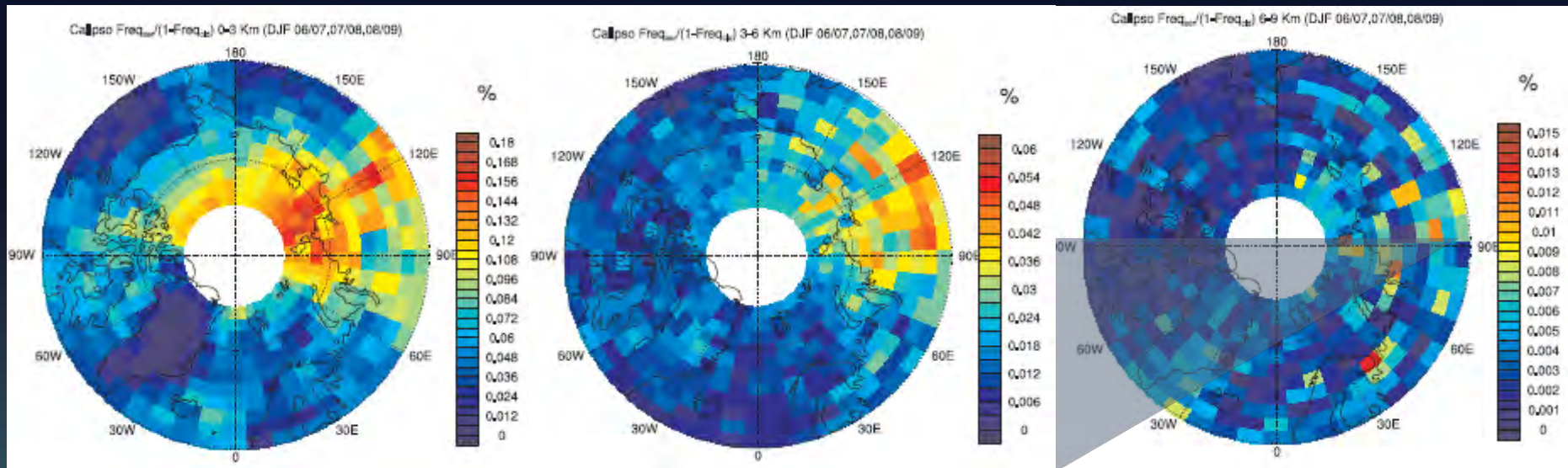


### iii) Pollutants Lifted in Cold Regions

#### Simulated NARCM



Observed  
CALIPSO





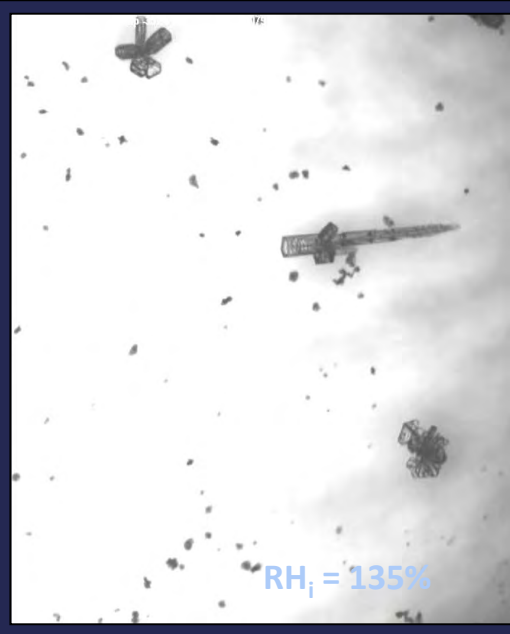
# iv) Pollution inhibits nucleation

Manmade acid coating of natural dust



Ref.: Bigg, 1980

Ice crystal nucleation on acid coated aerosols



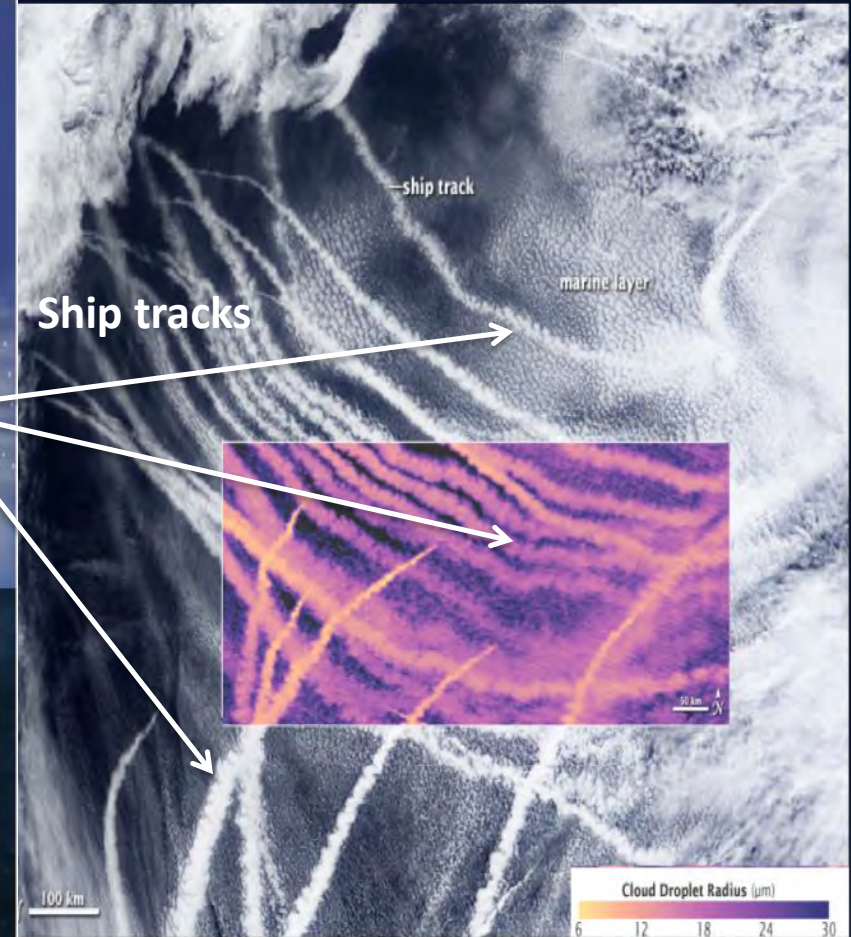
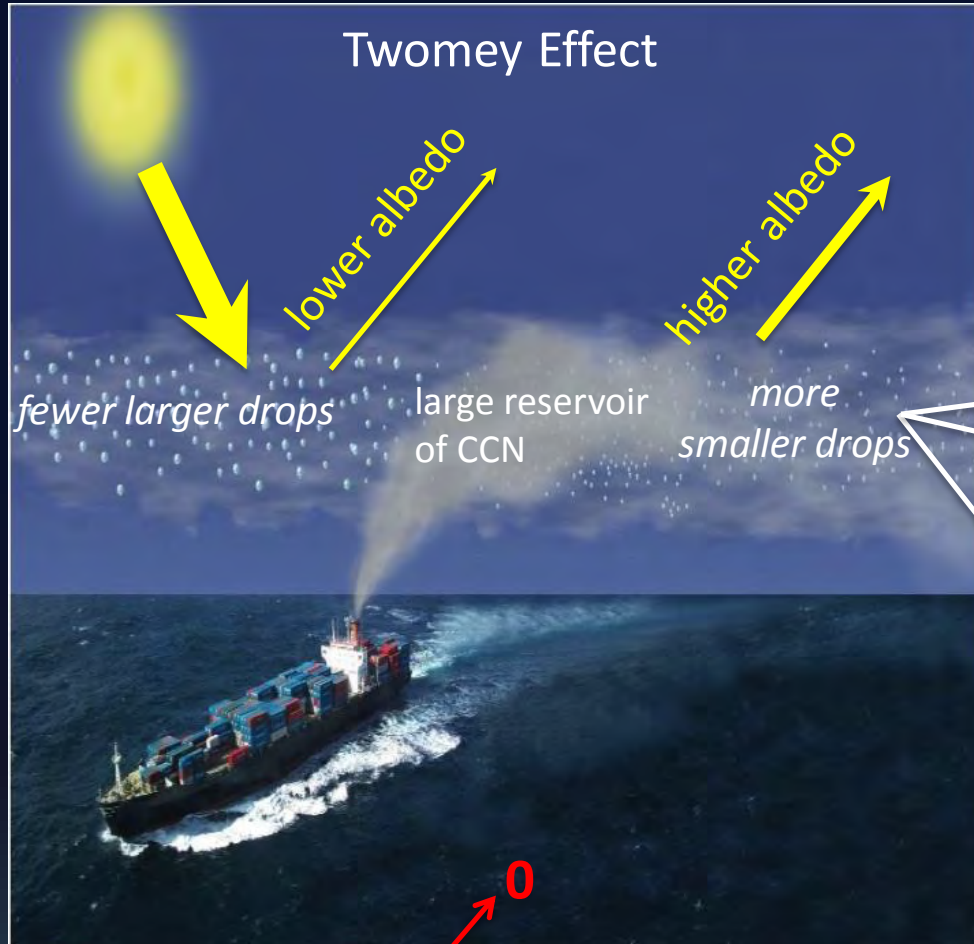
Ref.: Bertram, 2008

In Laboratory  
Allan Bertram at UBC



Flow cell coupled  
to microscope

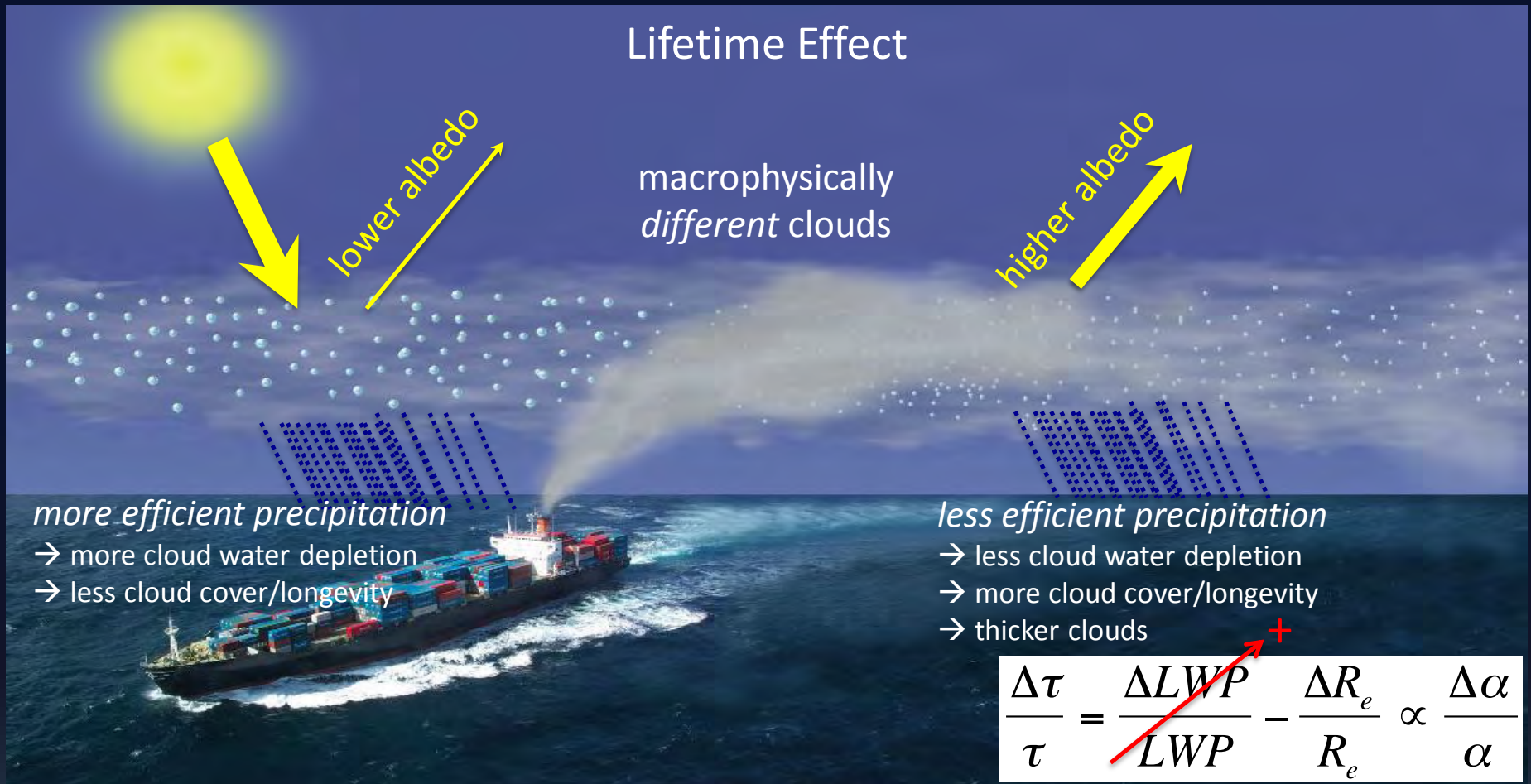
# Ship Tracks: a prominent manifestation of the aerosol indirect effect



$$\frac{\Delta \tau}{\tau} = \frac{\Delta LWP}{LWP} - \frac{\Delta R_e}{R_e} \propto \frac{\Delta \alpha}{\alpha}$$

$\tau$ : cloud optical thickness  
 $LWP$ : liquid water path  
 $R_e$ : effective radius  
 $\alpha$ : cloud albedo

# Buffering Processes



## Cloud water path response

$\Delta LWP = 0$  Twomey effect: (Twomey, 1974)

$\Delta LWP > 0$  Lifetime effect: (Albrecht, 1989)

$\tau$ : cloud optical thickness  
 LWP: liquid water path  
 $R_e$ : effective radius  
 $A$ : cloud albedo



# Buffering Processes

## Entrainment Effect

weak cloud top entrainment  
→ less LWP depletion

*very dry*

stronger cloud top entrainment  
→ more LWP depletion

other factors:

- absorbing aerosol (Koren et al. 2008)
- giant CCN (Feingold et al. 1999)
- mesoscale circulation (Wang et al, 2009)
- cloud layer coupling to surface moisture (Wood 2007)

$$\frac{\Delta\tau}{\tau} = \frac{\Delta LWP}{LWP} - \frac{\Delta R_e}{R_e} \propto \frac{\Delta\alpha}{\alpha}$$

### Cloud water path response

$\Delta LWP = 0$  *Twomey effect* (Twomey, 1974)

$\Delta LWP > 0$  *Lifetime effect* (Albrecht, 1989)

$\Delta LWP < 0$  *Entrainment effect* (Ackerman et al, 2004)

$\tau$ : cloud optical thickness  
 $LWP$ : liquid water path  
 $R_e$ : effective radius  
 $A$ : cloud albedo



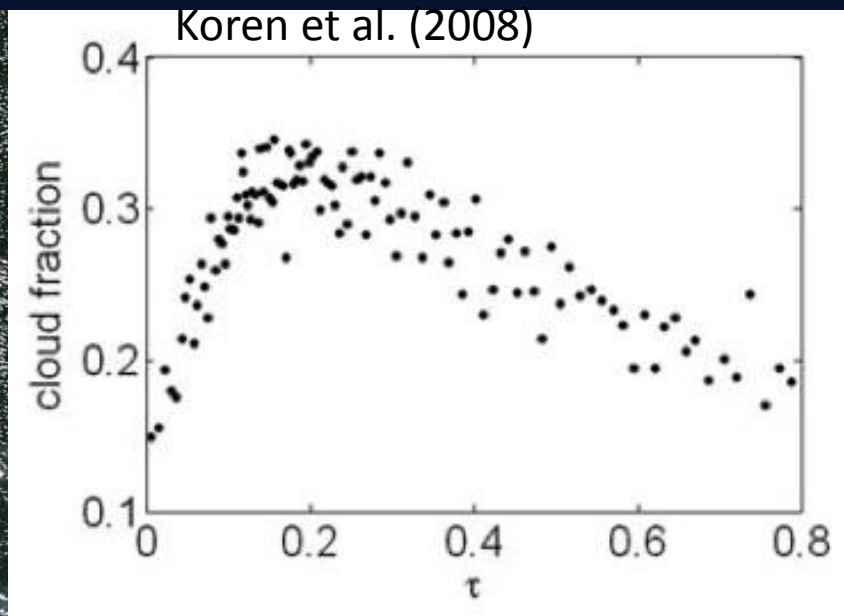
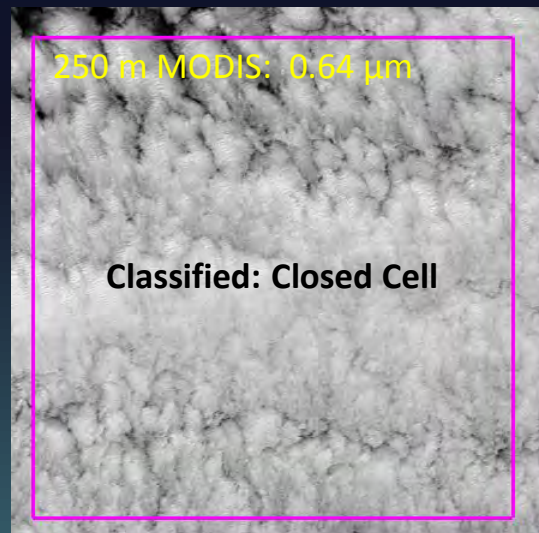
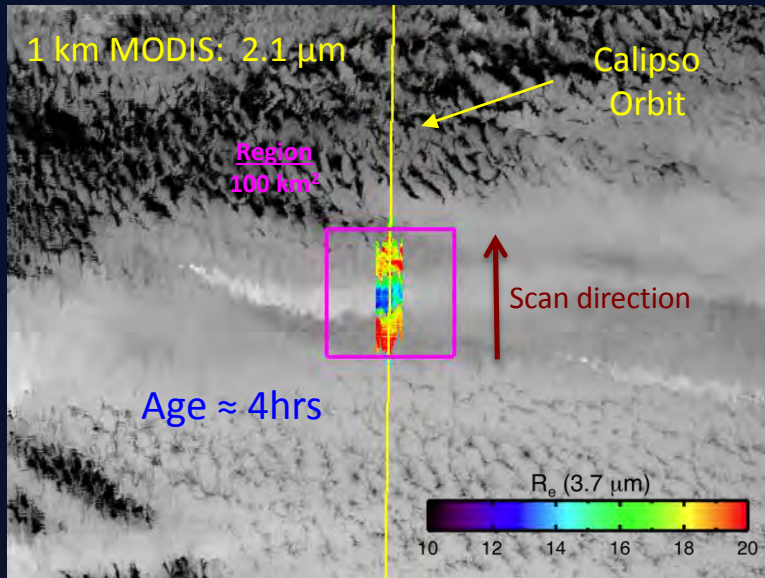


Image by Jesse Allen & Robert Simmon, based on data provided by the MODIS Science Team

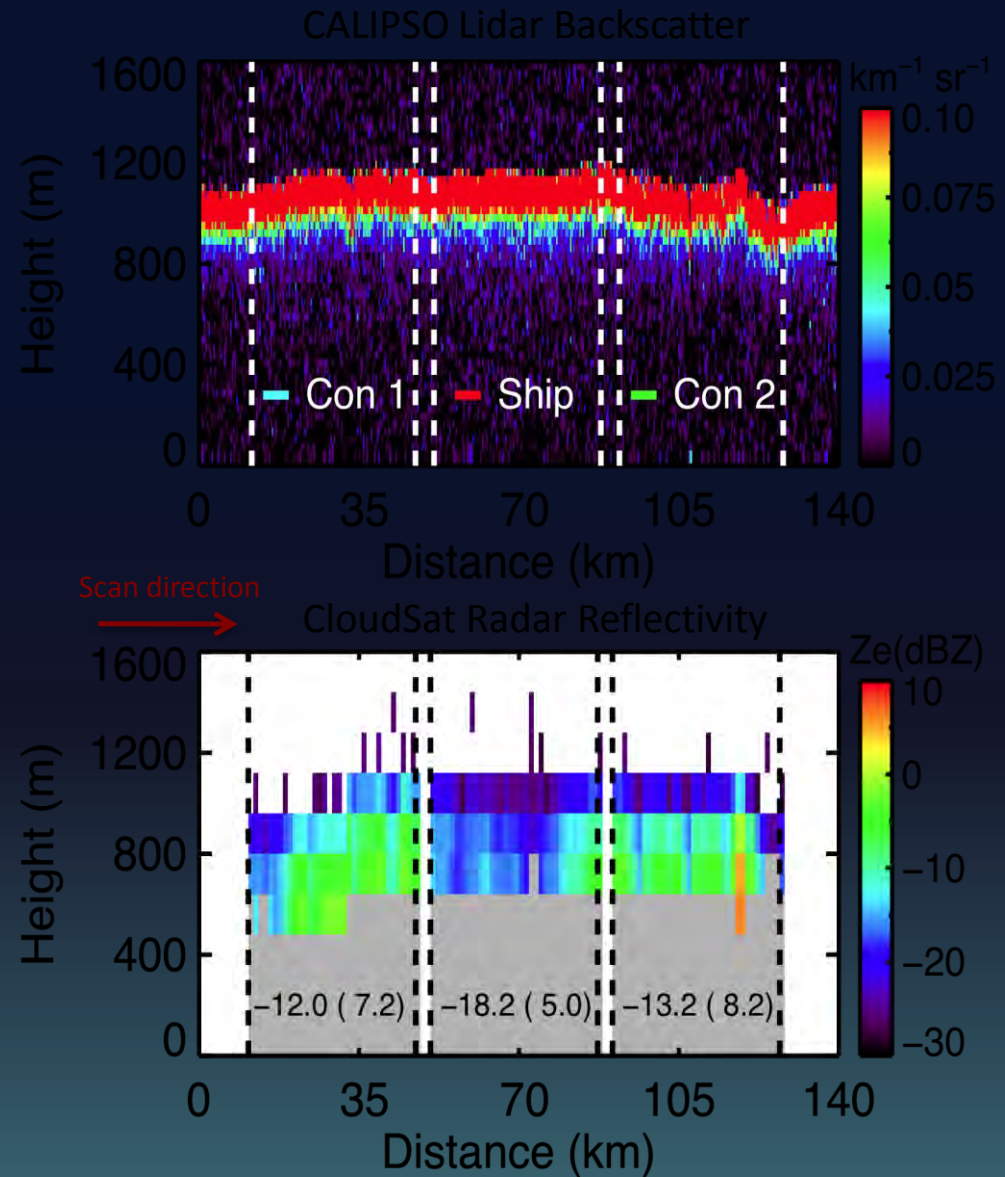


# Buffering by Precipitation

aerosol suppressing drizzle in ship track

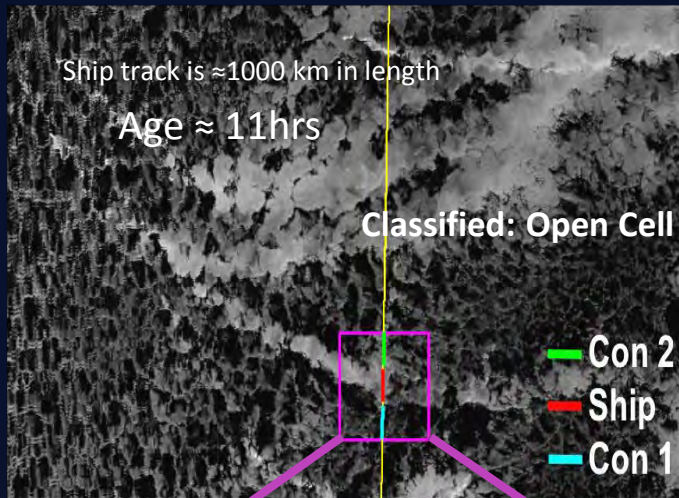


February 3<sup>rd</sup>, 2008 at 2145 UTC

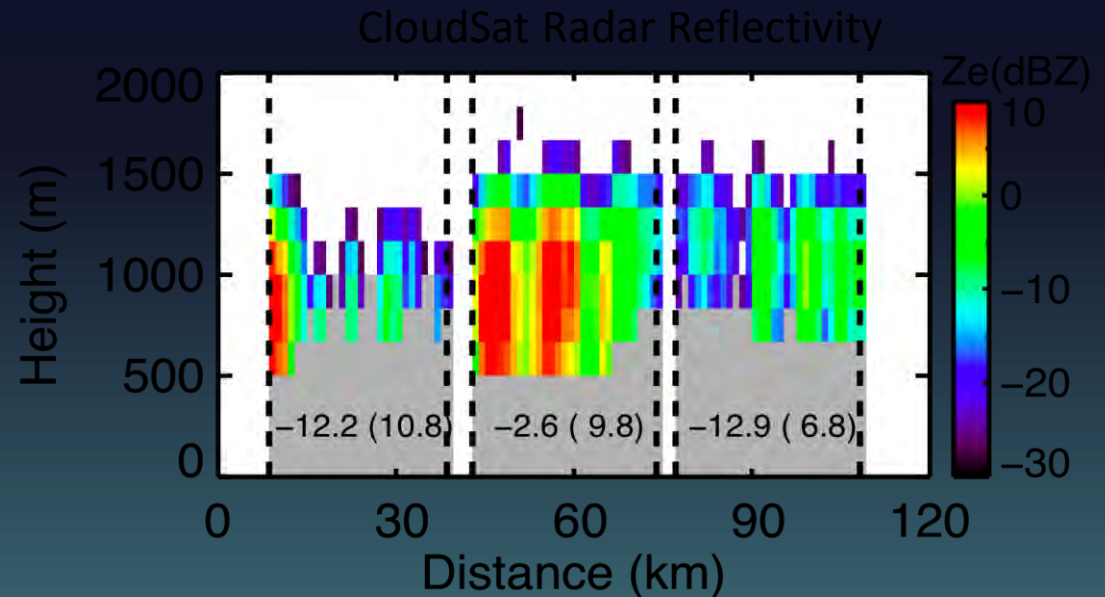
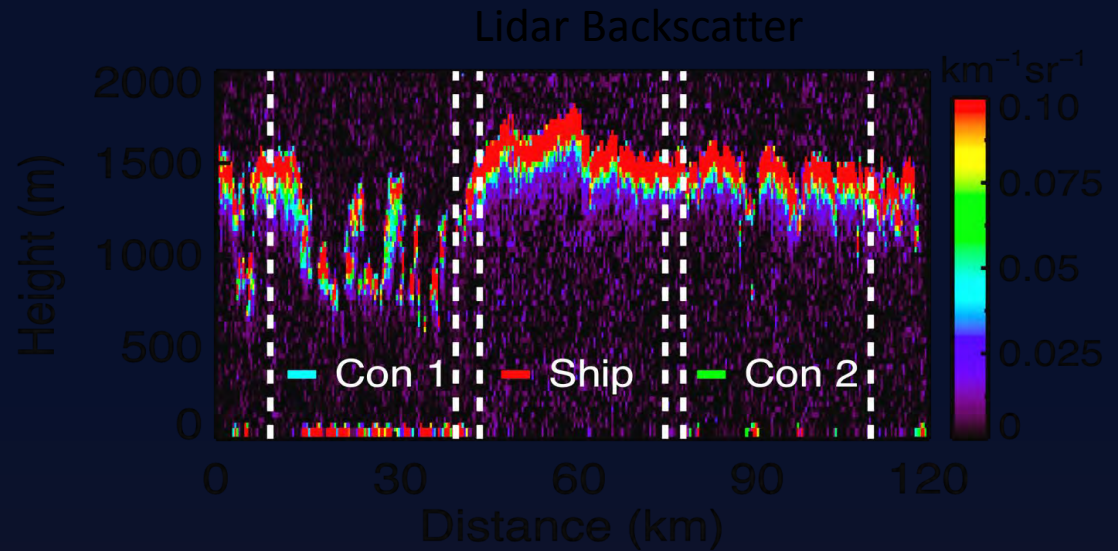


# Buffering by Precipitation

aerosol enhancing drizzle in ship track



January 11<sup>th</sup>, 2007 at 2210 UTC





# Processes?: Correlation between $r_e$ and $\tau_c$

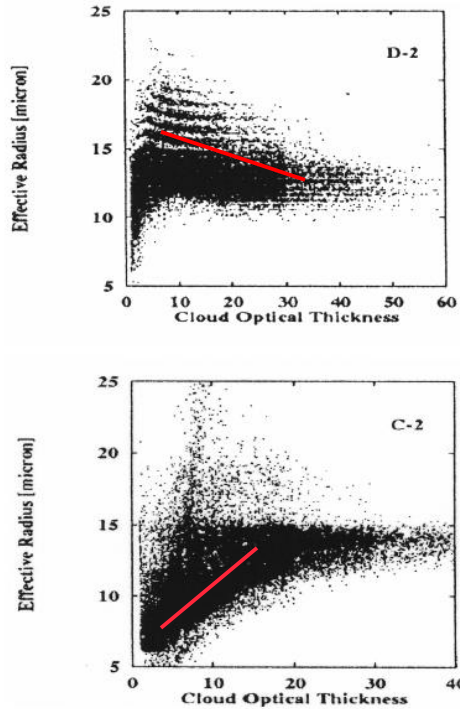
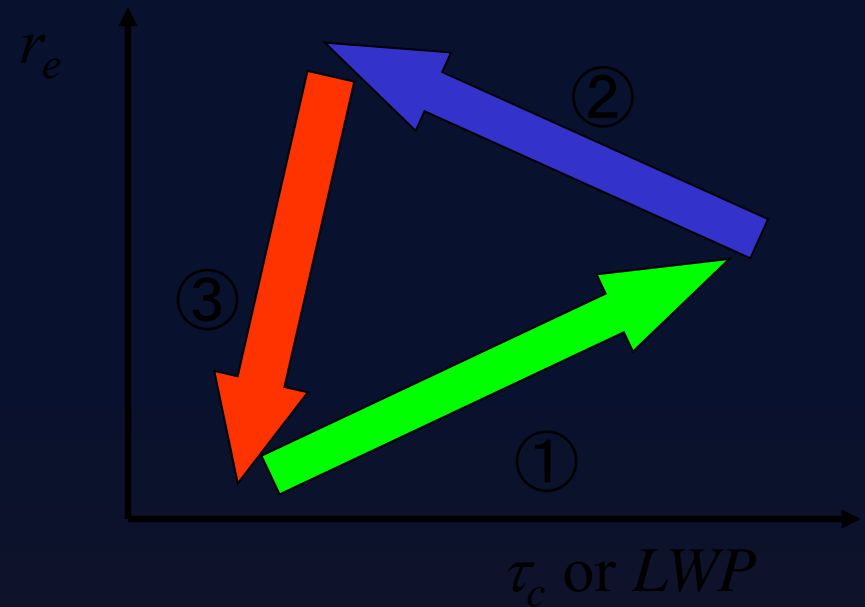


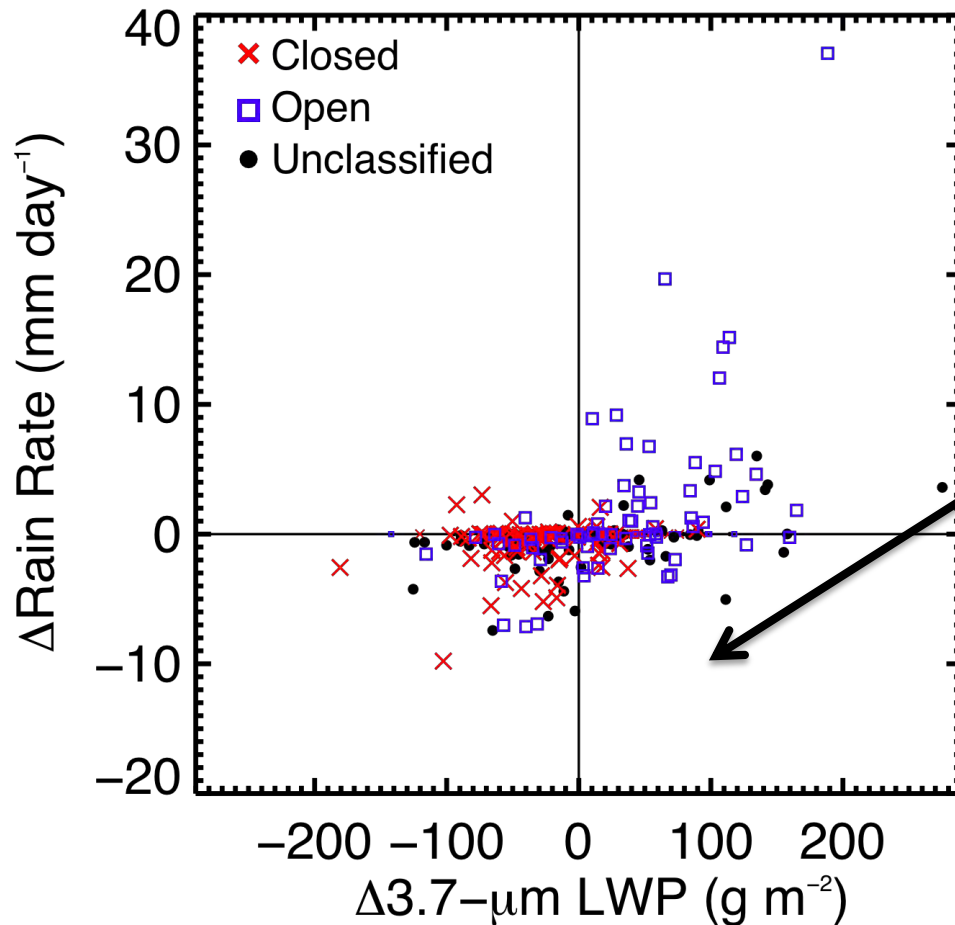
Fig. 1. Scatter plot between effective particle radius and optical thickness obtained from satellite observation over FIRE (upper) and ASTEX (lower) regions (cited from Nakajima and Nakajima 1995)



Nakajima and Nakajima (JAS 1995)



# Buffering by Precipitation



- Strong evidence of aerosol affecting drizzle rates.
- Response is **regime dependent**:
  - strong suppression in closed cells
  - enhancement in open cells
- *Increased* liquid water paths are rarely observed when drizzle rates are suppressed by pollution.
  - Contradicts the lifetime effect hypothesis (Albrecht, 1989).
  - Suggests **buffering** by precipitation is critical in regulating cloud water path and albedo.

***How does precipitation influence climate models response to increasing aerosol?***

# Understanding the behavior of microphysics scheme

## Single-Column Model that mimics NICAM-SPRINTARS cloud microphysics

$$\frac{\partial (rq_c(z))}{\partial t} = - \frac{rq_c(z) - rq_{adb}(z)}{t} - rq_c(z) \quad rq_c: \text{cloud water content}$$

$$\frac{\partial (rq_r(z))}{\partial t} = + \frac{rq_c}{t}$$

### Rain formation process

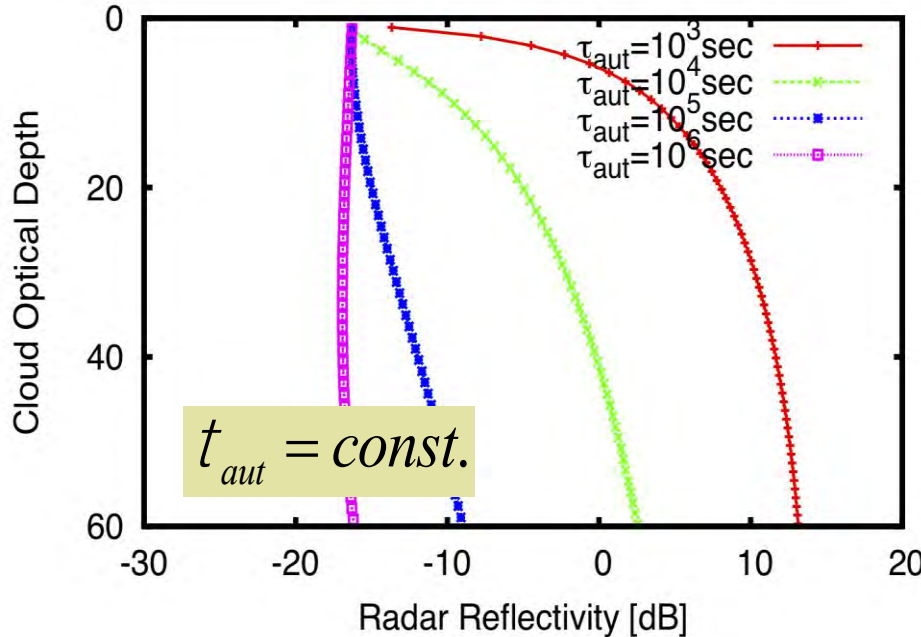
$$\frac{1}{t_p} = \frac{1}{t_{aut}} + \frac{1}{t_{acc}}$$

Auto-conversion:

Berry ('67)

$$t_{aut} =$$

Accretion:  $t_{acc} = t_{acc}(rq_r, N_r) = c N_r^{-1/6} (rq_r)^{-5/6}$



adiabatic value  
rain water

$$= \frac{N_a N_{c,max}}{N_a + N_{c,max}} \sim N_a^{0.7}$$

(cm<sup>-3</sup>)

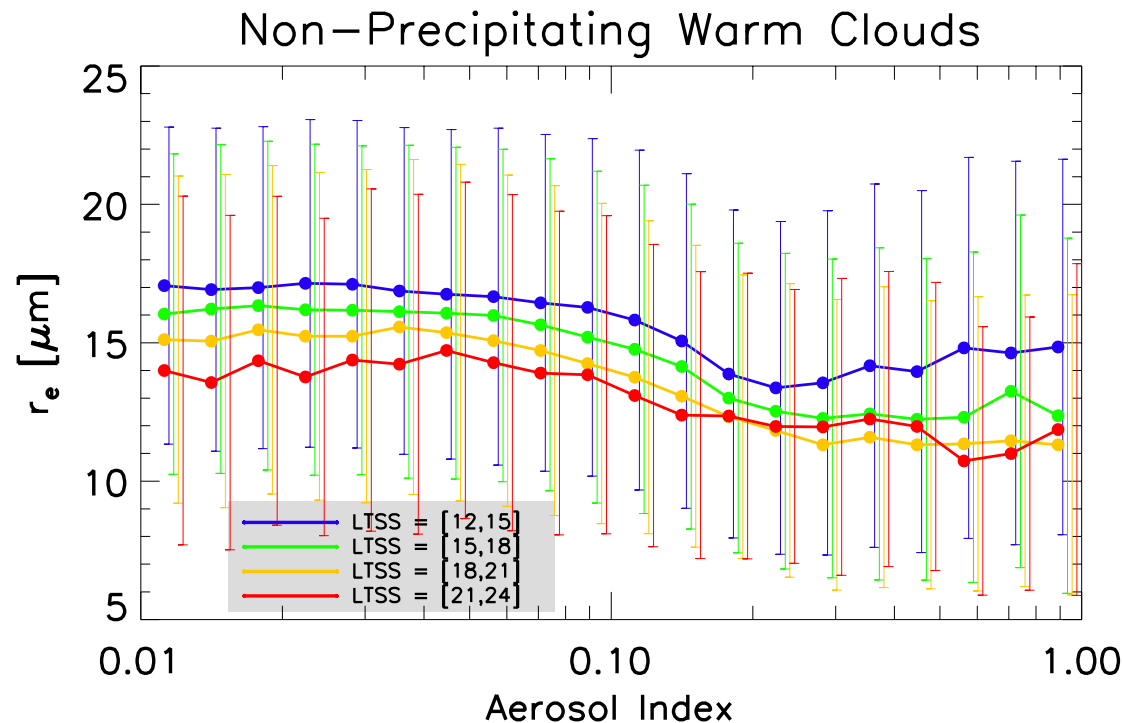
$t_a a$ : Aerosol Index

$$\propto N_c \mu (t_a a)^{m=0.56}$$

$$\propto t_{aut} \mu N_c \mu (t_a a)^{0.56}$$

: representation of the lifetime effect

# A-Train results of Lebsock

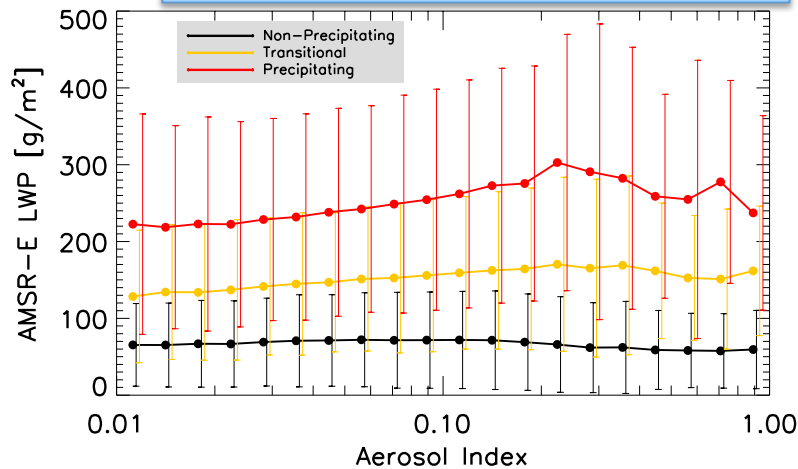


$$\text{Sensitivity} = \frac{\partial \log(r_e)}{\partial \log(AI)} = 0.07$$

This parameter forms the basis of GCM parameterizations of the 1<sup>st</sup> indirect effect

- Sensitivity of effective radius to aerosol is relatively independent of stability
- Value of sensitivity parameter in good agreement with literature (Breon [2002], Matsui [2004])

## AMSR-E Water Path

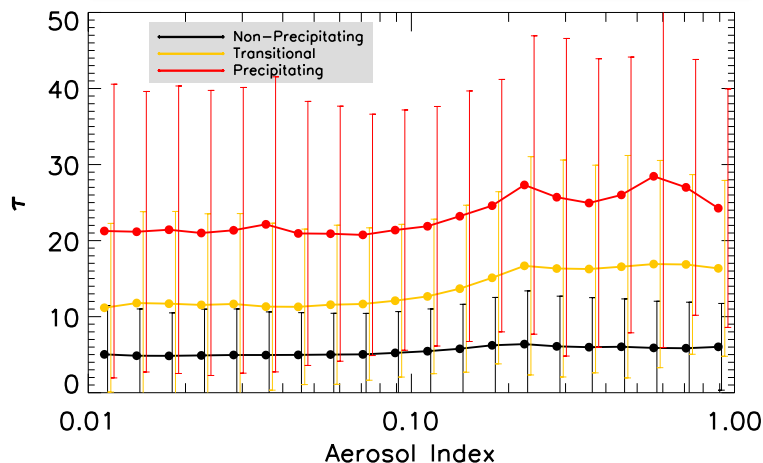


- The water path effect for precipitating clouds dominates the radius effect in the albedo response of these clouds

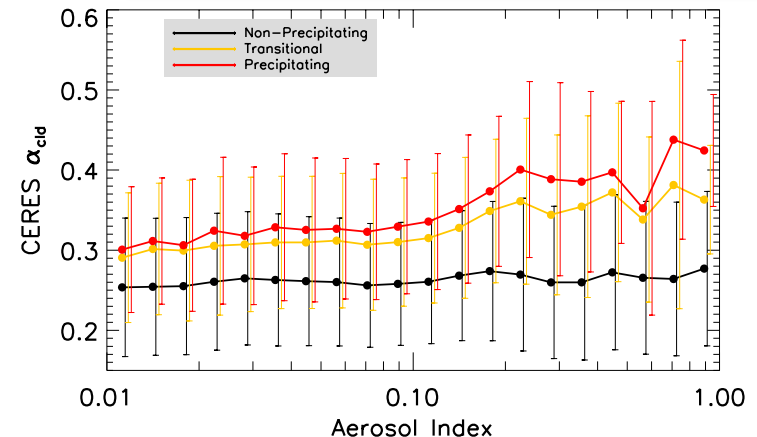
$$\tau = \frac{3\text{LWP}}{2\rho_l r_e}$$

$$\alpha_{\text{cld}} \approx F(\tau) = F(r_e, \text{LWP})$$

## MODIS Optical Depth



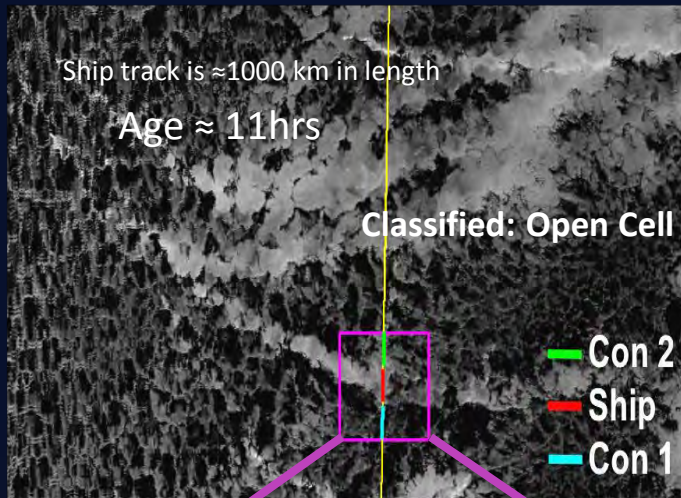
## CERES Cloud Albedo



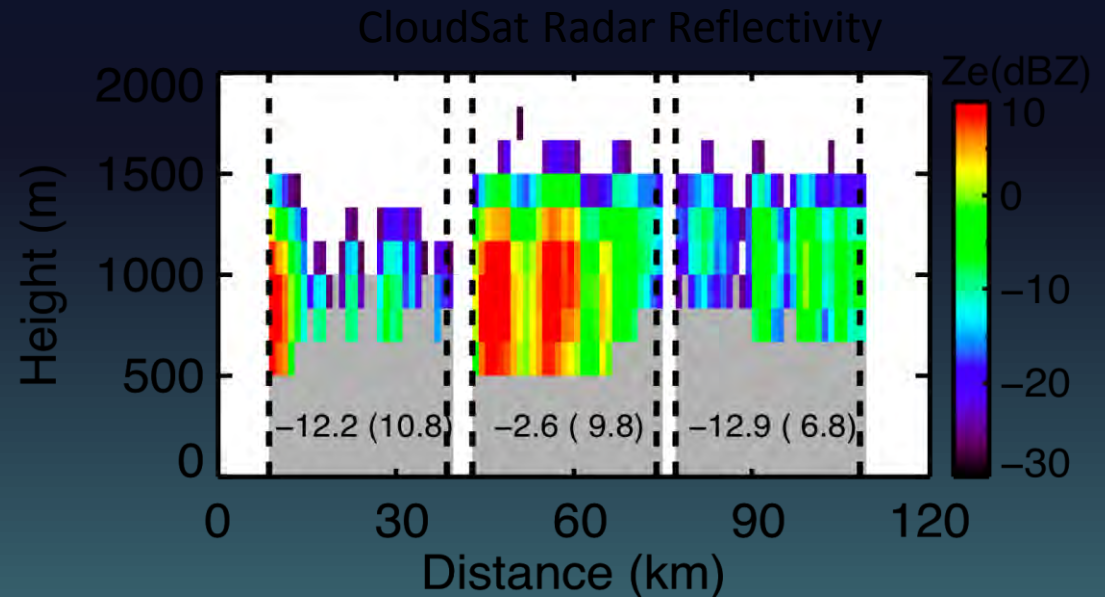
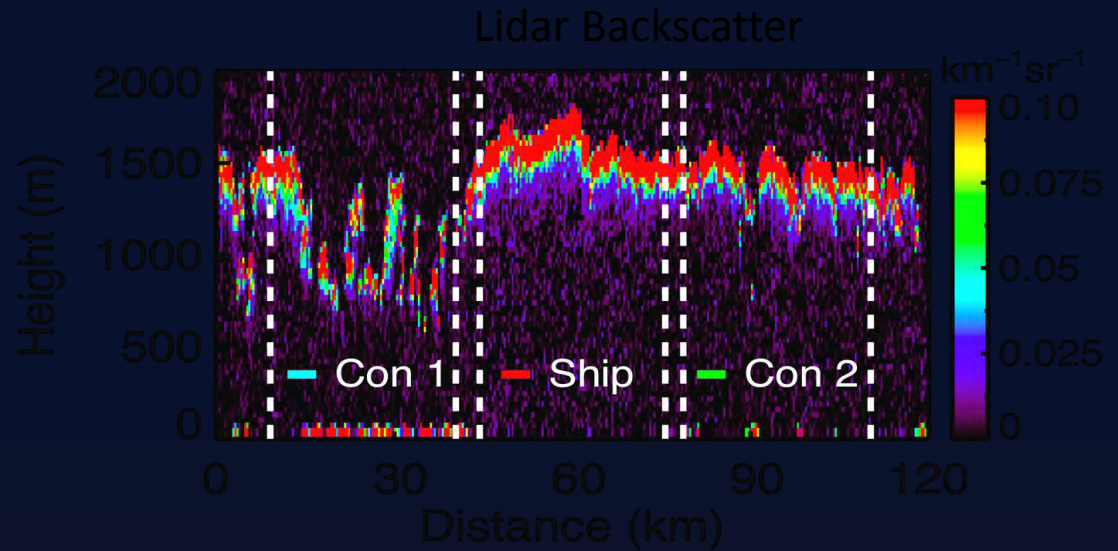


# Buffering by Precipitation

aerosol enhancing drizzle in ship track



January 11<sup>th</sup>, 2007 at 2210 UTC



## A-Train data.

### 1. CloudSat

- Precipitation Flag
- Cloud reflectivity

### 2. MODIS

- Cloud effective radius
- Cloud LWP
- Aerosol Index
- Cloud Fraction

### 3. AMSR-E

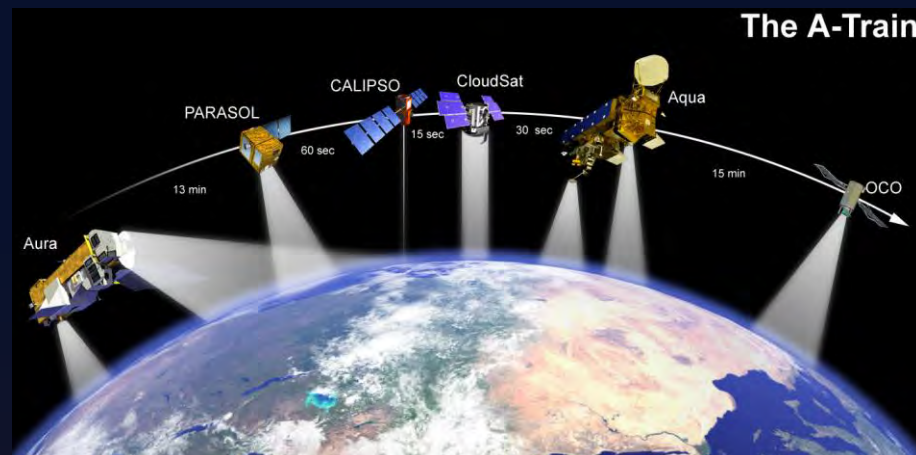
- Cloud LWP
- Water Vapor

### 4. CERES

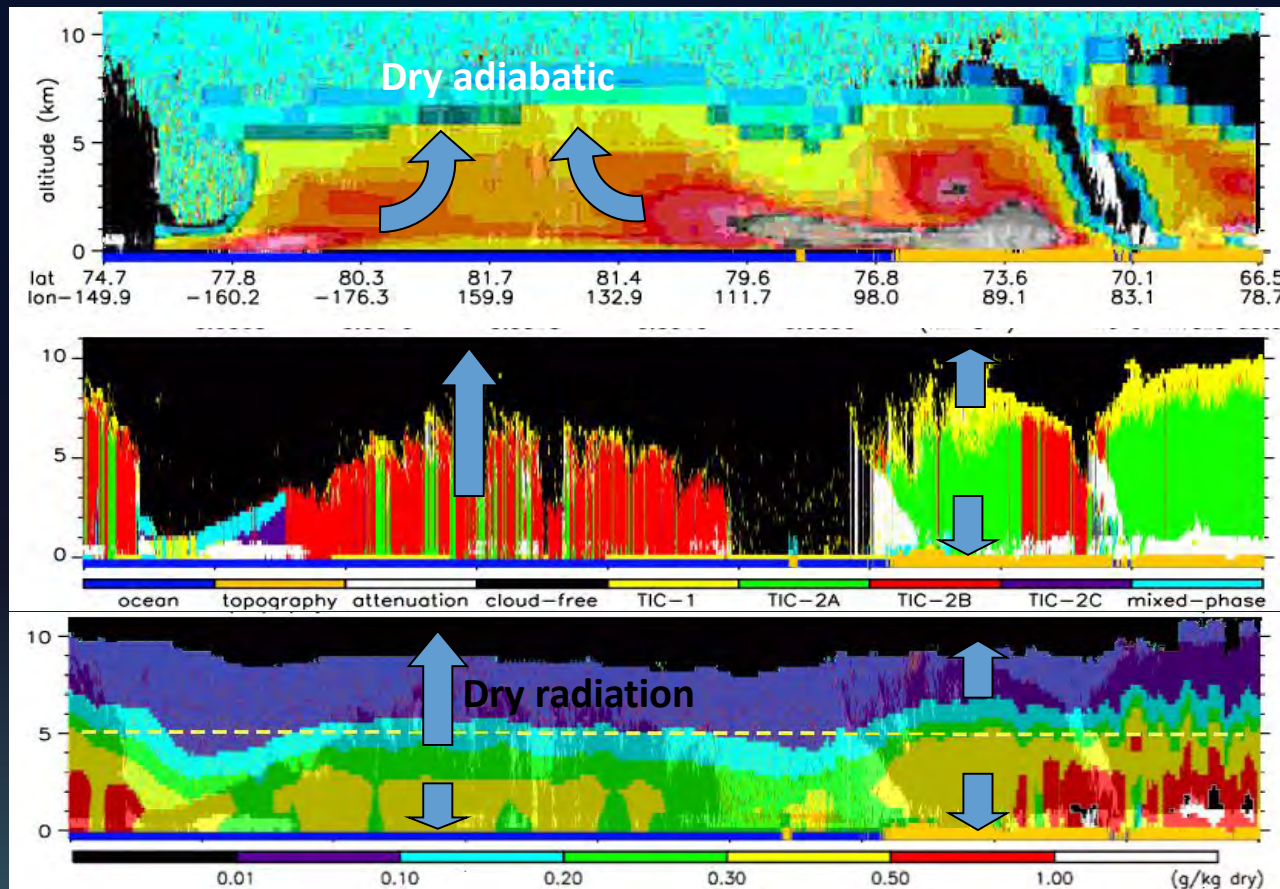
- Cloud Albedo

### 5. CALIPSO

- Cloud top height, CALIOP



# Rapid & sustained cooling of airmass



## Process #1: Dynamics

DT  $\approx$  -10 to -20°C

Time scale  $\sim$  1day

## Process #2: Direct IR

DT  $\approx$  -16 to +10°C

Time scale: 1 to 5 days

## Process #3: Indirect IR

DT  $\approx$  -5 to -10°C

Time scale: 1 to 2 weeks

**Total Cooling  $\approx$  -30 to - 50°C**